

## **SPECIAL ISSUE**



# **THE KENYA GAZETTE**

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**NAIROBI, 27th April, 2012**

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GAZETTE NOTICE No. 5815

**THE STATE CORPORATIONS ACT**

(Cap. 446)

**THE NON-GOVERNMENTAL ORGANIZATIONS  
CO-ORDINATION ACT**

(No. 19 of 1990)

**APPOINTMENT**

IN EXERCISE of the powers conferred by section 6 (1) (a) of the State Corporations Act, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

**JOSHUA LEPARASHAU**

to be Chairman of the Non-Governmental Organizations Co-ordination Board, for a period of three (3) years.

Dated the 24th April, 2012.

**MWAI KIBAKI,**  
*President.*

GAZETTE NOTICE No. 5816

**THE STATE CORPORATIONS ACT**

(Cap. 446)

**THE NATIONAL DROUGHT MANAGEMENT AUTHORITY  
ORDER**

(L.N. 171 of 2011)

**APPOINTMENT**

IN EXERCISE of the powers conferred by section 6 (1) (a) of the State Corporations Act, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

**IBRAHIM M. HUSSEIN**

to be Chairman of the National Drought Management Authority, for a period of three (3) years.

Dated the 24th April, 2012.

**MWAI KIBAKI,**  
*President.*

GAZETTE NOTICE No. 5817

**THE STATE CORPORATIONS ACT**

(Cap. 446)

**THE MOI TEACHING AND REFERRAL HOSPITAL**

**APPOINTMENT**

IN EXERCISE of the powers conferred by section 6 (1) (a) of the State Corporations Act, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

**ABDULGHAFUR H. S. AL-BUSAIDY (DR.)**

to be Chairman of the Moi Teaching and Referral Hospital, for a period of three (3) years.

Dated the 24th April, 2012.

**MWAI KIBAKI,**  
*President.*

GAZETTE NOTICE No. 5818

**THE STATE CORPORATIONS ACT**

(Cap. 446)

**THE BRAND KENYA BOARD**

**APPOINTMENT**

IN EXERCISE of the powers conferred by section 6 (1) (a) of the State Corporations Act, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

**HANNINGTON JOEL GAYA**

to be Non-Executive Chairman of the Brand Kenya Board, for a period of three (3) years.

Dated the 24th April, 2012.

**MWAI KIBAKI,**  
*President.*

## GAZETTE NOTICE NO. 5819

THE MOI UNIVERSITY ACT  
(Cap. 210A)  
THE RONGO UNIVERSITY COLLEGE ORDER  
(L.N. 70 of 2011)  
APPOINTMENT

IN EXERCISE of the powers conferred by section 11 (1) (a) of the Rongo University College Order, 2011, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

Valeria Onyango—(Chairperson),  
Julius Kipkeny arap Kolil—(Vice-Chairman),

to be Council members of the Rongo University College, for a period of three (3) years, with effect from the 9th February, 2012.

Dated the 24th April, 2012.

MWAI KIBAKI,  
President.

## GAZETTE NOTICE NO. 5820

THE UNIVERSITY OF NAIROBI ACT  
(Cap. 210)  
THE EMBU UNIVERSITY COLLEGE ORDER  
(L.N. 65 of 2011)  
APPOINTMENT

IN EXERCISE of the powers conferred by section 11 (1) (a) of the Embu University College Order, 2011, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

Margaret Mumbi Gikuihi—(Chairperson),  
Alphan Njeru Munene—(Vice-Chairman),

to be Council members of the Embu University College, for a period of three (3) years, with effect from the 9th February, 2012.

Dated the 24th April, 2012.

MWAI KIBAKI,  
President.

## GAZETTE NOTICE NO. 5821

THE KENYATTA UNIVERSITY ACT  
(Cap. 210C)  
THE PWANI UNIVERSITY COLLEGE ORDER  
(L.N. 164 of 2007)  
APPOINTMENT

IN EXERCISE of the powers conferred by section 11 (1) (a) of the Pwani University College Order, 2007, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

F. K. ARAP SANG (PROF.)

to be Chairman of the Pwani University College Council, for a period of three (3) years, with effect from the 24th November, 2011.

Dated the 24th April, 2012.

MWAI KIBAKI,  
President.

## GAZETTE NOTICE NO. 5822

THE NATIONAL CEREALS AND PRODUCE BOARD ACT  
(Cap. 338)  
APPOINTMENT

IN EXERCISE of the powers conferred by section 3 (2) (a) of the National Cereals and Produce Board Act, I, Mwai Kibaki, President and Commander-in-Chief of the Defence Forces of the Republic of Kenya, appoint—

WILFRED MWANGI (PROF.)

to be the Chairman of the National Cereals and Produce Board, for a period of three (3) years, with effect from the 29th February, 2012.

Dated the 24th April, 2012.

MWAI KIBAKI,  
President.

## GAZETTE NOTICE NO. 5823

THE CAPITAL MARKETS ACT  
(Cap. 485A)  
ANNUAL LICENSEES

IN EXERCISE of powers conferred by section 11 (3) (e) and (f) and pursuant to section 27 (1) (a) of the Capital Markets Act, it is notified for general information that the Capital Markets Authority has granted the following approvals and licences to the companies set out in the schedule hereto:

SCHEDULE

Approved Institutions:

Name of the Company	Address	Nature of Operation
Acacia Fund Limited	P.O. Box 43233-00100, Nairobi	Venture Capital
Central Depository and Settlement Corporation Limited	P.O. Box 3464-00100, Nairobi	Central Depository
Global Credit Rating Company	P.O. Box 76667, Wendywood 2144, South Africa	Credit Rating Agency
The Nairobi Securities Exchange	P.O. Box 43633-00100, Nairobi	Securities Exchange

Annual Licensees (for the period January 1, 2012 to December 31, 2012)

STOCKBROKERS

Name	Address	Licence No.
ABC Capital Limited	P.O. Box 34137-00100, Nairobi	01/12
AIB Capital Limited	P.O. Box 11019-00100, Nairobi	02/12

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
ApexAfrica Capital Limited	P.O. Box 43676-00100, Nairobi	03/12
Genghis Capital Limited	P.O. Box 9959-00100, Nairobi	04/12
Francis Drummond and Company Limited	P.O. Box 45465, Nairobi	05/12
Kestrel Capital (E.A) Limited	P.O. Box 40005-00100, Nairobi	06/12
Kingdom Securities Limited	P.O. Box 48231-00100, Nairobi	07/12
NIC Securities Limited	P.O. Box 63046-00200, Nairobi	08/12
Old Mutual Securities Limited	P.O. Box 50338-00200, Nairobi	09/12
Sterling Capital Limited*	P.O. Box 45080-00100, Nairobi	10/12
Old Mutual Securities Limited	P.O. Box 50338-00200, Nairobi	11/12
Suntra Investment Bank Limited**	P.O. Box 74016-00200, Nairobi	12/12

\*The Authority has authorized this Stockbroker to carry out dealing operations through Sterling Securities East Africa Limited pursuant to Regulation 15(5) of the Capital Markets (Licensing Requirements) (General) Regulations, 2002.

\*\*This licensee is in the process of effecting a change of name to reflect its license status as a Stockbroker. Accordingly, this change of name shall be posted on the Authority website.

## INVESTMENT BANKS

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
African Alliance Kenya Investment Bank Limited	P.O. Box 27639 -00506, Nairobi	13/12
Barclays Financial Services Limited	P.O. Box 30120 - 00100, Nairobi	14/12
CBA Capital Limited	P.O. Box 34137-00100 Nairobi	15/12
CFC Stanbic Financial Services Limited	P.O. Box 47198-00100, Nairobi	16/12
Dyer and Blair Investment Bank Limited	P.O. Box 45396 - 00100, Nairobi	17/12
Equity Investment Bank Limited	P.O. Box 74454 - 00200, Nairobi	18/12
Faida Investment Bank	P.O. Box 45236 - 00100, Nairobi	19/12
NIC Capital Limited	P.O. Box 44599 - 00100, Nairobi	20/12
Renaissance Capital (Kenya) Limited	P.O. Box 40560-00100 Nairobi	21/12
Standard Investment Bank Limited	P.O. Box 13714-00100, Nairobi	22/12

## INVESTMENT ADVISERS

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
Alliance Capital Partners Limited	P.O. Box 12181-00100, Nairobi	23/12
Bora Capital Ltd	P.O. Box 26718-00100, Nairobi	24/12
Citidell Company Limited	P.O. Box 185-00606, Nairobi	25/12
Cititrust Kenya Limited	P.O. Box 30711-00100, Nairobi	26/12
Co-op Consultancy and Insurance Agency Limited	P.O. Box 48231-00100, Nairobi	27/12
Deloitte Financial Advisory Limited	P.O. Box 40092-00100, Nairobi	28/12
Executive and Corporate Advisory Services Kenya Limited	P.O. Box 72216-00200, Nairobi	29/12
Franklin Management Consultants Limited	P.O. Box 61843-00200, Nairobi	30/12
Iroko Securities (Kenya) Limited	P.O. Box 66249-00200, Nairobi	31/12
J.W. Seagon and Company Limited	P.O. Box 16658-0062, Nairobi	32/12
Lifestyle Management Limited	P.O. Box 1342-00606, Nairobi	33/12
PricewaterhouseCoopers Associates Limited	P.O. Box 43963-00100, Nairobi	34/12
Regnum Consultants Limited	P.O. Box 11431-00400, Nairobi	35/12
Standard Chartered Securities (K) Limited	P.O. Box 44286-00100, Nairobi	36/12
The ProFin Group (Kenya) Limited	P.O. Box 9980-00100, Nairobi	37/12
Tsavo Securities Limited	P.O. Box 9000-00100, Nairobi	38/12
VFS International (K) Limited	P.O. Box 4-00621, Nairobi	39/12
Winton Investment Services Limited	P.O. Box 607-00621, Nairobi	40/12

## EXTENDED LICENCE

Loita Asset Management Limited	P.O. Box 39466-00623	32/11
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## FUND MANAGERS

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
Amana Capital Limited	P.O. Box 9480-00100, Nairobi	41/12
Apollo Asset Management Company Limited	P.O. Box 30389 - 00100, Nairobi	42/12
Aureos Kenya Managers Limited	P.O. Box 43233- 00100, Nairobi	43/12
British American Asset Managers Limited	P.O. Box 30375-00100, Nairobi	44/12
Canon Asset Managers Limited	P.O. Box 30216 -00100, Nairobi	45/12
CIC Asset Management Limited	P.O. Box 59485 - 00200, Nairobi	46/12
Co-optrust Investment Services Limited	P.O. Box 48231-00100, Nairobi	47/12
FCB Capital Limited	P.O. Box 26219-00100, Nairobi	48/12
Dry Associates Limited	P.O. Box 684 - 00606, Nairobi	49/12
Genesis (K) Investment Management Limited	P.O. Box 79217-00200, Nairobi	50/12
ICEA Lion Asset Management Limited	P.O. Box 46143-00100, Nairobi	51/12
InvesteQ Capital Limited	P.O. Box 56977-00200, Nairobi	52/12
Jubilee Financial Services Limited	P.O. Box 30376-00100, Nairobi	53/12
Madison Asset Management Services Limited	P.O. Box 20092 - 00200 Nairobi	54/12
Old Mutual Asset Managers (K) Limited	P.O. Box 11589-00400, Nairobi	55/12
Old Mutual Investment Services (K) Limited	P.O. Box 30059-00100, Nairobi	56/12

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
PineBridge Investments East Africa Limited	P.O. Box 67262 – 00200, Nairobi	57/12
Sanlam Investment Management (K) Limited	P.O. Box 7848-00100, Nairobi	58/12
Standard Chartered Investment Services Limited	P.O. Box 30003–00100, Nairobi	59/12
Stanbic Investment Management Services (E.A.) Limited	P.O. Box 30550–00100, Nairobi	60/12
Zimele Asset Management Company Limited	P.O. Box 76528-00508, Nairobi	61/12

## AUTHORIZED DEPOSITORIES

<i>Name</i>	<i>Address</i>	<i>Licence No.</i>
African Banking Corporation Limited	P.O. Box 46452-00100, Nairobi	62/12
Barclays Bank of Kenya Limited	P.O. Box 30120–00100, Nairobi	63/12
Bank of Africa Kenya Limited	P.O. Box 69562–00400, Nairobi	64/12
CFC Stanbic Bank Limited	P.O. Box 72833–00200, Nairobi	65/12
Chase Bank (Kenya) Limited	P.O. Box 28987 – 00200, Nairobi	66/12
Co-operative Bank of Kenya Limited	P.O. Box 48231-00100, Nairobi	67/12
Equatorial Commercial Bank Limited	P.O. Box 52467-00200, Nairobi	68/12
Equity Bank Limited	P.O. Box 75104 - 00200, Nairobi	69/12
I & M Bank Limited	P.O. Box 30238-00100, Nairobi	70/12
Kenya Commercial Bank Limited	P.O. Box 30664–00100, Nairobi	71/12
National Bank of Kenya Limited	P.O. Box 72866–00200, Nairobi	72/12
NIC Bank Limited	P.O. Box 44599 - 00100, Nairobi	73/12
Prime Bank Limited	P.O. Box 43825- 00100, Nairobi	74/12
Standard Chartered Bank Kenya Limited	P.O. Box 30003-00100, Nairobi	75/12
Transnational Bank Limited	P.O. Box 34353-00100, Nairobi	76/12

## APPROVED COLLECTIVE INVESTMENT SCHEMES

## 1. African Alliance Kenya Unit Trust Scheme:

- (a) African Alliance Kenya Shilling Fund.
- (b) African Alliance Kenya Fixed Income Fund.
- (c) African Alliance Kenya Managed Fund.
- (d) African Alliance Kenya Equity Fund.

## 2. Old Mutual Unit Trust Scheme:

- (a) Old Mutual Equity Fund.
- (b) Old Mutual Money Market Fund.
- (c) Old Mutual Balanced Fund.
- (d) Old Mutual East Africa Fund.
- (e) Old Mutual Bond Fund.

## 3. British-American Unit Trust Scheme:

- (a) British-American Money Market Fund.
- (b) British-American Income Fund.
- (c) British-American Balanced Fund.
- (d) British-American Managed Retirement Fund.
- (e) British-American Equity Fund.

## 4. Stanbic Unit Trust Scheme:

- (a) Stanbic Money Market Fund.
- (b) Stanbic Fixed Income Fund.
- (c) Stanbic Managed Prudential Fund.
- (d) Stanbic Equity Fund
- (e) Stanbic Balanced Fund

## 5. Commercial Bank of Africa Unit Trust Scheme:

- (a) Commercial Bank of Africa Money Market Fund.
- (b) Commercial Bank of Africa Equity Fund.

## 6. Zimele Unit Trust Scheme:

- (a) Zimele Balanced Fund
- (b) Zimele Money Market Fund

## 7. Suntra Unit Trust Scheme:

- (a) Suntra Money Market Fund
- (b) Suntra Equity Fund
- (c) Suntra Balanced Fund

## 8. ICEA Unit Trust Scheme:

- (a) ICEA Money Market Fund
- (b) ICEA Equity Fund
- (c) ICEA Growth Fund
- (d) ICEA Bond Fund

## 9. Standard Investment Trust Funds:

- (a) Standard Investment Equity Growth Fund
- (b) Standard Investment Fixed Income Fund
- (c) Standard Investment Balanced Fund

## 10. CIC Unit Trust Scheme

- (a) CIC Money Market Fund
- (b) CIC Balanced Fund
- (c) CIC Fixed Income Fund
- (d) CIC Equity Fund

## 11. Madison Asset Unit Trust Funds

- (a) Madison Asset Equity Fund
- (b) Madison Asset Balanced Fund
- (c) Madison Asset Money Market Fund
- (d) Madison Asset Treasury Bill Fund
- (e) Madison Asset Bond Fund.

## 12. Dyer and Blair Unit Trust Scheme:

- (a) Dyer and Blair Diversified Fund
- (b) Dyer and Blair Bond Fund
- (c) Dyer and Blair Money Market Fund
- (d) Dyer and Blair Equity Fund

## 13. Amana Unit Trust Funds Scheme

- (a) Amana Money Market Fund
- (b) Amana Balanced Fund
- (c) Amana Growth Fund

## 14. Diaspora Unit Trust Scheme

- (a) Diaspora Money Market Fund
- (b) Diaspora Bond Fund
- (c) Diaspora Equity Fund

## 15. First Ethical Opportunities Fund

## 16. Genghis Capital Unit Trust Funds

- (a) Genghis Capital Bond Fund
- (b) Genghis Capital Diversified Fund
- (c) Genghis Capital Money Market Fund
- (d) Genghis Capital Al-Amana Fund
- (e) Genghis Capital Equity Fund

*Approved Employee Share Ownership Plans (ESOPS):*

- (a) EABL Employee Share Ownership Plan.
- (b) Equity Employee Share Ownership Scheme
- (c) KCB Employee Share Option Plan
- (d) KENOL Employee Share Ownership Plan.

- (e) ARM Employee Share Ownership Plan.
- (f) AccessKenya Group Employee Share Ownership Plan.
- (g) Scangroup Employee Share Ownership Plan.
- (h) Safaricom Employee Share Ownership Plan.
- (i) Housing Finance Employee Share Ownership Plan.

Dated the 28th day of April 2012.

KUNG'U GATABAKI,  
*Chairman,*  
*Capital Markets Authority.*

STELLA KILONZO,  
*Chief Executive,*  
*Capital Markets Authority.*