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THE CAPITAL MARKETS ACT

(Cap. 485A)

ANNUAL LICENCES

IN EXERCISE of the powers conferred by section 11 (3) (e) and (f) and pursuant to section 27 (1) (a) of the Capital Markets Act, it is notified for general information that the Capital Markets Authority has granted the following approvals and licences to the companies set out in the schedule hereto:

SCHEDULE

Approved Institutions:

Name of the Company	Address	Nature of Operation
Acacia Fund Limited	P.O. Box 43233 - 00100, Nairobi	Venture Capital.
Central Depositories and Settlement Corporation Limited	P.O. Box 3464 - 00100, Nairobi	Central Depository.
Global Credit Rating Company	P.O. Box 76667, Wendywood 2144, South Africa	Credit Rating Agency.
The Nairobi Stock Exchange	P.O. Box 43633- 00100, Nairobi	Securities Exchange.

Annual Licensees (for the period January 1, 2009 to December 31, 2009)

Stockbrokers:

Name	Address	Licence No.
ABC Capital Limited	P.O. Box 34137-00100, Nairobi	01/09
African Alliance Kenya Securities Limited	P.O. Box 27639-00506, Nairobi	02/09
Bob Mathews Stockbrokers Limited	P.O. Box 73253-00200, Nairobi	03/09
NIC Capital Securities Limited	P.O. Box 63046-00200, Nairobi	04/09
Genghis Capital Limited	P.O. Box 28987-00200, Nairobi	05/09

Extended Licences

Ngenye Kariuki and Company Limited	P.O. Box 12185-00400, Nairobi	068/08
Reliable Securities Limited	P.O. Box 50338-00200, Nairobi	069/08

Investment Banks:

Name	Address	Licence No.
African Alliance Kenya Investment Bank Limited	P.O. Box 27639-00506, Nairobi	06/09
Afrika Investment Bank Limited	P.O. Box 41684-00100, Nairobi	07/09
Apex Africa Investment Bank Limited	P.O. Box 43646-00100, Nairobi	08/09
Barclays Financial Services Limited	P.O. Box 30120-00100, Nairobi	09/09

Name	Address	Licence No.
CBA Capital Limited	P.O. Box 30437-00100, Nairobi	010/09
CFC Financial Services Limited	P.O. Box 44074-00100, Nairobi	011/09
Dry Associates Limited	P.O. Box 684-00606, Nairobi	012/09
Drummond Investment Bank Limited	P.O. Box 45465-00100, Nairobi	013/09
Dyer and Blair Investment Bank Limited	P.O. Box 45396-00100, Nairobi	014/09
Equatorial Investment Bank Limited	P.O. Box 52467-00200, Nairobi	015/09
Equity Investment Services Limited	P.O. Box 75104-00200, Nairobi	016/09
Faida Investment Bank Limited	P.O. Box 45236-00100, Nairobi	017/09
Kestrel Capital (East Africa) Limited	P.O. Box 40005-00100, Nairobi	018/09
NIC Capital Limited	P.O. Box 44599-00100, Nairobi	019/09
Renaissance Capital (Kenya) Limited	P.O. Box 40560-00100, Nairobi	020/09
Standard Investment Bank Limited	P.O. Box 13714-00800, Nairobi	021/09
Sterling Investment Bank Limited	P.O. Box 45080-00100, Nairobi	022/09

Extended Licences

Suntra Investment Bank Limited	P.O. Box 74016-00200, Nairobi	019/08
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Investment Advisers:

Name	Address	Licence No.
Bridges Capital Limited	P.O. Box 62341-00200, Nairobi	023/09
Cititrust Kenya Limited	P.O. Box 30711-00100, Nairobi	024/09
Co-operative Consultancy Services (K) Limited	P.O. Box 48231-00100, Nairobi	025/09
Deloitte Financial Advisory Limited	P.O. Box 40092-00100, Nairobi	026/09
Executive & Corporate Advisory Services (K) Limited	P.O. Box 72216-00200, Nairobi	027/09
Finconsult Company Limited	P.O. Box 38725-00623, Nairobi	028/09
First Africa E.A Limited	P.O. Box 56179-00200, Nairobi	029/09
Iroko Securities (Kenya) Limited	P.O. Box 66249-00800, Nairobi	030/09
Jani Consultancy Services Limited	P.O. Box 40583-00100, Nairobi	031/09
Loita Asset Management Limited	P.O. Box 39466-00623, Nairobi	032/09
J.W. Seagon Limited	P.O. Box 634201-00619, Nairobi	033/09
Regnum Consultants Limited	P.O. Box 11431-00400, Nairobi	034/09
The Profin Group (Kenya) Limited	P.O. Box 9980-00100, Nairobi	035/09
Tsavo Securities Limited	P.O. Box 11987-00100, Nairobi	036/09
VFS International (K) Limited	P.O. Box 4-00621, Nairobi	037/09
Winton Investment Services Limited	P.O. Box 607-00621, Nairobi	038/09

Extended Licences

Emerging Africa Capital Limited	P.O. Box 32200-00600, Nairobi	065/08
Equilibrium Capital Limited	P.O. Box 9000-00100, Nairobi	034/08
WSD Capital (Kenya) Limited	P.O. Box 99139, Mombasa	070/08

Fund Managers:

Name	Address	Licence No.
African Alliance Kenya Management Company Limited	P.O. Box 27639-00506, Nairobi	038/09
Amana Capital Limited	P.O. Box 9480-00100, Nairobi	039/09
AIG Global Investment Co. (E.A.) Limited	P.O. Box 67262-00200, Nairobi	040/09
Aureos Kenya Managers Limited	P.O. Box 30375-00100, Nairobi	041/09
British American Asset Managers Limited	P.O. Box 43233-00100, Nairobi	042/09
Co-optrust Investment Services Limited	P.O. Box 48231-00100, Nairobi	043/09
Genesis (K) Investment Management Limited	P.O. Box 79217-00200, Nairobi	044/09
ICEA Asset Management Limited	P.O. Box 46143-00100, Nairobi	045/09
InvesteQ Capital Limited	P.O. Box 56977-00200, Nairobi	046/09
Old Mutual Investment Services (K) Limited	P.O. Box 30059-00100, Nairobi	047/09
Old Mutual Asset Managers (K) Limited	P.O. Box 11589-00400, Nairobi	048/09
Sanlam Investment Management (K) Limited	P.O. Box 7848-00100, Nairobi	049/09
Standard Chartered Investment Services Limited	P.O. Box 30003-00100, Nairobi	050/09
Stanbic Investment Management Services (E.A.) Limited	P.O. Box 30550-00100, Nairobi	051/09
Zimele Asset Management Co. Limited	P.O. Box 76528-00508, Nairobi	052/09

Authorized Depositories

Name	Address	Licence No.
African Banking Corporation Limited	P.O. Box 46452-00100, Nairobi	053/09
Barclays Bank of Kenya Limited	P.O. Box 30120-00100, Nairobi	054/09
CFC Stanbic Bank Limited	P.O. Box 72833-00200, Nairobi	055/09

Name	Address	Licence No.
Co-operative Bank of Kenya Limited	P.O. Box 48231-00100, Nairobi	056/09
Dubai Bank Kenya Limited	P.O. Box 11129-00400, Nairobi	057/09
Equatorial Commercial Bank Limited	P.O. Box 52467-00200, Nairobi	058/09
Equity Bank Limited	P.O. Box 75104-00200, Nairobi	059/09
I & M Bank Limited	P.O. Box 30238-00100, Nairobi	060/09
Kenya Commercial Bank Limited	P.O. Box 48400-00100, Nairobi	061/09
National Bank of Kenya Limited	P.O. Box 72866-00200, Nairobi	062/09
NIC Bank Limited	P.O. Box 44599-00100, Nairobi	063/09
Prime Bank Limited	P.O. Box 43825-00100, Nairobi	064/09

Suspended Licences

Franklin Management Consultants Limited	P.O. Box 61843-00200, Nairobi	-	Investment Adviser
Inter-Alliance International (K) Limited	P.O. Box 11589-00400, Nairobi	-	Investment Adviser

Revoked Licences

IN EXERCISE of the powers conferred by section 26 and pursuant to section 27 (1)(b) of the Capital Markets Act, it is notified for the general information that the Capital Markets Authority has revoked the following license:

Name of Company	Address	Fund Manager
Old Mutual Asset Managers (E.A.) Limited	P.O. Box 4589 - 00400, Nairobi	

Approved Collective Investment Schemes:

1. African Alliance Kenya Unit Trust Scheme:
 - (i) African Alliance Kenya Shilling Fund.
 - (ii) African Alliance Kenya Fixed Income Fund.
 - (iii) African Alliance Kenya Managed Fund.
2. Old Mutual Unit Trust Scheme:
 - (i) Old Mutual Equity Fund.
 - (ii) Old Mutual Money Market Fund.
 - (iii) Old Mutual Balanced Fund.
 - (iv) Old Mutual East Africa Fund.
 - (v) Old Mutual Bond Fund.
 - (vi) Old Mutual East African Fund.
3. British American Unit Trust Scheme:
 - (i) British American Money Market Fund.
 - (ii) British American Income Fund.
 - (iii) British American Balanced Fund.
 - (iv) British American Managed Retirement Fund.
 - (v) British American Equity Fund.
4. Stanbic Unit Trust Scheme:
 - (i) Stanbic Money Market Fund.
 - (ii) Stanbic Flexible Income Fund.
 - (iii) Stanbic Managed Prudential Fund.
5. Commercial Bank of Africa Unit Trust Scheme:
 - (i) Commercial Bank of Africa Money Market Fund.
 - (ii) Commercial Bank of Africa Equity Fund.
6. Zimele Unit Trust Scheme:
 - (i) Zimele Balanced Fund.
 - (ii) Zimele Money Market Fund.
7. Suntra Unit Trust:
 - (i) Suntra Money Market Fund.
 - (ii) Suntra Equity Fund.
 - (iii) Suntra Balanced Fund.
8. ICEA Unit Trust:
 - (i) ICEA Money Market Fund.
 - (ii) ICEA Equity Fund.
 - (iii) ICEA Growth Fund.

9. Standard Investment Trust Funds:

- (i) Standard Equity Growth Fund.
- (ii) Standard Income Fund.
- (iii) Standard Balanced Fund.

10. Dyer and Blair Unit Trust Scheme:

- (i) Dyer and Blair Diversified Fund.
- (ii) Dyer and Blair Bond Fund.
- (iii) Dyer and Blair Money Market Fund.
- (iv) Dyer and Blair Equity Fund.

11. CFC Unit Trust Fund***Approved Employee Share Ownership Plans (ESOPS):***

- 1. EABL Employee Share Ownership Plan.
- 2. KENOL Employee Share Ownership Plan.
- 3. ARM Employee Share Ownership Plan.
- 4. AccessKenya Group Employee Share Ownership Plan.
- 5. Scangroup Employee Share Ownership Plan.
- 6. Safaricom Employee Share Ownership Plan.
- 7. Housing Finance Employee Share-Ownership Plan.

Dated the 28th day of April, 2009.

MICAH CHESEREM,
*Chairman,
Capital Markets Authority.*

STELLA KILONZO,
*Chief Executive,
Capital Markets Authority.*