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GOVERNMENT NOTICES GOEWERMENTSKENNISGEWINGS

DEPARTMENT OF HOME AFFAIRS DEPARTEMENT VAN BINNELANDSE SAKE

No. 1009

21 June 1996

ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)

The Director-General has in respect of the following persons approved the alteration of their forenames to the forenames printed in italics:

1. Jerome Mbuso Dlamini (691125 5484 08 3), Trinity House 12, Stiemens Street, Braamfontein—*Mbuso*.
2. Patience Bengani (641209 0443 08 7), House 1189, Tshiawelo, Johannesburg—*Patience Zanele*.
3. Abram Madikwe Nkopane (701221 5346 08 9), P.O. Box 3498, Springs, Gauteng—*Laban Abram Modikwe*.
4. Dinkwetse Betty Mhlaba (1974-10-20), P.O. Box 188, Wolmaransstad—*Tshenolo Betty*.
5. Willson John Turnbull (1932-06-08), Immigration Section, South African High Commission, Trafalgar Square, London, WC2N 5DP—*John Jacob*.
6. Patricia Nocwaka Vuthela (1966-05-01), House 32355, Maccasar, Khayelitsha—*Nocwaka Patricia*.
7. Ditsietsi Maria Hlabahlaba (1975-05-21), Star Diamond Mine, Theunissen—*Moipone Maria*.
8. Nomadlozi Pertunia Mahong (740111 0733 08 9), 18 Maruping Street, kwaThema—*Mpho Pertunia*.
9. Diel-Nawaz-Begum Latief (1964-12-22), 5 Homeria Road, Belhar, Matroosfontein—*Dielnawaaz Begum*.
10. Thabo Tambo (1959-03-01), 13 Eviswold Way, Saxonwold—*Dali David Thabo*.

11. Makhosazana Athames Flayser (731024 0353 08 2), Private Bag X1006, Evander—*Athamens Isabella*.
12. Sinki Francina Thobakgale (650325 1110 08 3), House 3103, Block L, Soshanguve—*Sinkie Francina*.
13. Meshack Ndabeliseni Mulaudzi (670421 5476 08 7), 3218 Mphaphuli Street, P.O. kwaXuma, Chiawelo—*Lavhelesani Meshack*.
14. James Khabalinjani Hlohla (500319 5525 08 3), 9 Jamie Way, Mandalay—*Khabalinjani James Zola*.
15. Qhomukile Libozisile Blose (560820 0649 08 0), House M357, Umlazi, Durban—*Qhamukile Beauty*.
16. Nicholas Phuzuphume Khumalo (731109 5494 08 8), P.O. Box 62, Pomeroy—*Nicholas Sihle*.
17. Zuzokuhle Edgar Zulu (600104 5807 08 0), P.O. Box 836, Emondio—*Edgar Zuzokuhle*.
18. Makgorane Violet Sehoole (670626 0922 08 9), P.O. Box 341, Skilpadfontein—*Violet Makgorane*.
19. Sydney Ndukembi Mbili (680307 5294 08 3), House Q1266, Umlazi, P.O. Umlazi Township—*Sydney Nduku Bongani*.
20. Sara Manganyi (610130 0009 08 1), P.O. Box 370, Elim Hospital—*Sarah Sasavona*.
21. Elias Sibeko (700603 5451 08 7), House 5810, Zone 5, P.O. Diepkloof, Orlando—*Elias Zabalaza*.
22. Nicolette Nonziwa Khumalo (710410 0911 08 3), P.O. Box 1809, Dennilton—*Nicolette Nonzingo*.
23. Mthuthuzeli Sikade (540530 5669 08 3), 56 Saturn Crescent, Ikhezi Park, Khayelitsha—*Elias Mthuthuzeli*.
24. Virginia Meyiwa (421026 0265 08 8), Intimyané LP School, P.O. Box 51, Umbumbulu—*Virginia Thandekile Sibongile*.
25. Malda Louise Maasdorp (570226 0217 08 4), P.O. Box 31296, Grassy Park—*Masnoena*.
26. Mercia Susan Sayed (1966-11-12), 4 Manenberg Avenue, Manenberg—*Madenia*.
27. Gerhardus Petrus Vermeulen (470805 5008 08 4), 3C Hildebrand Street, Helderkruijn, Extension 5, Roodepoort—*Gé*.
28. Fazila Haroon Abdul Ahmed (640704 0062 08 6), P.O. Box 1386, Port Shepstone—*Fazila*.
29. Mariamma Kisten (360503 0023 08 2), 144 Dalia Road, Asherville—*Mariam*.
30. Gadjai Mohamed (360419 0052 08 0), 20 Tolerance Road, Wetton—*Gadija*.
31. Janap Ariefdien (640211 0205 08 7), 10 Bamboeberg Road, Heideveld, Athlone—*Zainub*.

No. 1010

21 June 1996

**ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames to the forenames printed in italics:

1. Rasetene Janie Ntseke (320601 5216 08 8), Kalafong Hospital, Private Bag 396, Pretoria—*Rasetene Jan*.
2. Elias Ratshagana Kobue (670627 5493 08 4), 408 Mongale Street, Tshing Township, Ventersdorp—*Elias Rathebe*.
3. Thandazile Princess Radebe (530502 0501 08 4), P.O. Box 8556, Cumberwood—*Ntombenhle Princess*.
4. Edward Charles Lebeko (480913 5599 08 2), P.O. Box 3120, Benoni—*Charles-Edward Mokheseng*.
5. Roselyn Ngcongco (370714 0251 08 0), Nhlazuka, Richmond—*Bhahlakanipile Roselyn*.
6. Achmat Gafieldien (601222 0151 08 7), 602 Federated Place, 10 O'Reilly Road, Berea—*Verushka Camilla*.
7. Tshweu Edward Marosha (720505 5644 08 0), House 3771, Tumahole, Parys—*Funani Edward*.
8. Alexander Nqotole (640920 5399 08 9), NY 113, No. 32, Guguletu—*Alexander Themba*.
9. Pellegrin Cynthia Nomaza Nyuswa (560330 0801 08 7), P.O. Box 121, Highflats—*Pellegrin Nontobeko*.
10. Seabata Michael Xaba (430504 5458 08 9), 13 Peter Collier Drive, Sonland Park—*Shobathe Michael*.
11. Olan Nover Alexander (441122 0118 08 2), 8 Chelsea Avenue, London Village, Weltevreden Valley—*Fawzia*.
12. Cecelia Sadien (500401 0024 08 8), 8 Abbotsbury Road, Grassy Park—*Nadia*.
13. Eunice Hazel Hayder (661220 0166 08 6), 56 Veronica Street, Montrose Park, Lentegour, Mitchells Plain—*Yumna*.
14. Najasha Brown (710921 0244 08 5), 48 Soetdoring Street, Bonteheuwel—*Nasheeta*.
15. Hazel Isaacs (721224 0127 08 8), 12 Matroosplein, Facreton, Maitland—*Tasneem*.
16. Karen Leechmy Ebrahim (670218 0167 08 7), 52 Athwood Road, Newfields Estate, Athlone—*Kauthar*.

No. 1011

21 June 1996

**ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames to the forenames printed in italics:

1. Joyce Magidi (1972-05-03), P.O. Box 1136, Louis Trichardt—***Avhatakali Joyce.***
2. Xoliswa Synthia Seritili (671120 0530 08 3), 147 Ponong Section, Extension 5, Vosloorus, Boksburg—***Xoliswa Cynthia.***
3. Radigute Joseph Moleko (710204 5636 08 8), 45 7th Avenue, Alexandra—***Joseph Sello.***
4. Ligani Zwane (510618 5433 08 3), Room 31, Diepkloof Hostel, Diepkloof—***Ligani Elliot.***
5. Hzwihangwisi Lurcy Masevhe (434/592/80), P.O. Box 1915, Thohoyandou—***Azwihangwisi Lucy.***
6. Matamela Gladys Mutavhatsindi (1960-05-08), P.O. Shayandima, Shayandima—***Matamela Gladys.***
7. Tshiane Nemetudi (210/1980/17939), P.O. Box 16, Vhufuli, Venda—***Tshiane Calvin George.***
8. Thidziambi Lalamani (1969-10-16), P.O. Box 116, Tshakhuma, Venda—***Thidziambi Florence.***
9. Mbova Zephania Madonsela (741101 5500 08 7), Box 119, Kanyamazane—***Zephania Mbova.***
10. Lulama Emily Klaas (570620 0995 08 9), Browns Farm, Philippi—***Lulama Sylvia.***
11. Busisiwe Thombani Mthimunya (700307 0504 08 6), P.O. Box 928, Dennilton—***Busisiwe Thembani.***
12. Pakaditha Samson Kadi (711230 5551 08 3), House 746, Extension 10, Jouberton—***Mthomola Samson.***
13. Ndiafhi Mulaudzi (210/1972/573), P.O. Box 111, Shayandima—***Ndiafhi Colbert.***
14. Stephen Tshitevhe (210/75/391), P.O. Box 3495, Thohoyandou—***Rudzani Steven.***
15. Khathutshelo Mukosi (210/78/845), Box 162, Phiphidi—***Adziliwi Rhineth.***
16. Nolwazi Khanyile (388/75/4139), Swayimane Township, Wartburg—***Nolwazi Pretty.***
17. Elisa Chaaboyo Maake (185/65/277), Box 259, Mulima—***Tshavhuyo Elisa.***
18. Hazel Totonkie Mdaweni (570913 0390 08 2), P.O. Box 105, Pudimoe—***Hazel Totonkie Winnifred.***
19. Goutlilweng Digotswang Mogotsi (251/74/842), House 2312, Unit One, Seloshesha, Thaba Nchu—***Gaboutlwele Digotswane.***
20. Martha Nana Tsoaedi (720119 0756 08 7), House 1510B, Zone 9, Meadowlands—***Nana Martha.***
21. Kelekegile Rosa Malekanyo (731125 1053 08 2), P.O. Box 40, Tuany Station—***Mapule Rosy.***
22. Tlhokammoni Moepya (670108 0457 08 5), Box 401, Dennilton—***Tlhokammoni Grace.***
23. Mhlanhla Patrick Biyela (680705 5686 08 5), Private Bag X20, Bellville—***Nhlanhla Patrick Sydney.***
24. Masie Kleinbaas Mphethi (720306 5540 08 3), P.O. Box 1270, Burgersfort—***Masie Saul.***
25. Janius Segobaetso (661003 0848 08 9), P.O. Box 145, Sonop—***Eunice Kal.***
26. Matshidiso Jacob Rahotsi (691226 5578 08 6), 910 Masilo, Theunissen—***Matshidiso Melvin Goodwill.***
27. Sindisa Jaceni (600822 5646 08 9), 22 Gqubule Street, kwaNobuhle—***Sindisa Mbonelell.***
28. Shumani Kutama (740704 0863 08 6), P.O. Box 18, Kutama, Venda—***Shumani Grace.***
29. Nomsa Rosemary Nkume (680101 1646 08 5), 31 Mhlanga Street, Kwamagxaki, Port Elizabeth—***Nomsa Chunky Pamela.***
30. Nathaniel Thulare (620103 5102 08 4), 14 Shilenge Street, Extension 1, Atteridgeville—***Nathaniel Nare.***
31. Zirk Abram Makhoba (550828 5771 08 2), P.O. Box 2648, Middelburg—***Abram Zondile Sonnyboy.***
32. Maria Nkadu Motsepe (590504 0145 08 3), House 373, Unit X, Mabopane, Bophuthatswana—***Mary Nkadu.***
33. Lutchamma Pienaar (500208 0727 08 5), 29 Equeury Gardens, 149 Ronald Road, Montclair—***Melissa.***
34. Petrus Nhlumayo (641117 5319 08 9), House 1496, Morgette Street, Vosloorus—***Mpiyakhe Petrus.***
35. Hlupheka David Baloyi (720425 5440 08 4), 11824 Woodpacker Street, Kagiso 2, Kagiso—***David Master.***
36. Nonhlanhla Mkhize (641103 0595 08 9), House C779, kwaMashu Township, kwaMashu—***Nonhlanhla Patience.***
37. Menji Siphon Mpanza (620318 5708 08 2), P.O. Box 2214, Esikhawini—***Menzi Siphon.***
38. Thabo Meshack Molefe (680805 5498 08 3), P.O. Box 418, Kanyamazane—***Thabo Motsome Aquarius.***
39. Lehlohonolo Clifford Moagi (550905 5793 08 2), Nqolase Street, Atteridgeville—***Ntsie Lehlohonolo.***
40. Nobongaza Appelkoos Malindi (710505 5632 08 7), Box 1522, Frankfort—***Koos Pongoza.***
41. Nomangelengele Mnambati (670217 0328 08 7), F576 Ntlansi Road, kwaMashu—***Florence Nomangelengele.***
42. Bhekumuzi Albert Nkosi (730105 5563 08 9), 15 Lerutle Street, kwaThema—***Bhekumuzi Albert Innocent.***
43. Yolisa Matyila (570114 0081 08 2), P.O. Box 69, Indwe—***Yolisa.***

44. Jabsile Bertina Nkosi (730928 0727 08 0), Box 76, Ingogo—*Jabulisile Bertina*.
45. Kgosiemang Lobious Phokompe (750103 5701 08 5), P.O. Box 177, Lichtenburg—*Kgosiemang Labious*.
46. Margareth Mary Nkhoma (710417 0710 08 4), 700 Mahabane Street, Ikageng, Potchefstroom—*Maggy Margaret*.
47. Freckie Johannes Mashilo (720706 5494 08 4), P.O. Box 128, Majaneng—*Freddy Johannes*.
48. Michael Bheki Ngcobo (750112 5838 08 6), Ndalen, Richmond—*Michael Thulasizwe*.
49. Maxwell Majolandile Qonde (620911 5912 97 2), 684 Nyakallong, Allanridge—*Maxwell Majolandile Thembinkosi*.
50. Thomas Phungula (620715 5762 08 6), 1290 Malachi Street, Rockville, Moroka—*Thomas Collin*.
51. Joseph Sibiya (730403 5401 08 1), P.O. Box 5883, Nelspruit—*Joseph Gabriel*.
52. Kahlolo Joseph Mofokeng (711112 5687 08 5), P.O. Box 7979, Madadeni—*Kgotso Jerry*.
53. Lebogang Rebecca Mosheshe (720724 0430 08 6), House 535, Block L, Soshanguve—*Lebogang Nkgabu Rebecca*.
54. Vivacious Mphuthi (720401 0574 08 6), 12424 Laka Street, Daveyton—*Vivacious Mmashadi*.
55. Vukile Simon Somni (700417 5333 08 2), 644 New Township, Sterkstroom—*Mzuvukile Tobias Simon*.
56. Eunice Sizile Nsibande (641218 0313 08 5), 453 Hlubi Street, Wesselton, Ermelo—*Eunice Sizani*.
57. Stephen Samuel Mphelo (631226 5714 08 2), House 1439, Block F, Soshanguve—*Stephen Samuel Mojaki*.
58. Keneilwe Morekhure (630328 0773 08 8), House 3121, Block B, Mabopane, Pretoria—*Keneilwe Vivian*.
59. Awelani Mamuremi (366/80/14472), P.O. Box 250, Lwamonda—*Awelani Victor*.
60. Simon Mfanomuhle Xaba (660922 5533 08 4), P.O. Box 1812, Empangeni—*Menziwokuhle Mkhulumiweqiniso Godwell*.
61. Mutshinyalo Johanna Munyai (210/79/2783), Box 520, Sibasa, Venda—*Mutshinyalo Nancy*.
62. Ntshanheni Mathoma (210/88/8684), P.O. Box 1824, Sibasa—*Ntshavheni Nndwayamato*.
63. Ndanganeni Makhavhu (366/82/3564), P.O. Box 4591, Thohoyandou—*Nndanganeni Sylson*.
64. Patience Magogodela (366/80/4331), Box 378, Shayandima—*Tshilidzi Patience*.

No. 1012

21 June 1996

**ASSUMPTION OF ANOTHER SURNAME IN TERMS OF SECTION 26 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has authorized the following persons to assume the surname printed in italics:

1. Elmarie Murdoch—720702 0184 08 5—P.O. Box 4263, The Reeds, Centurion—*Putter-Murdoch*.
2. Maleki Hendrik Machosi—540424 5812 08 5—his wife Coliwe Eva Machosi—560907 0611 08 5—and minor child Siphon Meshack Machosi—1977-03-19—House 1348, Section M, Botshabelo—*Duntsu*.
3. Vili Ben Mhlomo—570315 5455 08 6—Private Bag X632, Ubombo—*Mthembu*.
4. Fondy Brian Cele—610711 5671 08 0—P.O. Box 141069, Madadeni—*Mathe*.
5. Alson Mphatheni Jali—660618 5441 08 4—Private Bag X517, Tugela Ferry—*Ngubane*.
6. Victor Danti Juta—681222 5763 08 7—P.O. Box 1995, Richards Bay—*Hlatshwayo*.
7. Bradley Alanson Walker—560220 5836 08 1—187 Blair Atholl Road, Westville—*Fouché*.
8. Mammako Roseta Nelane—491016 0273 08 8—House 792, Section G, Botshabelo—*Ranko*.
9. Maboko Maiko Shingange—531017 5374 08 9—P.O. Box 544, Tzaneen—*Mthembi*.
10. Pudetsile Lucas Makuke—511012 5493 08 2—and his wife Neo Sarah Makuke—571227 0889 08 7—P.O. Box 420, Atamelang—*Gasemene*.
11. Moses Henry Ntshalintshali—550504 5447 08 6—his wife Thembi Elizabeth Ntshalintshali—521016 0573 08 5—and minor child Zanele Nelisile Ntshalintshali—910724 0187 08 2—P.O. Box 4628, Ezakheni—*Kunene*.
12. Koolsum Siddick—1918-08-28—P.O. Box 6437—*Siddick Salim*.
13. Castor Sello Thathe—641106 5774 08 8—874C White City, Jabavu, Kwa-Xuma—*Shabangu*.
14. Adam Abramowitz—740722 5131 08 5—11912 Goshen Avenue, 4 Los Angeles—*Mc Dermott*.
15. Elias Vusi Sekakane—671108 5319 08 1—P.O. Box 141, Kwa-Mhlanga—*Sikhakhane*.
16. Willem Johannes Maarman—621130 5887 08 2—Stenebrug, Piketberg—*Engelbrecht*.
17. Nathaniel Sikhumbuzo Gwamanda—670216 5480 08 3—67 Collard Road, Durban North, Durban—*Mzolo*.
18. Anwer Mia Abdool Rahaman—590612 5830 08 6—P.O. Box 3776, Johannesburg—*Sali*.
19. Ntsiele Alpheus Radebe—530826 5647 08 3—5062 Mothaba Street, Vosloorus, Boksburg—*Mooli*.
20. Khalil Ahmed-Sahib Dawood—580204 5135 08 8—and minor child—Zaabeeba Ahmed-Sahib Dawood—941221 0098 08 1—P.O. Box 1019, Lenasia—*Dawood*.

21. Christopher Thula Wildebeest—641124 5697 08 4—2171 32nd Avenue, Clermont Township, Clermont—**Xulu.**
22. Elvis Cebo Sicwebo—720508 5413 08 4—101 Block D, Mabopane—**Mvulane.**
23. Bobo Solomon Gumbi—680702 5555 08 9—and his wife Nellie Nelisiwe Gumbi—700114 0485 08 8—House B1040, Mbando Road, KwaMashu—**Cele.**
24. Odicious Buzisisa Makhathini—691212 6131 085—1831 Kwamakuta Township, Amanzimtoti—**Ndaba.**
25. Shinaaz Esau—640318 0797 08 6—36 39th Avenue, Hazendal, Athlone—**Hassen.**
26. Gija Samuel Mathebula—360111 5260 08 7—P.O. Box 60, Khomanani—**Maluleke.**
27. Mdelwa Richard Xulu—480820 5317 08 6—P.O. Box 165, Utrecht—**Mxumalo.**
28. Grace Zondo—630703 0615 08 5—House 1170 Zondi One, Kwa-Xuma—**Gumede.**
29. Nomsisi Tatase—451210 0206 08 5—House 8001 Nu 3, Mdantsane—**Khohlakali.**
30. Ronald Mfokazi Mthiyane—560508 5335 08 2—P.O. Box 284, Empangeni—**Gumede.**
31. Deenadayalan Naidoo—710505 5147 08 6—and his wife—Suhaiba Naidoo—710420 0147 08 3—54 Skylark Road, Bayview, Chatsworth—**Mahomed.**
32. Sinah Nomahlobi Madonsela—630618 0741 08 9—463 Section, Tsenelong—**Mqojo.**
33. Thembekile Vilakazi—740202 0856 08 5—P.O. Box 397, Mtubatuba—**Mbokazi.**
34. Derrick Bongumusa Dlamini—710430 5412 08 5—P.O. Box 410, Kwa-Dlangezwa—**Msimango.**
35. Ramalata Peter Khorombi—5003135587 08 6—P.O. Box 1046, Westonaria—**Ramalata.**
36. Ndinannyi Petrus Mukhotho—520303 6143 08 4—245 Soutpansberg Road, Rietondale, Pretoria—**Ratshikakala.**
37. Matome Albert Machaba—450226 5230 08 7—P.O. Box 95, Duiwelskloof—**Sehodi.**
38. Dumisani Oliviar Magwaza—620616 5849 08 1—P.O. Box 974, Eshowe—**Mthethwa.**
39. Sibongiseni Eil Mthethwa—650828 541408 8—Cranson Heights, Room 404, 24 Esselen Street, Hillbrow—**Hlongwane.**
40. Samukelisiwe Goodwill Zwane—660507 5529 08 1—P.O. Box 756, Volksrust—**Mfuphi.**
41. Dumisani William Shandu—590130 5744 08 2—C 806 Umlazi Township, Umlazi—**Mthiyane.**
42. Tholakele Mavis Shandu—591103 0313 08 7—P.O. Box 3359, Pietermaritzburg—**Mbatha.**
43. Theminkosi Bhokuyise Sibiya—620203 5420 08 8—P.O. Box 198, Nongoma—**Zungu.**
44. Matloka Samuel Pheeha—560303 5818 08 3—Room 273, Block J, Saulsville Hostel, Saulsville—**Machaka.**
45. Petrus Mahlangu—540925 5288 08 4—P.O. Box 15, KwaNdebele—**Mtshweni.**
46. Moeti Simon Masooa—340505 5386 08 8—553095 Zone 3, Sebokeng—**Sefatsa.**
47. Makhaukane Maluleke—300501 0342 08 3—P.O. Box 246, Lulekani—**Nkuna.**
48. Kleinbos Ackson Sikhosana—481129 5300 08 7—2314 Nokone Street, Wattville, Benoni—**Silinda.**
49. Zakhele Masinga—650131 5666 08 2—P.O. Box 625, Umkomaas—**Bhengu.**
50. Tumishe Philemon Baloyi—431217 5490 08 0—Stand 2763, Stinkwater, Moretele—**Bopal.**
51. Ndoda Lymon Mkhwanazi—521221 5549 08 6—P.O. Box 281, Dannhauser—**Masuku.**
52. Victor Johan Dladla—520122 5576 08 0—and his wife Fakazile Phyllis Dladla—570824 0752 08 2—Private Bag X9073, Pietermaritzburg—**Ngubane.**
52. Lawrence Mfirifiri Tshabalala—690217 5426 08 0—193 Moshoeshoe Section, Kattlehong—**Tsotetsi.**
54. George Polychronopoulos—661210 5158 08 9—6 Lena Gardens, London UK—**Polly.**
55. Aveen Patak—551212 5081 08 2—67 Brixham Road, Allandale, Pietermaritzburg—**Maharaj.**
56. Natalie Yolanda Onverwacht—720710 0061 08 8—R10-Nat, 6 Homestead Way, Westridge, Mitchells Plain—**Arendse.**
57. Mogamamad Shafik Niftagodien—541021 5163 08 6—9 Fayker Road, Grassy Park—**Noor.**
58. Coreen Lizette Kloke—721109 0121 08 4—P.O. Box 11887, The Tramshead—**Morrow.**
59. Sivalingum Sithia—600811 5215 08 6—and his wife Dhanalutchemee Sithia—621030 0029 08 8—Road 729, House 88, Chatsworth—**Pillay.**
60. Adolphe Noël Alain Macquet—660610 5253 08 0—and his wife—Adrienne Macquet—690307 0226 08 8—P.O. Box 1016, Fourways—**Marqeot Macquet.**
61. Mark Robert Jamieson—700217 5106 08 6—P.O. Box 21403, Bluff—**Frühaut.**
62. Solly Simanowitz—310808 5103 08 1—10 Hilton Road, Oranjezicht—**Siman.**
63. Sivan Lutchman—680228 5148 08 9—and his wife Vignasvarie Gangadram Lutchman—700920 0158 08 1—25 Parbat Crescent, Everest Heights, Verulam—**Reddy.**
64. Asmudien Jaffar—451206 5071 08 6—P.O. Box 76, Crawford—**Jaffer.**
65. Shabeer Chetty—740804 5185 08 7—177 Balhambra Way, Northdale—**Careem.**

66. Raveendra Dhunraj—721023 5132 08 9—23 Flower Road, Northdale, Pietermaritzburg—**Somaru**.
67. Aloudien Toefy—621215 5208 08 0—and three minor children Shameemah Toefy—830819 0256 08 0—Mugammad Mustapha Toefy—850627 5091 08 8—Salma Toefy—910330 0078 08 9—P.O. Box 31230, Grassy Park—**Ahmed**.
68. Suresh Napal—740216 511408 4—102 Progress Avenue, Moorton, Chatsworth—**Ebrahim**.
69. Keith Mogola Khupe—631003 6054 08 5—P.O. Box 2145, Mafikeng—**Bothongo**.
70. Vika Samson Jotham Kumalo—641225 6125 08 0—and his wife Delisile Talitah Kumalo—660416 0828 08 6—P.O. Box 1948, Amersfoort—**Zwane**.
71. Sushila Sivasunker—531224 0127 08 8—13 Prestbury Terrace, Eastbury, Phoenix—**Maharaj**.
72. Paul Max Aronson—680730 5064 08 3—13 Porter Avenue, Melrose North—**Aronsin**.
73. Andrew Bala—680416 5048 08 2—and his wife June Caroline Bala—690610 0120 08 0—P.O. Box 41365, Rossburgh—**Govender**.
74. Steven Gerald Armstrong—710626 5105 08 8—10 Palatine Road, Plumstead—**Webster Lewis**.
75. Mabutho Bhekizenzo Ndlovu—540214 5558 08 9—Entathunzi Arena, Empangeni—**Buthelezi**.
76. Keseidicwe Elina Dikole—101101 0146 08 8—P.O. Box 620, Taung Station—**Jotwe**.
77. Moses Ntshangase—631209 5336 08 0—House 352, Zone 6, Meadowlands—**Thukwayo**.
78. Sipho Mpiyomndeni Mbatho—711025 5542 08 6—P.O. Box 79, Ulundi—**Mbatha**.
79. Phumlani Richman Zulu—670920 5525 08 2—13 Hollins Road, Primrose, Germiston—**Sibisi**.
80. Butie John Mbangata—680822 5614 08 0—8 North Dene Grove, Blyvooruitsig—**Myburgh**.
81. Porobele David Langa—550929 5704 08 9—Mamelodi Hostel, WB Room 1, Rethabile, Mamelodi—**Mabusela**.
82. Mhlupheki Alvid Ndhlozi—661231 5274 08 0—P.O. Box 52002, Osizweni—**Phakathi**.
83. Blessing Vusi Langa—681001 5788 08 2—P.O. Box 3154, Esikhawini—**Zulu**.
84. Mphephu Joe Sithi—580801 6042 08 8—Private Bag X1230, Vuwani—**Mukwevho**.
85. Yoni Gedion Mahlangu—440120 5253 08 7—P.O. Box 300, Hendrina—**Sindana**.
86. Mantunu Emily Mokoena—440401 0321 08 1—10 Seeisoville Hostel, Kroonstad—**Mariti**.
87. Zamilé Mani—590110 5557 08 0—P.O. Box 2471, Port Alfred—**Dtyibishe**.
88. Patrick Makuselane—541118 5690 08 2—P.O. Box 35, Melville—**Nhlapo**.
89. Veli Samson Ndinisa—470722 5260 08 8—P.O. Box 93, Mpuluzi—**Ndlandla**.
90. Dumisani Edward Mthethwa—600904 5329 08 8—No. 14957 Extension 8B, Orange Farm, Grassmere—**Xaba**.
91. Sipho Enock Khumalo—590312 5882 08 4—House E 941, Umlazi Township, Umlazi—**Cele**.
92. Jackson Makgetha Sobuthongo—600405 5857 08 6—72 17th Avenue, Alexandra—**Phohleli**.
93. Ester Nomkhosi Sithole—591111 1020 8 0—Private Bag X2002, Empangeni—**Mhlanga**.
94. Freedman City Ndzekeni—580727 5865 08 0—and two minor children—Bongi Xolisile Ndzekeni—860818 0285 08 4—Vuyolwethu Simphiwe Ndzekeni—1988-04-08—P.O. Box 303, Embalenhle—**Mabena**.
95. Morwasehla Sibert Lebea—710424 5427 08 6—P.O. Box 4156, Ga-Kgapane—**Ramodisa**.
96. Mpati Gladys Maloka—640105 0270 08 5—P.O. Box 93, Vereeniging—**Mokoena**.
97. Nuwejaar Andries Mathibeng—640101 5600 08 7—Soetmelkfontein "A", Moutse—**Lekala**.
98. Elija Mfanvele Msomi—550615 5710 08 1—P.O. Box 336, Emondlo—**Zondo**.
99. December Richard Nzuza—600430 5532 08 3—Private Bag X10619, Stanger—**Phiri**.
100. Elias Johannes Dhlamini—6002045408 08 5—10234 Noga Street, Tsakane—**Masango**.
101. Bongani Christopher Ndaba—640517 5589 08 9—his wife Nonhlanhla Ruby Ndaba—690316 0264 08 0—and three minor children Nokulunga Pearl Ndaba—850110 0321 08 1—Siyabonga Witness Ndaba—851220 5343 08 6—Berly Nontobeko Ndaba—900515 0266 08 8—Georgedale Reserve, Hammarsdale Reserve, Hammarsdale—**Mkhize**.
102. Boyi Thomas Nkosi—440511 5368 08 3—Stand 1015, Kanyamazane—**Ndhlovu**.
103. Mbudzeni Alfred Mavhungu—270810 0164 60 1—P.O. Box 336, Makonde—**Ravhura**.
104. Zola Florence Khomo—590910 0925 08 7—and her three minor children Themba Emmanuel Khomo—1983-03-19—Bonginkosi Khomo—1985-10-17—Ncamsile Khomo—940920 0242 08 1—c/o Pick 'n Win Supermarket 34010, Maid Road, Umbembulu—**Radebe**.
105. John Batiwe—230206 5103 08 5—House 2869 Zone 10, Zwelitsha—**Mbatiwe**.
106. Amos Makhathini—580530 5702 08 3—P.O. Box 314, Mahlabathini—**Thungo**.
107. Jomo Ishmael Nkosi—721107 5801 08 0—124 13th Avenue, Alexandra, Johannesburg—**Dlamini**.
108. Bheki Richard Mdlalose—570812 5538 08 5—P.O. Box 76, Dundee—**Mdakane**.
109. Sibiso Mdladla—630326 5389 08 2—House 462 Zola 3, kwaXuma—**Mazibuko**.

110. Solomon Manotch Rhgkoale—620217 5835 08 7—12205 Nareng Street, Daveyton—*Maepa*.
111. Thamsanqa Petros Gcwenca—610830 5348 08 3—his wife Thembisile Linden Gcwenca—591106 0344 08 5—and three minor children Evidence Nkosingiphile Gcwenca—810815 5272 08 4—Hopewell Bhekithemba Gcwenca—880124 5301 08 3—Fathima Bebry Gcwenca—920404 0286 08 9—P.O. Box 1231, New Germany—*Gcwenca*.
112. Bahle Simon Mncwabe—620726 5760 08 7—and his wife Mavis Thandi Mncwabe—691020 0296 08 9—Private Bag 506, Plessislaer—*Zuma*.
113. Dle Manqoba Dladla—560424 5431 08 9—and his wife Thembi Thokozile Dladla—620302 1217 08 2—P.O. Box 1667, Estcourt—*Mayisela*.
114. Bhekithemba Joseph Sibisi—510520 5184 08 0—and his wife Florence Nobuhle Sibisi—591024 0794 08 6—695 Mavimbela Section, Katlehong—*Khumalo*.
115. Britt Robstad—690402 1077 08 3—302 Ashbourne, Main Road, Kenilworth, Cape Town—*Kay Taylor*.
116. Musawenkosi David Xaba—600922 5648 08 3—K 1484 Golantethe Road, kwaMashu—*Mncube*.
117. Mhlupheki Moos Hlatswayo—501203 5608 08 2—his wife Ntombizodwa Mendi Hlatswayo—510625 0232 08 9—and three minor children Nonhlanhla Goodness Hlatswayo—1980-01-25—Sfiso John Hlatswayo—1985-12-13—Thulisile Agness Hlatswayo—901009 0563 08 1—P.O. Box 89, Middelburg—*Tugwana*.
118. Jabulani Elijah Sithole—650509 5609 08 9—G 139 Umlazi Township, Umlazi—*Masondo*.
119. Bonga Richard Dlamini—670427 5286 08 7—his wife Ncamsile Petty-Joyce Dlamini—660916 0561 08 2—and minor child Promise Nondumiso Dlamini—901217 0518 08 4—21 Nile Road, Scottville—*Bengu*.
120. Wiseman Simanga Mkhize—650201 5818 08 0—Private Bag 725, Port Shepstone—*Nzama*.
121. Poyi Rexon Malatji—590717 5285 08 0—P.O. Box 1307, Phalaborwa—*Maphosa*.
122. Patrick Magale Manyabeyane—610915 5606 08 3—P.O. Box 922, Ekangala—*Manyabeane*.
123. Jacob Nkhetsi—611127 5658 08 5—315 Block F, Soshanguve—*Motsoeneng*.
124. Maureen Makhosazane Ntuli—641122 0661 08 9—P.O. Box 34, Nyoni—*Mhlongo*.
125. Kapok William Masombuka—560506 5331 08 5—976 Ribbens Street, Daspoort—*Skhosana*.
126. Bless Matwabe—480902 5640 08 7—7233 Mandela Park, Hout Bay—*Dinga*.
127. Mzwandile Joseph Mgoqo—500911 5704 08 8—1537 Hlobisa, Sakhile, Standerton—*Dlamini*.
128. Bafikile Sarah Tubane—640405 0503 08 3—P.O. Box 133, Dennilton—*Thubane*.
129. Chuene John Thema—640408 5525 08 5—P.O. Box 2184, Potgietersrus—*Tema*.
130. Sello Alex Leballo—631007 5931 08 6—Private Bag X499, Hammanskraal—*Sekwele*.
131. Sannie Ntinini Lepulana—690205 0453 08 4—House 1868, Zone 3, Seshego—*Ramawela*.
132. Tulani Zulu—661203 5637 08 7—House C 1805, Msunduzi Road, kwaMashu—*Ndlovu*.
133. Olga Khanyisile Mngadi—630411 0360 08 8—P.O. Box 13176, Pinetown—*Mkhize*.
134. Lawrence Mthabiseni Sihlongonyane—1959-09-15—P.O. Box 133, Breyten—*Mbanjwa*.
135. Mogammat Cassiem—1940-10-19—and his wife Nowra Cassiem—431224 0135 08 2—47 Barends Street, Salt Lake, Port Elizabeth—*Ajam*.
136. Lebohang Godfrey Maiatsi—740418 5346 08 4—755 Ballington Street, Parktown, Pretoria—*Mohapl*.

No. 1013

21 June 1996

**ALTERATION OF FORENAMES IN TERMS OF SECTION 24 OF THE BIRTHS AND DEATHS
REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)**

The Director-General has in respect of the following persons approved the alteration of their forenames to the forenames printed in *italics*:

1. Deenadayalan Mahomed—710505 5147 08 6—54 Skylark Road, Bayview, Chatsworth—*Azru-Deën*.
2. Sinah Nomahlobi Mgojo—630618 0741 08 9—463 Section Tsenelong—*Charlotte Nomahlubi*.
3. Thembekile Mbokazi—740202 0856 08 5—P.O. Box 397, Mtubatuba—*Thembekile Phumelele*.
4. Derrick Bongumusa Msimango—710430 5412 08 5—P.O. Box 410, kwaDlangezwa—*Derrick Bonqumusa Bhekizenzo*.
5. Ramalata Peter Ramalata—500313 5587 08 6—P.O. Box 1046, Westonaria—*Khorombi Peter*.
6. Aloudien Ahmed—621215 5208 08 0—P.O. Box 31230, Grassy Park—*Mugammad Alahuddiyn*.
7. Suresh Ebrahim—740216 5114 08 4—102 Progress Avenue, Moorton, Chatsworth—*Sameer*.
8. Keith Mogola Bothongo—631003 6054 08 5—P.O. Box 2145, Mafikeng—*Keith Mogola Khupe*.
9. Vika Samson Jotham Zwane—641225 6125 08 0—P.O. Box 1948, Amersfoort—*Vicky Samson Jotham*.

10. Sushila Maharaj—531224 0127 08 8—13 Prestbury Terrace, Eastbury, Phoenix—*Sushiela*.
11. Paul Max Aronsin—680730 5064 08 3—13 Porter Avenue, Melrose North—*Paul*.
12. Andrew Govender—680416 5048 08 2—P.O. Box 41365, Rossburgh—*Andrew Christopher*.
13. Steven Gerald Webster—710626 5105 08 8—10 Palatine Road, Plumstead—*Steven Lewis*.
14. Mogammat Ajam—1940-10-19—47 Barends Street, Salt Lake, Port Elizabeth—*Mogammat Cassiem*.
15. Lebohang Godfrey Mohapi—740418 5346 08 4—755 Ballington Street, Parktown, Pretoria—*Godfrey Lebohang*.

No. 1015**21 Junie 1996**

**VOORNAAMSVERANDERING INGEVOLGE ARTIKEL 24 VAN DIE WET OP REGISTRASIE VAN
GEBORTES EN STERFTES, 1992 (WET No. 51 VAN 1992)**

Die Direkteur-generaal het ten opsigte van die volgende persone die verandering van hul voorname na die voorname in kursief gedruk goedgekeur:

1. Nkabaneng Bornface Mothoithi (680426 5491 08 3), Mahlobosstraat 3935, Daveyton—*Nkabaneng Nelson*.
2. Elizabeth Katy Pietersen (691227 0886 08 8), Woonstel L-11, Roodewal, Worcester—*Suzi Elizabeth*.
3. Meisie Olivier (720625 0529 08 4), Chris Hani Park 1182, Dithake, Koffiefontein—*Louisa*.
4. Kamiel Sitzer (560903 5268 08 8), Beukesstraat 31, Paarl—*Karel*.
5. Gertrude Maria Martin (530331 0655 08 2), Jupiterstraat 64, Surrey Estate, Athlone—*Gairoenisa*.
6. Alvenise Joseline Jacobs (741207 0170 08 9), Pearlstraat 14, Rocklands, Mitchells Plain—*Gaironesa*.
7. Marinda Louisa Benjamin (720126 0151 08 6), Rochesterweg 12, Saltriver—*Malleka*.
8. Johanna Catharina Greeff (680912 0020 08 4), Posbus 1583, Glenvista—*Rhona*.

No. 1016**21 Junie 1996**

**VOORNAAMSVERANDERING INGEVOLGE ARTIKEL 24 VAN DIE WET OP REGISTRASIE VAN
GEBORTES EN STERFTES, 1992 (WET No. 51 VAN 1992)**

Die Direkteur-generaal het ten opsigte van die volgende persone die verandering van hul voorname na die voorname in kursief gedruk goedgekeur:

1. Manje Marambeni—380812 5272 08 1—Posbus 169, Magogong—*Mannetjie Manityi*.

No. 1017**21 Junie 1996**

**AANNAME VAN ANDER VAN INGEVOLGE ARTIKEL 26 VAN DIE WET OP REGISTRASIE VAN
GEBORTES EN STERFTES, 1992 (WET No. 51 VAN 1992)**

Die Direkteur-generaal het ten opsigte van die volgende persone die verandering van hul van na die van in kursief gedruk goedgekeur:

1. Helena Scheepers—680807 0026 08 3—Posbus 26322, Langenhovenpark—*Enslin-Scheepers*.
2. Ndieleni Stefaans Qwentihiba—381015 5303 08 3—en sy eggenote Voyelwa Maria Qwentihiba—480630 0195 08 4—Barkep Beer 7, Zastron—*Diba*.
3. Leonard Maclula—560604 5122 08 1—Nita Spilhausstraat 29, New Woodlands, Mitchells Plain—*Isaacs*.
4. Otshabeng David Magogo—150801 5076 08 7—Posbus 60, Christiana—*Taute*.
5. Ndzingo Pistol Nkopo—240112 5128 08 0—Posbus 100, Indwe—*Molwana*.
6. Walter Happy Norman Jiyane—680125 5447 08 3—Mashabastraat 338, Phola, Ogies—*Skosana*.
7. Manje Magambela—380812 5272 08 1—Posbus 169, Magogong—*Marambeni*.
8. Liezel Moir—710103 0004 08 8—Posbus 539, Newlands—*Compion-Moir*.
9. Elisabeth Maria Saaimans—561007 0788 08 9—Itumeleng-dorpsgebied 182, Jaggarsfontein—*Sebeela*.
10. Martin Jaun Fourie—701105 5071 08 8—Matriawoonstelle 25, Van Lennepstraat 383, Berea, Pretoria—*Bekker*.
11. Mogamad Abdullah Stephanus—690420 5211 08 6—Rosindstraat 47, Valhalla Park, Bonteheuwel—*Abrahams*.
12. Connie Boetie van Ranse—680615 5315 08 2—Huis 280, Sakhile, Standerton—*Mojola*.
13. Jokonia Claassen—610915 5299 08 7—Afdeling E, Huis 1605, Botshabelo—*Sibanda*.
14. Stokis Patrys Mkinamaze—320801 5167 08 9—Shabangustraat 989, Qalabotjha Coc, Villiers—*Mkhwanazi*.
15. Gert Johannes Mokwena—740807 5157 08 9—Huis 162B, Qibing, Wepener—*Ninivie*.
16. Patrick Mphiwe Khoza—690401 5456 08 7—Posbus 5275, Emalahleni—*Mkonto*.
17. Zakhele Moses Shiba—580725 5832 08 4—Posbus 25065, Hlalani Kahle, Emalahleni—*Nkosi*.
18. Nomathamsanqa Mankayi—660722 488 08 0—Solomonstraat 3, Afdelingsraad Huise, Riebeeck-Kasteel—*Feju*.

No. 1018

21 June 1996

BIRTHS AND DEATHS REGISTRATION ACT (ACT No. 51 OF 1992)

NOTICE OF RECTIFICATION

ASSUMPTION OF ANOTHER SURNAME IN TERMS OF SECTION 26 OF THE BIRTHS AND DEATHS REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)

Notice is hereby given that Entry No. 55 of Government Notice No. 950, which was published in *Government Gazette* No. 17230 dated 7 June 1996, is hereby rectified to read as follows:

1. Nwamulhawu Wallsy Koshu—731205 0501 08 1—P.O. Box 1035, Letaba—***Nkhwashu***.

No. 1019

21 June 1996

BIRTHS AND DEATHS REGISTRATION ACT (ACT No. 51 OF 1993)

NOTICE OF RECTIFICATION

ASSUMPTION OF ANOTHER SURNAME IN TERMS OF SECTION 26 OF THE BIRTHS AND DEATHS REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)

Notice is hereby given that Entry No. 11 of Government Notice No. 950, which was published in *Government Gazette* No. 17230 dated 7 June 1996, is hereby rectified to read as follows:

1. Fihliwe Franz Dhlamini—350307 5145 08 6—and his wife Bonangani Maye Dlamini—401209 0244 08 9—Makhuluseni HP School, Ndwedwe—***Dlamuka***.

No. 1020

21 June 1996

BIRTHS AND DEATHS REGISTRATION ACT (ACT No. 51 OF 1992)

NOTICE OF RECTIFICATION

ASSUMPTION OF ANOTHER SURNAME IN TERMS OF SECTION 26 OF THE BIRTHS AND DEATHS REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)

Notice is hereby given that Entry No. 10 of Government Notice No. 951, which was published in *Government Gazette* No. 17230 dated 7 June 1996, is hereby rectified to read as follows:

1. Jubindaba Herry Harris—640908 5418 08 2—P.O. Box 152, Waterval Boven—***Masood Subindaba***.

No. 1021

21 Junie 1996

WET OP REGISTRASIE VAN GEBOORTES EN STERFTES, 1992 (WET No. 51 VAN 1992)

KENNISGEWING VAN REGSTELLING

AANNAME VAN 'N ANDER VAN INGEVOLGE ARTIKEL 26 VAN DIE WET OP REGISTRASIE VAN GEBOORTES EN STERFTES, 1992 (WET No. 51 VAN 1992)

Hiermee word kennis gegee dat Inskrywingsnommer 10 van Goewermmentskennisgewing No. 955 wat in *Staatskoerant* No. 17230 gedateer 7 Junie 1996 gepubliseer is, hiermee reggestel word om soos volg te lees:

1. Juan Pangas—710522 5253 08 7—Posbus 4427, Honeydew—***Nortje***.

No. 1014

21 June 1996

INSERTION OF SURNAME IN TERMS OF SECTION 23 OF THE BIRTHS AND DEATHS REGISTRATION ACT, 1992 (ACT No. 51 OF 1992)

The Director-General has in respect of the following persons approved the insertion of their surname printed in italics:

1. Badary (221114 5061 08 2), 22 Rendless Road, Isipingo Rail—***Jona***.
2. Melawathie Mohabir (540801 0171 08 0), 117 Tamany Avenue, Croftdene, Chatsworth—***Amrapersad***.
3. Rubavathee Naidoo (481103 0111 08 8), 2429 Dove Lane, Lenasia South, Grassmere—***Naidoo***.
4. Manormoney Govender (580526 0170 08 6), 79 Iris Avenue, Kharwastan—***Naidoo***.
5. Lutchmi Pillay (341215 0050 08 0), 22 Swanfern Gardens, Redfern, Phoenix—***Pillay***.
6. Goomthee Sewnath (410101 0103 08 5), 318 Road 240, Bayview, Chatsworth—***Ramdeen***.

7. Govindamma Naidoo (430401 0080 08 5), 20 Goldengate Grove, Southgate, Phoenix—**Govender.**
8. Indhrani Mundie (550509 0075 08 9), 1 Juniper Street, Roseneath, Umkomaas—**Mundi.**
9. Muniamma Kuppen (1930-07-15), 28 Dewside Close, Caneside, Phoenix—**Naicker.**
10. Ganas (1917-11-30), 39 Symphony Street, Bayview, Chatsworth—**Reddy.**
11. Kathoon Bee Kader (1928-06-18), 90A Dinapur Road, Merebank, Durban—**Ally.**
12. Subramoney (1919-04-16), 24 Westside Place, Caneside, Phoenix—**Padayachee.**
13. Thanjiammal (1028-01-28), P.O. Box 2267, Tongaat—**Gounden.**
14. Thulsidheyi (1943-01-01), 59 Board Grove Avenue, Stanmore, Phoenix—**Sookumar.**
15. Lutchmee Pillay (1929-01-19), P.O. Box 8, Stanger—**Naicker.**
16. Rangadu (1925-03-01), 45 Acara Street, Stonbridge, Phoenix—**Chetty.**
17. Annamalai (1021-07-24), 42 Arnham Place, Westham Unit 12, Phoenix—**Chengadu.**
18. Devanian (1941-02-10), P.O. Box 398, Stanger—**Nagan.**
19. Ganas (1042-04-20), 14 Sunflower Court, Mountview, Verulam—**Moonsamy.**
20. Sandhiamma Moonsamy (1948-07-16), 14 Sunflower Court, Mountview, Verulam—**Pillay.**
21. Anjalaiamma Gounden (1940-08-11), 49 Harold Road, Leytonstone, London—**Subramoney.**
22. Madurai (1940-04-16), 49 Harold Road, Leytonstone, London—**Gounden.**
23. Boodhiya Jaglal (1948-12-08), 4 Gangalu Place, Northdale, Pietermaritzburg—**Rambally.**
24. Chinnamma (1948-08-15), 1 Greenvale Avenue, Westcliff, Chatsworth—**Applesamy.**
25. Sunbakavelee (1951-06-22), P.O. Box 93, Dannhauser—**Moonsamy.**
26. Fareeda (1949-02-01), 23 30th Avenue, Umhlathuzana Township, Chatsworth—**Cassim.**
27. Ammanyammal Amurthan Pillay (1916-05-31), 180 Caspian Street, Westcliff, Chatsworth—**Muruvan.**
28. Panjalay (1926-06-03), P.O. Box 2546, Port Shepstone—**Moonsamy.**
29. Lutchimiammal Gounden (1917-02-17), 13 Acacia Road, Warrenton, Stanger—**Guruvadu.**
30. Muthumma (1912-12-25), P.O. Box 580, Umhlali—**Govender.**
31. Poolmathie Ramsarup (1925-05-17), 67 Road 1023, Woodhurst, Chatsworth—**Singh.**
32. Kalapathi Ramchuran (1929-09-23), 27 Starling Road, Duffs Road, Durban—**Rajman.**
33. Rajammah (1922-06-04), 20 Goldengate Grove, Southgate, Phoenix—**Abbu.**
34. Ruth Margaret Poonsamy (1922-06-17), 15 Mars Crescent, Northdale, Pietermaritzburg—**Sarjoo.**
35. Rajhdaw (1940-04-12), P.O. Box 40739, Redhill—**Rambaran.**
36. Valiammah (1915-03-28), P.O. Box 627, Tongaat—**Naidoo.**
37. Rampathia (1921-02-26), 23 Dianthus Road, Brindhaven, Verulam—**Beethasi.**
38. Parsuraman (1912-02-09), 6 Abby Fern Close, Redfern, Phoenix—**Govender.**
39. Kanniamma (1935-06-03), 244 Fernham Drive, Westham, Phoenix—**Gounden.**
40. Rookmoney (1925-08-01), 481 Shoebrook Road, Brookdale, Phoenix—**Govender.**
41. Sawbakium (1921-04-15), 17 Flummery Place, Moorton, Chatsworth—**Govender.**
42. Sukhrajia (1903-03-01), 67 Mypark Drive, Parlock, Durban—**Seeharig.**
43. Annamah (1928-07-10), P.O. Box 206, Tongaat—**Pillay.**
44. Neela Moonsamy (1936-05-07), 94 Bridgevale Crescent, Rydalvale, Phoenix—**Murugesen.**
45. Lutchmee (1940-06-12), 10 Reedmage Road, Bayview, Chatsworth—**Moodley.**
46. Appammah (1923-10-28), 37 Goldwel Place, Centenary Park, Phoenix—**Naidoo.**
47. Danakotiammal (1917-09-16), 73 Dharwar Road, Merebank—**Moodley.**
48. Kadariamma Reddy (1941-05-02), 4 Ladygreen Place, Greenbury, Phoenix—**Kisten.**
49. Visalatchmi Navager (1922-08-18), 49 Parkside Drive, Silverglen, Durban—**Govender.**
50. Gouri (1928-01-28), 37 Ivy Street, Southridge, Verulam—**Manelah.**
51. Subeda Bibi (1948-09-09), 28 Road 505, Unit 5A, Chatsworth—**Khan.**
52. Chinnamah (1904-01-15), P.O. Box 99, Stanger—**Chetty.**
53. Jankey (1949-04-06), P.O. Box 2714, Stanger—**Jairam.**
54. Muniammal (1929-08-14), 7 Azalia Crescent, Umkomaas—**Pillay.**
55. Nealaha (1945-06-03), 17 Granda Street, Shallcross—**Irusan.**
56. Perumal (1923-10-15), 252 Kies Avenue, Reservoir Hills, Durban—**Perumal.**
57. Vadapa (1921-01-20), P.O. Box 971, Pinetown—**Odayn.**
58. Latchmee Perumal (1936-12-05), 20 25th Avenue, Umhlathuzana Township, Chatsworth—**Valayathum.**
59. Valayudan (1910-01-27), 23 Lacefern Road, Unit 10, Phoenix—**Gounden.**
60. Ponnamma Gounden (1916-10-10), 23 Lacefern Road, Unit 10, Phoenix—**Moonsamy.**

61. Salatchi Chidambaram (1930-02-23), 1 Greenview Road, Bayview, Chatsworth—**Govender.**
62. Lutchmamma Moodliar (1928-03-22), 29 Gauhati Place, Merebank—**Naidu.**
63. Ramsing (1917-08-05), P.O. Box 4372, Mandini—**Singh.**
64. Mahomed (1927-08-27), 10 Alverstone Place, Whetstone, Phoenix—**Haniff.**
65. Jaina Bi Haniff (1940-07-31), 10 Alverstone Place, Whetstone, Phoenix—**Suleman.**
66. Muniamma (1926-10-30), 82 Road 710, Montford, Chatsworth—**Pillay.**
67. Shunmugam (1926-02-05), 82 Road 710, Montford, Chatsworth—**Pillay.**
68. Mangayamma Moodley (1930-03-10), 273 Train Road, Crossmoor, Chatsworth—**Naidoo.**
69. Ramakka Reddy (1931-05-29), 39 Junagarth Road, Merebank—**Reddy.**
70. Soorsaatee Kandhai (1933-06-03), P.O. Box 837, Verulam—**Bedesi.**
71. Aminabee (1916-12-25), P.O. Box 729, Maidstone—**Khan.**
72. Goolam Mahomed (1935-02-28), 11 Tamaryn Place, Arena Park, Chatsworth—**Sheikh Ibrahim.**
73. Lutchmi (1915-07-16), 333 Earlsfield, Newlands West—**Mathay.**
74. Thanalachimie Muthusamy (1929-11-22), Private Bag X02, Mount Edgecombe—**Kistensamy.**
75. Mariamma (1920-08-22), 43 Delta Palm Gardens, Palmview, Phoenix—**Govender.**
76. Khatija (1920-18-19), 283 Road 706, Montford, Chatsworth, Durban—**Shaik Hamid.**
77. Vallyamma (1919-10-05), 20 Oldside Place, Caneside, Phoenix—**Govender.**
78. Dhanakottiamma Gopal (1933-04-15), 80 Road 715, Montford, Chatsworth—**Reddy.**
79. Latchnasamy (1925-03-30), 571 Road 302, Unit 3, Chatsworth—**Naidoo.**
80. Juddie (1920-05-08), 21 Ringhaven Circle, Forest Haven, Phoenix—**Samlal.**
81. Sumintha Bridgemohan (1921-10-24), 36 Glenara Road, Reservoir Hills, Durban—**Charai.**
82. Latchimama (1932-09-23), 189 Trenance Park Drive, Trenance Manor, Phoenix—**Naicker.**
83. Rookminnie (1923-10-17), P.O. Box 155, Verulam—**Sival.**
84. Shanno Devi (1929-10-18), 9 Manvers, 586 Ridge Road, Durban—**Maraj.**
85. Chandermeny (1941-02-07), 55 Devshi Drive, Effingham Heights, Durban—**Mathura.**
86. Muniama (1925-08-17), 10 Mimosa Road, Lotusville, Verulam—**Govender.**
87. Hawah Bee (1918-08-07), 14 Clubside Close, Caneside, Phoenix—**Adam.**
88. Kamachie (1933-07-19), 23 Bankgreen Place, Greenbury, Phoenix—**Nadasen.**
89. Salatchi (1926-08-09), 1 Drinham Road, Westham, Phoenix—**Maistry.**
90. Paravatham (1932-10-15), P.O. Box 26194, Verulam—**Patchappan.**
91. Nilatchi (1921-04-05), 32 Islen Place, Northcroft, Phoenix—**Govender.**
92. Govindamma (1921-11-09), Flat G6, Day Care Centre, Todd Steet, Verulam—**Latchigadu.**
93. Hunsu (1926-07-24), P.O. Box 327, Maidstone—**Lutchman.**
94. Rajamuthee Sewjagath (1929-12-12), P.O. Box 543, Verulam—**Sukhoo.**
95. Lutchmee Pillay (1931-01-28), 240 House 579, Unit 2, Chatsworth—**Pillay.**
96. Dhanam (1944-11-20), 6 Ridge Street, Shallcross—**Moodley.**
97. Minawathi Surjoo (1940-07-07), 15 Stemplen Place, Northcroft, Phoenix—**Kethwari.**
98. Jaiwon Ramrutton (1925-02-17), 8 Juba Place, Reservoir Hills, Durban—**Rambatta.**
99. Jugdeyi Hardeaw (1925-04-08), 18 Rainswan Place, Rainham, Phoenix—**Mahabeer.**
100. Narain (1910-06-10), 84 Erica Avenue, Kharstan, Chatsworth—**Behari.**
101. Lutchmeeamah (1929-08-27), 11 Rose Avenue, Buffelsdale, Tongaat—**Naidoo.**
102. Appiam Naidoo (1925-02-19), 28 Jacaranda Avenue, Mobeni Heights—**Rapeti.**
103. Sonpathee (1932-10-17), 144 Marulla Circle, Trenance Park, Verulam—**Harripersadh.**
104. Rajputhi (1930-01-03), 53 Canehaven Drive, Caneside, Phoenix—**Rampersad.**
105. Sivpersaad (1916-11-02), P.O. Box 36, Verulam—**Sookoo.**
106. Dharmee (1918-11-10), 15 Odeon Terrace, Orient Heights, Pietermaritzburg—**Ganga.**
107. Parbathie (1926-06-13), 86 Clayfield Drive, Clayfield, Phoenix—**Baboolal.**
108. Atchia (1904-07-01), 17 Rajasthan Road, Belvedere, Zone 4, Tongaat—**Pillay.**
109. Sonkomari (1918-08-01), P.O. Box 1798, Verulam—**Hariparsad.**
110. Sukdeia (1908-09-04), 121 Watsonia Drive, Tongaat—**Ramraj.**
111. Parvathy (1921-11-11), 9 Old Pension Cottage, Plein Street, Tongaat—**Pillay.**
112. Poongavanam Chetty (1920-07-02), 33 Coriander Close, Crossmoor, Chatsworth—**Govender.**
113. Govindama (1915-03-31), 106 Road 707, Montford, Chatsworth—**Naidoo.**
114. Dollet (1929-05-19), 604 Road 302, Westcliff, Chatsworth—**Moonsamy.**
115. Gangiah (1911-01-15), 4 St Pauls Avenue, Reservoir Hills, Durban—**Naidoo.**

DEPARTMENT OF STATE EXPENDITURE DEPARTEMENT VAN STAATSBESTEDING

No. 1006

21 June 1996

Statement of Receipts into and Transfers from the Exchequer Account for the period 1 April 1996 to 31 May 1996.

Treasury, Pretoria.

No. 1006

21 Junie 1996

Staat van Ontvangste in en Oordragte uit die Skatkisrekening vir die tydperk 1 April 1996 tot 31 Mei 1996.

Tesourie, Pretoria.

RECEIPTS—ONTVANGSTE

Head of Receipts	Ontvangstehoof	Month of May Maand Mei		Totals 1 April to 31 May 1996 Totale 1 April tot 31 Mei 1996	
		1996	1995	1996	1995
		R	R	R	R
Exchequer Balance, 31 March 1996.....	Skatkissaldo, 31 Maart 1996.....	—	—	8 728 615	—
Exchequer Balance, 30 April 1996.....	Skatkissaldo, 30 April 1996.....	2 825 960 273	—	—	—
National Revenue Account	Nasionale Inkomsterekening				
Total revenue	Totale Inkomste				
Inland Revenue.....	Binnelandse Inkomste.....	7 961 288 091	7 373 791 080	18 757 424 757	17 124 353 650
Customs and Excise.....	Doeane en Aksyns.....	1 673 615 906	1 900 090 009	2 965 440 179	2 350 163 737
Subtotal: Total revenue.....	Subtotaal: Totale inkomste..... ⁽¹⁾	9 634 903 997	9 273 881 089	21 722 864 936	19 474 537 387
Other Receipts	Ander Ontvangste				
Domestic loans:	Binnelandse lenings:				
Treasury Bills.....	Skatkisbiljetto.....	80 790 410 000	—	130 187 900 000	—
Registered Stock:	Geregistreerde Effekte:				
Floating Rate 1997 (R191).....	Wisselende Koers 1997 (R191).....	150 000 000	—	150 000 000	—
11,5%, 1999/2000 (R147).....	11,5%, 1999/2000 (R147).....	—	—	246 000 000	—
13%, 2009/10/11 (R153).....	13%, 2009/10/11 (R153).....	2 751 000 000	—	5 187 000 000	—
13,5%, 2015 (R157).....	13,5%, 2015 (R157).....	(489 136 920)	—	(845 774 611)	—
12,5%, 2002 (R162).....	12,5%, 2002 (R162).....	916 000 000	—	1 832 000 000	—
12,5%, 2006 (R184).....	12,5%, 2006 (R184).....	(170 189 327)	—	(299 486 970)	—
Zero, 2008 (Z008).....	Zero, 2008 (Z008).....	—	—	(246 000 000)	—
Zero, 2013 (Z009).....	Zero, 2013 (Z009).....	1 349 000 000	—	2 178 000 000	—
Zero, 2015 (Z020).....	Zero, 2015 (Z020).....	(247 516 383)	—	(376 388 107)	—
Zero, 2009 (Z021).....	Zero, 2009 (Z021).....	120 711	—	120 711	—
Zero, 2014 (Z025).....	Zero, 2014 (Z025).....	64 823	—	64 823	—
Zero, 1996 (Z038).....	Zero, 1996 (Z038).....	—	—	330 919	—
Zero, 2001 (Z068).....	Zero, 2001 (Z068).....	617 890	—	617 890	—
Zero, 2002 (Z075).....	Zero, 2002 (Z075).....	192 774	—	192 774	—
Zero, 2001 (Z076).....	Zero, 2001 (Z076).....	—	—	3 162 455	—
Zero, 1996 (Z079).....	Zero, 1996 (Z079).....	—	—	470 540	—
Zero, 1996 (Z081).....	Zero, 1996 (Z081).....	—	—	39 301 105	—
Zero, 2019 (Z083).....	Zero, 2019 (Z083).....	—	—	485 946	—
Zero, 1996 (Z084).....	Zero, 1996 (Z084).....	—	—	250 880	—
Bonds:	Obbligasies:				
Indefinite Period Exchequer Bonds.....	Onbepaalde Termyn Skatkisobligasies.....	—	—	—	—
Indefinite Period National Defence Bonds.....	Onbepaalde Termyn Nasionale Verdedigingsobligasies.....	10 550	—	10 550	—
Foreign loans:	Buitelandse lenings:				
2000.....	2000.....	—	—	—	—
1996/2006.....	1996/2006.....	—	—	—	—
Loan Levy.....	Leningsheffing.....	—	—	—	—
Exchequer deposits.....	Skatkisbelegging..... ⁽²⁾	14 300 000 000	—	23 180 000 000	—
Other Moneymarket Instruments.....	Ander Geldmarkinstrumente..... ⁽³⁾	—	—	—	—
Promoting the Reconstruction and Development Programme.....	Bevordering van die Heropbou- en Ontwikkelingsprogram.....	762 433 715	—	768 716 066	—
Recovering from Pension Fund.....	Terugvordering vanaf Pensioenfondse.....	37 000 000	—	37 000 000	—
Recovery of incorrect transfer to PMG.....	Terugvordering van foutiewe oorsending na BMG.....	63 259 340	—	63 259 340	—
Surrenders:	Terugstortings:				
1994/95.....	1994/95.....	8 209	—	8 209	—
1995/96.....	1995/96.....	40 000 000	—	40 000 000	—
Less: Discount on R.S.A. Stock.....	Min: Diskonto op R.S.A. Effekte.....	101 176 870 286	—	163 690 392 598	—
		906 842 630	—	1 521 649 688	—
Subtotal: Other receipts.....	Subtotaal: Ander ontvangste.....	100 270 027 656	—	162 168 742 910	—
Total receipts:	Totale ontvangste:				
National Revenue Account.....	Nasionale Inkomsterekening.....	109 904 931 653	—	183 891 607 846	—
Revenue Account: House of Assembly:	Inkomsterekening: Volksraad				
Inland revenue.....	Binnelandse inkomste.....	—	—	—	—
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: House of Assembly.....	Totale ontvangste: Volksraad.....	—	—	—	—

Head of Receipts	Ontvangstehoof	Month of May Maand Mei		Totals 1 April to 31 May 1996 Totale 1 April tot 31 Mei 1996	
		1996	1995	1996	1995
		R	R	R	R
Revenue Account: House of Representatives	Inkomsterekening: Raad van Verteenwoordigers				
Inland revenue.....	Binnelandse inkomste.....	—	(9 114 049)	—	245 713
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: House of Representatives.....	Totale ontvangste: Raad van Verteenwoordigers.....	—	(9 114 049)	—	245 713
Revenue Account: House of Delegates	Inkomsterekening: Raad van Afgevaardigdes				
Inland revenue.....	Binnelandse inkomste.....	—	(578 064)	—	53 313
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: House of Delegates.....	Totale ontvangste: Raad van Afgevaardigdes.....	—	(578 064)	—	53 313
Account for Provincial Services: Cape	Rekening vir Provinsiale Dienste: Kaap				
Provincial revenue.....	Provinsiale inkomste.....	—	—	—	—
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: Cape Province.....	Totale ontvangste: Kaapprovinsie.....	—	—	—	—
Account for Provincial Services: Natal	Rekening vir Provinsiale Dienste: Natal				
Provincial revenue.....	Provinsiale inkomste.....	—	—	—	—
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: Natal.....	Totale ontvangste: Natal.....	—	—	—	—
Account for Provincial Services: Orange Free State	Rekening vir Provinsiale Dienste: Oranje-Vrystaat				
Provincial revenue.....	Provinsiale inkomste.....	—	—	—	—
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Total receipts: Orange Free State.....	Totale ontvangste: Oranje-Vrystaat.....	—	—	—	—
Account for Provincial Services: Transvaal	Rekening vir Provinsiale Dienste: Transvaal				
Provincial revenue.....	Provinsiale inkomste.....	—	—	—	—
Transfer from National Revenue Account.....	Oordrag vanaf Nasionale Inkomsterekening.....	—	—	—	—
Surrenders: 1994-95 (Unauthorised expenditure).....	Terugstortings: 1994-95 (Ongemagtigde uitgawes).....	—	—	—	811 461
Total receipts: Transvaal.....	Totale ontvangste: Transvaal.....	—	—	—	811 461
Total receipts: Exchequer Account.....	Totale ontvangste: Skatkisrekening.....	109 904 931 653	—	183 891 607 846	—
Total receipts: Exchequer Account (including opening balance).....	Totale ontvangste: Skatkisrekening (insluitende aanvangsaldre).....	112 730 891 926	—	183 900 336 461	—

⁽¹⁾ Total ordinary and extraordinary revenue. The latter includes items such as transfers from Reserve Accounts, the National Supplies Procurement Fund and the Central Energy Fund, premiums earned on the consolidation of government stock, as well as proceeds from the privatisation of State assets.

⁽²⁾ Transfer of funds on deposit at commercial banks to the Exchequer Account

⁽³⁾ Consists of bridging bonds, project bills.

⁽⁴⁾ Represents unspent funds requisited in previous financial years.

⁽¹⁾ Totale gewone en buitengewone inkomste. Laasgenoemde sluit, byvoorbeeld, oordragte vanaf Reserwerekeninge, die Landsvoorraदेverkrigingsfonds en die Sentrale Energiefonds, premies wat op die konsolidasie van staatseffekte verdien is, asook opbrengste uit die privatisering van Staatsbates.

⁽²⁾ Fondse op deposito by kommersiële banke na die Skatkisrekening oorgeplaas.

⁽³⁾ Bestaan uit oortrukkingsobligasies, projekwissels.

⁽⁴⁾ Verteenwoordig onbestede fondse wat in vorige boekjare aangevra is.

TRANSFERS—OORDRAGTE

Service	Dienste	Estimates Begroting	Requisitions for May Aanvrae vir Mei		Total requisitions 1 April to 31 May Totale aanvrae 1 April tot 31 Mei	
		1996-97	1996	1995	1996	1995
		R	R	R	R	R
NATIONAL REVENUE ACCOUNT	NASIONALE INKOMSTEREKENING					
Votes	Begrotingsposte					
1. President.....	President.....	21 705 000	1 736 000	1 100 000	4 991 000	2 700 000
Statutory Amount.....	Statutêre Bedrag.....	552 000	46 000	46 000	92 000	92 000
2. Executive Deputy President.....	Uitvoerende Adjunkpresident.....	9 054 000	850 000	850 000	1 850 000	1 850 000
Statutory Amount.....	Statutêre Bedrag.....	480 000	—	—	—	—
3. Executive Deputy President from the Largest Minority Party.....	Uitvoerende Adjunkpresident vanuit die Grootste Minderheidsparty.....	12 681 000	876 000	1 000 000	1 933 000	2 000 000
Statutory Amount.....	Statutêre Bedrag.....	480 000	—	—	40 000	—
4. Parliament.....	Parlement.....	195 161 000	29 700 000	31 524 000	49 850 000	61 106 000
Statutory Amount.....	Statutêre Bedrag.....	148 958 000	12 300 000	11 048 000	24 800 000	22 338 000
5. Agriculture.....	Landbou.....	863 374 000	91 500 000	69 000 000	208 000 000	178 000 000
6. Arts, Culture, Science and Technology.....	Kuns, Kultuur, Wetenskap en Tegnologie.....	539 557 000	48 912 000	36 600 000	121 601 000	170 933 000
7. Central Advisory Service.....	Sentrale Ekonomiese Adviesdiens.....	8 894 000	—	600 000	400 000	1 200 000
8. Central Statistical Service.....	Sentrale Statistiekdiens.....	372 563 000	—	—	14 062 000	7 802 045
9. Constitutional Development Service.....	Staatskundige Ontwikkelingsdiens.....	1 035 367 000	85 447 000	141 539 000	173 394 000	389 452 000
10. Correctional Services.....	Korrektiewe Dienste.....	2 590 519 000	196 422 000	190 000 000	452 978 000	439 243 000
11. Education.....	Onderwys.....	5 492 825 000	1 256 000 000	1 027 656 000	2 806 169 000	2 377 825 000
12. Environment Affairs and Tourism.....	Omgewingsake en Toerisme.....	326 693 000	30 160 000	22 000 000	75 400 000	44 000 000

Service	Dienste	Estimates Begroting	Requisitions for May Aanvrae vir Mei		Total requisitions 1 April to 31 May Totale aanvrae 1 April tot 31 Mei	
		1996-97	1996	1995	1996	1995
		R	R	R	R	R
13. Finance	Finansies	81 554 179 000	6 994 438 277	6 197 595 168	15 900 231 277	14 115 153 168
Statutory Amount (Cost of Servicing State Debt, before discount)	Statutêre Bedrag (Staatskuldleensingskoste, voor diskonto)	37 272 880 000	3 806 800 000	4 981 100 000	5 197 705 000	5 881 100 000
Discount on R.S.A. Stock	Diskonto op R.S.A. Effekte	(2 827 880 000)	(906 842 631)	(876 965 303)	(1 521 649 688)	(1 722 527 131)
14. Foreign Affairs	Buitelandse Sake	1 146 594 000	70 000 000	70 000 000	197 221 000	164 233 000
Statutory Amount	Statutêre Bedrag	1 000	—	225 000	—	450 000
15. Health	Gesondheid	711 699 000	79 000 000	130 000 000	158 000 000	260 000 000
16. Home Affairs	Binnelandse Sake	392 186 000	36 800 000	13 000 000	87 962 000	62 908 000
17. Housing	Behuising	1 543 639 000	—	129 500 000	141 000 000	216 000 000
18. Improvement of Conditions of Service	Verbetering van Diensvoorwaardes	6 531 000 000	—	—	—	—
19. Justice	Justisie	1 488 594 000	120 000 000	118 626 000	270 000 000	237 252 000
Statutory Amount	Statutêre Bedrag	84 679 000	7 057 000	5 700 000	14 113 583	11 400 000
20. Labour	Arbeid	657 336 000	102 600 000	80 400 000	172 600 000	201 000 000
21. Land Affairs	Grondsaake	645 253 000	18 000 000	17 597 000	40 788 507	34 630 000
22. Mineral and Energy Affairs	Mineraal- en Energiesake	659 475 000	81 537 000	52 107 000	275 546 000	349 093 000
23. RDP	HOP	561 920 000	51 416 000	7 623 168	55 081 000	8 423 168
24. Public Enterprises	Openbare Ondernemings	35 434 000	—	520 000	2 952 000	1 040 000
25. Public Service and Administration	Staatsdiens en Administrasie	24 514 000	40 000	—	40 000	—
26. Public Service Commission	Staatsdienskommissie	111 982 000	—	6 500 000	7 000 000	13 500 000
27. Public Works	Openbare Werke	2 822 679 000	204 426 000	191 420 000	321 666 000	293 283 000
28. SA Communication Service	SA Kommunikasiediens	50 868 000	4 217 000	4 513 000	8 656 000	9 026 000
29. SA National Defence Force	SA Nasionale Weermag	10 246 419 000	300 000 000	400 000 000	900 000 000	1 010 000 000
30. SA Police Service	SA Polisiediens	9 883 871 000	1 052 866 000	720 000 000	2 353 982 000	1 570 000 000
31. SA Revenue Services	SA Inkomstediens	955 774 000	79 585 000	—	166 890 000	—
32. Sport and Recreation	Sport en Rekreasie	46 554 000	4 633 000	4 839 000	10 936 000	9 678 000
33. State Expenditure	Staatsbesteding	1 144 025 000	107 000 000	30 000 000	214 000 000	120 000 000
34. Trade and Industry	Handel en Nywerheid	3 266 383 000	441 000 000	495 000 000	866 000 000	911 000 000
35. Transport	Vervoer	3 079 019 000	274 000 000	250 000 000	549 000 000	533 000 000
36. Water Affairs and Forestry	Waterwese en Bosbou	2 352 705 000	100 000 000	52 000 000	251 000 000	103 000 000
37. Welfare	Welsyn	79 845 000	8 000 000	8 000 000	16 000 000	16 000 000
		178 968 371 000	15 695 364 277	15 499 228 336	31 913 930 367	29 829 710 381
Less: Discount on R.S.A. Stocks	Min: Diskonto op R.S.A. Effekte	2 827 880 000	906 842 631	876 965 303	1 521 649 688	1 722 527 131
			(1)	(1)	(1)	(1)
Subtotal: Requisitions per Vote	Subtotaal: Begrotingsposaanvrae	176 140 491 000	14 788 521 646	14 622 263 033	30 392 280 679	28 107 183 250
Other Transfers		Ander Oordragte				
Late departmental requisitions:	Laat departementele aanvrae:					
1995/96	1995/96	—	34 249 430	—	44 794 132	—
Redemption of domestic loans:	Binnelandse Leningsaflossings:					
Treasury Bills	Skatkisbiljette	—	77 313 220 000	—	123 700 000 000	—
Other Moneymarket Instruments	Ander Geldmarkinstrumente	—	2 111 259 462	—	2 111 259 462	—
Recovery on Treasury Bills	Tenugkoop van Skatkisbiljette	—	640 890 000	—	640 890 000	—
Registered Stock:	Geregistreerde Effekte:					
Floating Rate	Wisselende Koers	—	—	—	3 971 405	—
8,5%, 1996 (R038)	8,5%, 1996 (R038)	—	28 864 980	—	28 864 980	—
13,00%, 2005 (R124)	13,00%, 2005 (R124)	—	3 200 000	—	3 200 000	—
12,5%, 1996 (R145)	12,5%, 1996 (R145)	—	5 613 327 730	—	7 057 937 102	—
12,5%, 2002 (R162)	12,5%, 2002 (R162)	—	—	—	539 877	—
9,5%, 2007 (R177)	9,5%, 2007 (R177)	—	—	—	75 000 000	—
Bonds:	Obligasies:					
Indefinite Period Exchequer Bonds	Onbepaalde Termyn Skatkis-obligasies	—	—	—	9 310 100	—
Indefinite Period National Defence Bonds	Onbepaalde Termyn Nasionale Verdedigingsobligasies	—	—	—	3 932 300	—
Indefinite Period Senior Citizens Savings Bonds	Onbepaalde Termyn Senior Burger Spaar-obligasies	—	—	—	20 008 400	—
Redemption of foreign loans and credits:	Buitelandse lenings- en -kreditafllossings:					
1994-97	1994-97	—	—	—	—	—
Tax Redemption Certificates	Belastingdelgingsertifikate	—	—	—	—	—
Loan Levy	Leningsheffing	—	—	—	—	—
Loan Levy 1989-1994	Leningsheffing 1989-1994	—	—	—	—	—
Exchequer deposits	Skatkisdeposito's	—	*12 342 601 919	—	25 305 518 262	—
Payments in terms of section 58 of Act No. 11 of 1977:	Betalings ingevolge artikel 58 van Wet No. 11 van 1977:					
I.M.F.: Valuation adjustment	I.M.F.: Valuta Aanpassing	—	—	—	—	—
Currency Subscription, I.D.A.	Betaalmiddele Bydrae, I.D.A.	—	—	—	4 763 388	—
Currency Subscription I.B.R.D.	Betaalmiddele Bydrae, I.B.R.D.	—	—	—	—	—
Payments in terms of section 10 (1) (d) of Act No. 66 of 1975	Betaling ingevolge artikel 10 (1) (d) van Wet No. 66 van 1975	—	195 442	—	195 442	—
Subtotal: Other issues	Subtotaal: Ander uitbetalings	—	98 087 808 963	—	159 009 584 850	—
Total transfers: National Revenue Account	Totale oordragte: Nasionale Inkomsterekening	—	112 876 330 609	—	189 401 865 529	—

Head of Revenue	Inkomstehoof	Estimate Begroting	Requisitions for March Aanvrae vir Maart		Total requisitions 1 April to 30 March Totale aanvrae 1 April tot 30 Maart	
		1995-96	1996	1995	1996	1995
		R	R	R	R	R
Revenue Account: House of Assembly.....	Inkomsterekening: Volksraad	—	—	—	—	—
Revenue Account: House of Representatives.....	Inkomsterekening: Raad van Verteenwoordigers.....	—	—	—	—	—
Revenue Account: House of Delegates.....	Inkomsterekening: Raad van Afgevaardigdes.....	—	—	—	—	—
Total	Totaal.....	—	—	—	—	—
Accounts for Provincial Services:	Rekenings vir Provinsiale Dienste:					
Cape	Kaap	—	—	—	—	—
Issues, 1993/94.....	Uitbetalings, 1993/94	—	—	—	—	—
Natal	Natal	—	—	—	—	—
Issues, 1994/95.....	Uitbetalings, 1994/95.....	—	—	36 570 000	—	—
Orange Free State.....	Oranje-Vrystaat	—	—	—	—	—
Issues, 1993/94.....	Uitbetalings, 1993/94	—	—	—	—	—
Transvaal	Transvaal	—	—	—	—	—
Issues, 1994/95.....	Uitbetalings, 1994/95.....	—	—	20 076 000	—	—
Total Transfers: Accounts for Provincial Services.....	Totale oordragte: Rekeninge vir Provinsiale Dienste.....	—	—	56 646 000	—	—
Total requisitions/transfers from the Exchequer Account	Totale aanvrae/oordragte uit die Skatkisrekening	—	112 876 330 609	—	189 401 865 529	—
Outstanding transfers from Exchequer to PMG:	Uitstaande oordragte vanaf Skatkisrekening na BMG:					
Plus: 30 April 1996.....	Plus: 30 April 1996..... ⁽⁶⁾	—	5 374 090 384	—	5 392 090 384	—
Less: 31 May 1996.....	Min: 31 Mei 1996	—	5 789 661 705	—	11 163 752 089	—
Total transfers: Exchequer Account.....	Totale uitbetalings: Skatkisrekening	—	(415 571 321)	—	(5 771 661 705)	—
Exchequer Balance, 31 May 1996	Skatkissaldo, 31 Mei 1996	—	270 132 637	—	270 132 637	—
Total	Totaal.....	—	112 730 891 926	—	183 900 336 461	—

⁽¹⁾ Note: Represent only the amounts requested by Departments and not necessarily actual expenditure.

⁽²⁾ Represent requisitions with regard to expenditure committed in previous financial years.

⁽³⁾ Consists of bridging bonds, project bills.

⁽⁴⁾ Exchequer Funds placed on deposit at commercial banks.

⁽⁵⁾ Represents payment of a realised government guaranteed liability.

⁽⁶⁾ Represent transfers not yet done at the relevant month end from Exchequer Account to the PMG Amount.

• Balance carried forward from 31 March 1996 amounted to R3 300 000 000,00.

⁽¹⁾ Let wel: Verteenwoordig slegs bedrae wat deur Departemente aangevra is en is nie noodwendig werklike besteding nie.

⁽²⁾ Verteenwoordig aanvrae ten opsigte van uitgawes wat in vorige boekjare aangegaan is.

⁽³⁾ Bestaan uit oorbruggingsobligasies, projekwissels.

⁽⁴⁾ Skatkisfondse op deposito by handelsbanke geplaas.

⁽⁵⁾ Verteenwoordig die betaling van 'n eis wat ontstaan het uit hoofde van 'n Staatswaarborg.

⁽⁶⁾ Verteenwoordig oordragte wat nog nie op die betrokke maandeinde vanaf die Skatkisrekening na die BMG-rekening gedoen is nie.

• Saldo oorgedra vanaf 31 Maart 1996 beloop R3 300 000 000,00.

**DEPARTMENTAL REQUISITIONS TO DATE IN RELATION TO THEIR CASH FLOW PROJECTIONS FOR 1995/96
DEPARTEMENTELE AANVRAE TOT OP DATUM IN VERHOUDING TOT HUL KONTANTVLOEI PROJEKSIES VIR 1996/97**

	April	May/Mei	Jun	Jul	Aug	Sept	Oct/Okt	Nov	Dec/Des	Jan	Feb	Mar/Mrt	Total Totaal	Budget Begroting
Original projection of requisitions/Oorspronklike projeksie van aanvraag.....	15,940	15,119	11,855	12,784	18,045	13,111	12,180	13,470	11,470	13,953	21,055	17,158	176,140	176,140
Actual requisitions to date/Werklike aanvraag op datum	15,604	14,789	—	—	—	—	—	—	—	—	—	—	30 393	—
Actual Expenditure totals/Werklike uitgawesyer	Totals currently not available/Syfers huidiglik nie beskikbaar nie													

**DEPARTMENT OF WATER AFFAIRS AND FORESTRY
DEPARTEMENT VAN WATERWESE EN BOSBOU**

No. 1025

21 June 1996

RAND WATER BOARD: EXTENSION OF LIMITS OF SUPPLY

I, Kader Asmal, Minister of Water Affairs and Forestry, hereby in terms of section 112 (1) of the Rand Water Board Statutes (Private) Act, 1950 (Act No. 17 of 1950), extend the limits of supply of the Rand Water Board by the inclusion of the properties described in the Annexure hereto.

K. ASMAL**Minister of Water Affairs and Forestry**

ANNEXURE**RAND WATER BOARD: DESCRIPTION OF THE AREA, AS INDICATED ON THE ATTACHED PLAN,
TO BE INCLUDED IN THE BOARD'S LIMITS OF SUPPLY**

The following farms with all subdivisions:

Winterveld 101 JR.
Klipgat 249 JQ.
Klippan 102 JR.
Tyne 250 JQ.
Nooitgedacht 256 JR.
Boekenhoutfontein 236 JR.
Syferfontein 430 JQ.
Kameelfontein 257 JR.
Uitvalgrond 434 JQ.
Sjambok Zijn Oude Kraal 258 JR.

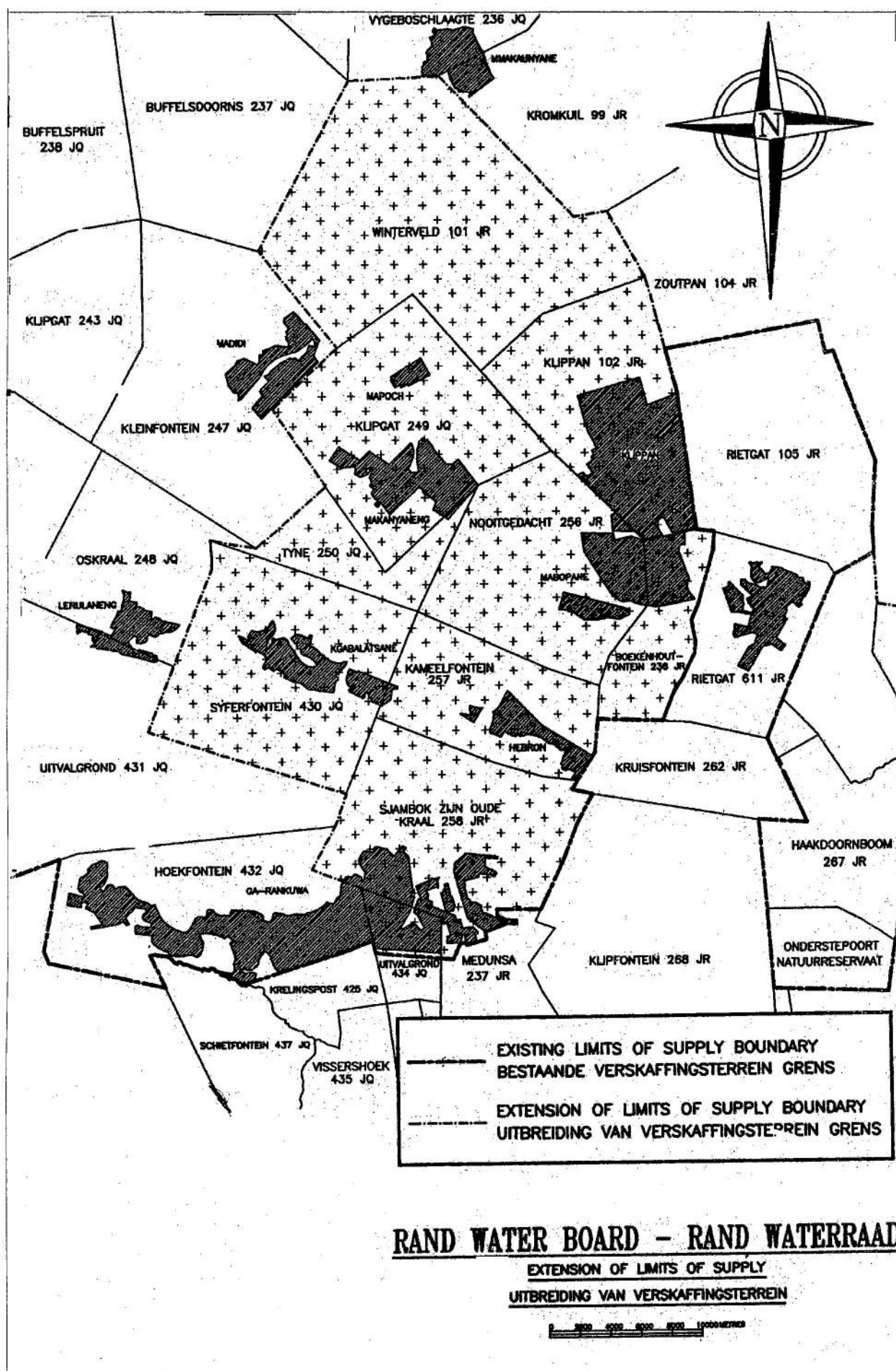
No. 1025**21 Junie 1996****RANDWATERRAAD: UITBREIDING VAN VERSKAFFINGSTERREIN**

Ek, Kader Asmal, Minister van Waterwese en Bosbou, brei hierby kragtens artikel 112 (1) van die Private Wet op die Randwaterraadstatute, 1950 (Wet No. 17 van 1950), die verskaffingsterrein van die Randwaterraad uit deur die insluiting van die eiendomme in die Bylae hiervan omskryf.

K. ASMAL**Minister van Waterwese en Bosbou****BYLAE****RANDWATERRAAD: BESKRYWING VAN DIE GEBIED, SOOS AANGEDUI OP DIE AANGEHEGTE PLAN,
WAT BY DIE RAAD SE VERSKAFFINGSTERREIN INGESLUIT WORD**

Die volgende plase met alle onderverdelings:

Winterveld 101 JR.
Klipgat 249 JQ.
Klippan 102 JR.
Tyne 250 JQ.
Nooitgedacht 256 JR.
Boekenhoutfontein 236 JR.
Syferfontein 430 JQ.
Kameelfontein 257 JR.
Uitvalgrond 434 JQ.
Sjambok Zijn Oude Kraal 258 JR.



NOTICE 727 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference Number : KRK 6/2/3/A/2/23/1794/952
Claimant : S. A. Arendsen
Property : Erf 12083, consolidated in Erf 21463, Tyger Valley, District of Bellville
Deed of Transfer : T9970/79
Date submitted : 95-10-06
Current owner : Old Apostolic Church of Africa

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 727 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/2/23/1794/952
Elser : S. A. Arendsen
Eiendom : Erf 12083, gekonsolideer in Erf 21463, Tygervallei, distrik Bellville
Titelakte : T9970/79
Datum Ingedien : 95-10-06
Huldige elenaar : Ou Apostoliese Kerk van Afrika

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 728 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 of 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2676/11
Claimant : G. Abrahams
Properties : Erven 12509 and 12519, Woodstock, District of Cape Town
Deeds of Transfer : T10883/46, T829/46
Date submitted : 95-11-30
Current owner : Erven 12509 and 12519 = National Housing Board: T24089/72

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 728 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2676/11
Eiser : G. Abrahams
Eiendomme : Erwe 12509 en 12519, Woodstock, distrik Kaapstad
Titelaktes : T10883/46, T829/46
Datum ingedien : 95-11-30
Huidige eienaar : Erwe 12509 en 12519 = Nasionale Behuisingsraad: T24089/72

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 729 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/1113
Claimant : G. Abed
Property : Erf 7044, consolidated in Erf 9868, District 6, District of Cape Town
Deed of Transfer : T18101/72
Date submitted : 95/12/01
Current owner : National Housing Board: T60878/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commissioner of Restitution of Land Rights will investigate the claim in terms of the provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 729 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/1113
Eiser : G. Abed
Elendom : Erf 7044, gekonsolideer in Erf 9868, Distrik 6, distrik Kaapstad
Titelakte : T18101/72
Datum ingedien : 95/12/01
Huidige elenaar : Nasionale Behuissingsraad: T60878/83

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 730 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/1116
Claimant : M. T. Arendze on behalf of family
Property : Erf 8961, consolidated in Erf 9918, District 6, Cape Town
Deed of Transfer : T7657/53
Date submitted : 95-11-30
Current owner : National Housing Board: T60892/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 730 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/1116
Elser : M. T. Arendze namens familie
Eiendom : Erf 8961, gekonsolideer in Erf 9918, Distrik 6, Kaapstad
Titelakte : T7657/53
Datum Ingedien : 95-11-30
Huidige elenaar : Nasionale Behuisingsraad: T60892/83

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 731 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/1108
Claimant : J. Adams
Property : Erven 6554, 6965 and 7085, consolidated in Erf 9868, District 6, Cape Town
Deeds of Transfer : T29246/70, T6257/73
Date submitted : 95-12-05
Current owner : National Housing Board: T60878/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 731 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/1108
Elser : J. Adams
Eiendom : Erwe 6554, 6965 en 7085, gekonsolideer in Erf 9868, Distrik 6, Kaapstad
Titelaktes : T29246/70, T6257/73
Datum Ingedien : 95-12-05
Huidige elenaar : Nasionale Behuisingsraad: T60878/83

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 732 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/1100
Claimant : J. A. Alexander
Property : Erf 8675, District 6, Cape Town
Deed of Transfer : T2096/53
Date submitted : 95/11/18
Current owner : National Housing Board: T35283/70

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 732 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/1100
Elser : J. A. Alexander
Elendom : Erf 8675, Distrik 6, Kaapstad
Titelakte : T2096/53
Datum Ingedien : 95/11/18
Huidige elenaar : Nasionale Behulsingsraad: T35283/70

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 733 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/2/23/1756/2
Claimant : G. B. Ward
Property : Erf 3530, Goodwood
Deeds of Transfer : T17524/62, T7782/86
Date submitted : 95-08-01
Current owner : L. E. Faasen = T52389/88

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 733 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1756/2
Eiser : G. B. Ward
Elendom : Erf 3530, Goodwood
Titelaktes : T17524/62, T7782/86
Datum ingedien : 95-08-01
Huldige elenaar : L. E. Faasen = T52389/88

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 734 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/28/0/0/506
Claimant : C. Beukes
Property : Erf 3640, Riversdal
Deed of Transfer : T23384/70
Date submitted : 95-09-18
Current owner : National Housing Board: T4271/85

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission of Restitution of Land Rights will investigate the claim in terms of the provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 734 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/28/0/0/506
Eiser : C. Beukes
Elendom : Erf 3640, Riversdal
Titelaktes : T23384/70
Datum ingedien : 95/09/18
Huidige eienaar : Nasionale Behuisingsraad: T4271/85

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 735 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 of 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2726/13
Claimant : F. de Vries
Property : Erf 7506, consolidated in Rem. Erf 9918, District 6, District of Cape Town
Deeds of Transfer : T10186/69, T9803/62
Date submitted : 95-08-01
Current owner : National Housing Board

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 735 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2726/13
Elser : F. de Vries
Eiendom : Erf 7506, gekonsolideer in Rest. Erf 9918, Distrik 6, distrik Kaapstad
Titelaktes : T10186/69, T9803/62
Datum ingedien : 95-08-01
Huidige elenaar : Nasionale Behulsingsraad

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 736 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/97
Claimant : N. J. Daniels
Property : Erf 8948, District 6, Cape Town
Deeds of Transfer : T2376/71, T15748/55
Date submitted : 95-08-01
Current owner : National Housing Board

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 736 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/97
Eiser : N. J. Daniels
Elendom : Erf 8948, Distrik 6, distrik Kaapstad
Titelaktes : T2376/71, T15748/55
Datum ingedien : 95-08-01
Huidige eienaar : Nasionale Behuisingsraad

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 737 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/9/27/825/5
Claimant : A. W. Daniels on behalf of family
Properties : Erven 1758 and 1761, Goodwood
Deeds of Transfer : T8684/30, T11814/58, T17071/65
Date submitted : 95-08-01
Current owners : Erf 1758 = Goodwood Municipality: T30879/74
Erf 1761 = E. B. + V. Jefftha: T81953/95

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 737 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/9/27/825/5
Eiser : A. W. Daniels namens familie
Elendomme : Erwe 1758 en 1761, Goodwood
Titelaktes : T8684/30, T11814/58, T17071/65
Datum ingedien : 95-08-01
Huldige elenaars : Erf 1758 = Goodwood Munisipaliteit: T30879/74
Erf 1761 = E. B. + V. Jefftha: T81953/95

ingedien is by die Streekgrondsekkommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondsekkommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondsekkommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 738 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/470
Claimant : A. L. Dalvie
Property : Remainder Erf 9944, consolidated in Erf 15197, District 6, District of Cape Town
Deeds of Transfer : T9088/68, T20353/85
Date submitted : 95-11-17
Current owner : National Housing Board

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 738 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/470
Elser : A. L. Dalvie
Elendom : Rest. Erf 9944, gekonsolideer in Erf 15197, Distrik 6, distrik Kaapstad
Titelaktes : T9088/68, T20353/85
Datum ingedien : 95-11-17
Huidige elenaar : Nasionale Behuissingsraad

ingedien is by die Streekgrondsekkommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondsekkommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondsekkommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 739 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/42//3/2042/463
Claimant : M. Jabaar on behalf of family
Property : Erf 44802, Rondebosch, District of Wynberg
Deeds of Transfer : T19800/70, T51250/94
Date submitted : 95/11/21
Current owner : M. A. Abrahams + M. Robinson

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission of Restitution of Land Rights will investigate the claim in terms of the provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
 Private Bag X9163
 Cape Town
 8000.
 Tel. (021) 26-2930.
 Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 739 VAN 1996**ALGEMENE KENNISGEWING IN TERMÊ VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/42/3/2042/463
Eiser : M. Jabaar namens familie
Eiendom : Erf 44802, Rondebosch, distrik Wynberg
Titelaktes : T19800/70, T51250/9
Datum ingedien : 95/11/21
Huidige eienaar : M. A. Abrahams + M. Robinson

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
 Privaatsak X9163
 Kaapstad
 8000.
 Tel. (021) 26-2930.
 Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 740 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/40
Claimant : S. O. Kaprie
Property : Erf 8454, consolidated in Erf 9868 and Erf 8391, District 6, District of Cape Town
Deeds of Transfer : T6913/61, T14021/72 = Erf 8454
 T4321/55 = Erf 8391
Date submitted : 95-08-01
Current owner : Erf 8454 = National Housing Board: T60878/83
 Erf 8391 = Municipality of Cape Town: T7250/62

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
 Private Bag X9163
 Cape Town
 8000.
 Tel. (021) 26-2930.
 Fax (021) 26-2702.

W. A. MGOQI
 Regional Land Claims Commissioner

KENNISGEWING 740 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/40
Eiser : S. O. Kaprie
Elendom : Erf 8454, gekonsolideer in Erf 9868 en Erf 8391, Distrik 6, distrik Kaapstad
Titelaktes : T6913/61, T14021/72 = Erf 8454
 T4321/55 = Erf 8391
Datum ingedien : 95-08-01
Huidige eienaar : Erf 8454 = Nasionale Behuisingsraad: T60878/83
 Erf 8391 = Munisipaliteit van Kaapstad: T7250/62

ingedien is by die Streekgrondsekkommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondsekkommissaris: Wes- en Noord-Kaap
 Privaatsak X9163
 Kaapstad
 8000.
 Tel. (021) 26-2930.
 Faks (021) 26-2702.

W. A. MGOQI
 Streekgrondsekkommissaris
 (21 June 1996)/(21 Junie 1996)

NOTICE 741 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/460
Claimant : A. Kammies
Property : Erf 8622, consolidated in Erf 15197, District 6, District of Cape Town
Deed of Transfer : T3545/71
Date submitted : 95-11-21
Current owner : National Housing Board: T20353/85

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 741 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/460
Eiser : A. Kammies
Elendom : Erf 8622, gekonsolideer in Erf 15197, Distrik 6, distrik Kaapstad
Titelakte : T3545/71
Datum Ingedien : 95-11-21
Huidige eienaar : Nasionale Behuisingsraad: T20353/85

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 742 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/8/148/782/969
Claimant : G. T. Levendal
Properties : Erf 1088, consolidated in Erf 5689 and Erf 2422, George
Deeds of Transfer : T16729/63, T7535/66
Date submitted : 95-10-25
Current owners : Erf 2422 = I. A. Volschenk: T24509/70
Erf 1088 = Municipality of George: T16802/85

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 742 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/8/148/782/969
Elser : G. T. Levendal
Elendomme : Erf 1088, gekonsolideer in Erf 5689 en Erf 2422, George
Titelaktes : T16729/63, T7535/66
Datum ingedien : 95-10-25
Huidige elenaars : Erf 2422 = I. A. Volschenk: T24509/70
Erf 1088 = Munisipaliteit van George: T16802/85

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 743 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/32/77/2254/937
Claimant : S. Lamberts
Property : Erf 2467, consolidated in Erf 7319, Stellenbosch
Deed of Transfer : T22790/67
Date submitted : 95-11-10
Current owner : Erf 2467 = University of Stellenbosch: T54539/84

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 743 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/32/77/2254/937
Eiser : S. Lamberts
Elendom : Erf 2467, gekonsolideer in Erf 7319, Stellenbosch
Titelakte : T22790/67
Datum Ingedien : 95-11-10
Huidige elenaar : Erf 2467 = Universiteit van Stellenbosch: T54539/84

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 744 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1956/3
Claimant : C. M. J. Misrole on behalf of family
Property : Erf 1095, consolidated in Erf 123302, Ottery, District of Wynberg
Deed of Transfer : T2717/68
Date submitted : 95-08-24
Current owner : Erf 1095 = Municipality of Cape Town

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 744 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1956/3
Eiser : C. M. J. Misrole namens familie
Elendom : Erf 1095, gekonsolideer in Erf 123302, Ottery, distrik Wynberg
Titelakte : T2717/68
Datum ingedien : 95-08-24
Huidige eienaar : Erf 1095 = Munisipaliteit van Kaapstad

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 745 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/98
Claimant : S. Miller
Properties : Erven 7684, 7685 and 7686, consolidated in Erf 9918, District 6, Cape Town
Deeds of Transfer : T11893/72, T15624/69
Date submitted : 95-04-03
Current owner : Erven 7684, 7685 and 7686 = National Housing Board: T60892/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 745 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/98
Eiser : S. Miller
Eiendomme : Erwe 7684, 7685 en 7686, gekonsolideer in Erf 9918, Distrik 6, Kaapstad
Titelaktes : T11893/72, T15624/69
Datum ingedien : 95-04-03
Huidige eienaar : Erwe 7684, 7685 en 7686 = Nasionale Behuisingsraad: T60892/83

ingedien is by die Streekgrondeisekomsmissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekomsmissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekomsmissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 746 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/817
Claimant : M. I. Moosa
Properties : Erven 6985, 6987 and 6988, consolidated in Erf 9869, and again consolidated in Erf 9925, District 6, Cape Town
Deed of Transfer : T9488/72
Date submitted : 95-08-11
Current owner : Erven 6985, 6987 and 6988 = National Housing Board: T60895/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 746 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/817
Elser : M. I. Moosa
Elendomme : Erwe 6985, 6987 en 6988, gekonsolideer in Erf 9869 en weer gekonsolideer in Erf 9925, Distrik 6, Kaapstad
Titelakte : T9488/72
Datum Ingedien : 95-08-11
Huidige eienaar : Erwe 6985, 6987 en 6988 = Nasionale Behuisingsraad: T60895/83

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 747 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/9/27/825/537
Claimant : E. Paulsen
Property : Erf 4516, Goodwood
Deed of Transfer : T7573/64
Date submitted : 95-08-24
Current owner : Erf 4516 = G. + C. S. L. van Greunen

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 747 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/9/27/825/537
Eiser : E. Paulsen
Eiendom : Erf 4516, Goodwood
Titelakte : T7573/64
Datum Ingedien : 95-08-24
Huidige eienaar : Erf 4516 = G + C. S. L. van Greunen

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 748 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/515
Claimant : M. C. Petersen
Property : Erf 9200, consolidated in Erf 115705, District 6, Cape Town
Deed of Transfer : T12080/68
Date submitted : 95-09-28
Current owner : Erf 9200 = National Housing Board: T61571/87

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI

Regional Land Claims Commissioner

KENNISGEWING 748 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/515
Elser : M. C. Petersen
Eiendom : Erf 9200, gekonsolideer in Erf 115705, Distrik 6, Kaapstad
Titelakte : T12080/68
Datum Ingedien : 95-09-28
Huidige eienaar : Erf 9200 = Nasionale Behuisingsraad: T61571/87

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI

Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 749 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/816
Claimant : V. Petersen
Property : Erf 5965, consolidated in Erf 116982, District 6, Cape Town
Deed of Transfer : T4429/58
Date submitted : 95-11-07
Current owners : Erf 5965 = National Housing Board: T49232/88
Erf 1761 = E. B. + V. Jefftha: T81953/95

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 749 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/816
Eiser : V. Petersen
Eiendom : Erf 5965, gekonsolideer in Erf 116982, Distrik 6, Kaapstad
Titelakte : T4429/58
Datum ingedien : 95-11-07
Huidige eienaars : Erf 5965 = Nasionale Behuisingsraad: T49232/88
Erf 1761 = E. B. + V. Jefftha: T81953/95

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 750 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/2700/451
Claimant : M. Peters
Property : Erf 7386, consolidated in Erf 9666, District 6, Cape Town
Deed of Transfer : T21468/70
Date submitted : 95-11-20
Current owner : Erf 7386 = National Housing Board: T60883/83

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 750 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/2700/451
Eiser : M. Peters
Eiendom : Erf 7386, gekonsolideer in Erf 9666, Distrik 6, Kaapstad
Titelakte : T21468/70
Datum ingedien : 95-11-20
Huidige eienaar : Erf 7386 = Nasionale Behuisingsraad: T60883/83

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris

(21 June 1996)/(21 Junie 1996)

NOTICE 751 OF 1996**GENERAL NOTICE IN TERMS OF THE RESTITUTION OF LAND RIGHTS ACT, 1994
(ACT No. 22 OF 1994)**

Notice is hereby given in terms of section 11 (1) of the Restitution of Land Rights Act, 1994 (Act No. 22 of 1994), that a claim for the restitution of land rights on:

Reference number : KRK 6/2/3/A/13/3/1051/831
Claimant : N. Regal
Property : Erf 8766, consolidated in Erf 15197, District 6, Cape Town
Deed of Transfer : T19955/67
Date submitted : 95-11-14
Current owner : Erf 8766 = National Housing Board: T20353/85

has been submitted to the Regional Land Claims Commissioner for the Western and Northern Cape and that the Commission on Restitution of Land Rights will investigate the claim in terms of provisions of the Act in due course. Any party who has an interest in the above-mentioned land is hereby invited to submit, within 60 days from the publication of this notice, any comments/information to:

The Regional Land Claims Commissioner: Western and Northern Cape
Private Bag X9163
Cape Town
8000.
Tel. (021) 26-2930.
Fax (021) 26-2702.

W. A. MGOQI
Regional Land Claims Commissioner

KENNISGEWING 751 VAN 1996**ALGEMENE KENNISGEWING IN TERME VAN DIE WET OP HERSTEL VAN GRONDREGTE, 1994
(WET No. 22 VAN 1994)**

Kennis geskied hiermee ingevolge artikel 11 (1) van die Wet op Herstel van Grondregte, 1994 (Wet No. 22 van 1994), dat 'n eis vir die herstel van grondregte vir:

Verwysingsnommer : KRK 6/2/3/A/13/3/1051/831
Elser : N. Regal
Eiendom : Erf 8766, gekonsolideer in Erf 15197, Distrik 6, Kaapstad
Titelakte : T19955/67
Datum Ingedien : 95-11-14
Huidige eienaar : Erf 8766 = Nasionale Behuisingsraad: T20353/85

ingedien is by die Streekgrondeisekommissaris: Wes- en Noord-Kaap en dat die eis mettertyd ingevolge die bepalings van die Wet deur die Kommissie op Herstel van Grondregte ondersoek sal word. Enige party wat 'n belang het by die grond waarop die eis ingestel is, word genooi om binne 60 dae vanaf publikasie van hierdie kennisgewing, enige kommentaar/inligting te stuur aan die:

Streekgrondeisekommissaris: Wes- en Noord-Kaap
Privaatsak X9163
Kaapstad
8000.
Tel. (021) 26-2930.
Faks (021) 26-2702.

W. A. MGOQI
Streekgrondeisekommissaris
(21 June 1996)/(21 Junie 1996)

NOTICE 752 OF 1996**DEPARTMENT OF TRADE AND INDUSTRY**

MERCHANDISE MARKS ACT, 1941 (ACT No. 17 OF 1941)

PROPOSED PROHIBITION OF THE USE OF A CERTAIN NAME AND WORDS

I, Alexander Erwin, Minister of Trade and Industry, in pursuance of the requirements of section 13 of the Merchandise Marks Act, 1941 (Act No. 17 of 1941), hereby give notice that the Shri Ram Chandra Mission, an association incorporated not for gain, has conveyed a request for the prohibition in terms of section 15 (1) of the said Act on the use of the name "Shri Ram Chandra Mission" and words "Sahaj Marg," as depicted hereunder, in connection with any trade, business, profession or occupation or in connection with a trade mark, mark or trade description applied to goods, other than the use thereof by the above-mentioned organisation or its mandatories.

SHRI RAM CHANDRA MISSION**SAHAJ MARG**

Interested persons are invited to submit, in writing, such representations as they may care to make in regard to this matter to the Registrar of Trade Marks, Private Bag X400, Pretoria, 0001, to reach him within 30 days of the publication of this notice.

KENNISGEWING 752 VAN 1996**DEPARTEMENT VAN HANDEL EN NYWERHEID**

HANDELSWAREMERKE-WET, 1941 (WET No. 17 VAN 1941)

VOORGENOME VERBOD OP DIE GEBRUIK VAN 'N SEKERE NAAM EN WOORDE

Ek, Alexander Erwin, Minister van Handel en Nywerheid, ooreenkomstig die vereistes van artikel 13 van die Handelswaremerke-wet, 1941 (Wet No. 17 van 1941), gee hierby kennis dat die "Shri Ram Chandra Mission" 'n vereniging ingelyf sonder winsbejag 'n versoek gerig het dat 'n verbod kragtens artikel 15 (1) van voormelde Wet geplaas word op die gebruik van die naam "Shri Ram Chandra Mission" en woorde "Sahaj Marg," soos hierbo afgebeeld, in verband met enige handel, besigheid, beroep of bedryf of in verband met 'n handelsmerk, merk of handelsoms krywing wat op ware aangebring is, uitgesonderd die gebruik daarvan deur die bogenoemde organisasie of sy gevolmagtigdes.

Belanghebbendes word versoek om vertoë wat hulle in verband met hierdie aangeleentheid wil rig, skriftelik by die Registrateur van Handelsmerke, Privaatsak X400, Pretoria, 0001, in te dien sodat dit hom binne dertig dae na publikasie van hierdie kennisgewing bereik.

(21 Junie 1996)/(21 Junie 1996)

NOTICE 753 OF 1996**DEPARTMENT OF TRADE AND INDUSTRY**

MERCHANDISE MARKS ACT, 1941 (ACT No. 17 OF 1941)

PROPOSED PROHIBITION ON THE USE OF A CERTAIN PRODUCT MARK

I, Alexander Erwin, Minister of Trade & Industry, in pursuance of the requirements of section 13 of the Merchandise Marks Act 1941 (Act No. 17 of 1941), hereby give notice that the World Intellectual Property Organisation (WIPO) has, by virtue of article 6ter of the Paris Convention for the Protection of Intellectual Property, 1883, as amended, conveyed a request on behalf of the Republic of Korea for the prohibition in terms of section 15 (1) of the said Act, on the use of its World Class Korean product mark, as depicted hereunder, in connection with any trade, business, profession or occupation or in connection with a trade mark, mark or trade description applied to goods, other than the use thereof by the said Government or its mandatories.



Interested persons are invited to submit, in writing, such representations as they may care to make in regard to this matter to the Registrar of Trade Marks, Private Bag X400, Pretoria, 0001, to reach him within 30 days of the publication of this notice.

KENNISGEWING 753 VAN 1996**DEPARTEMENT VAN HANDEL EN NYWERHEID**

HANDELWAREMERKE-WET, 1941 (WET No. 17 VAN 1941)

VOORGENOME VERBOD OP DIE GEBRUIK VAN 'N SEKERE PRODUKTE MERK

Ek, Alexander Erwin, Minister van Handel en Nywerheid, gee ooreenkomstig die vereistes van artikel 13 van die Handelswaremerke-wet, 1941, hierby kennis dat die Wêreldorganisasie vir Intellektuele Eiendom (WIPO) ingevolge artikel 6ter van die Konvensie van Parys vir die Beskerming van Intellektuele Eiendom, 1883, soos gewysig, 'n versoek namens die Republiek van Korea gerig het dat 'n verbod kragtens artikel 15 (1) van die Wet op die gebruik van die Wêreldklas Koreaanse produkte merk soos hierbo afgebeeld, geplaas word in verband met enige handel, besigheid, beroep of bedryf of in verband met 'n handelsmerk, merk of handelsoms krywing wat op ware aangebring is, uitgesonderd die gebruik daarvan deur genoemde Regering of sy gevolmagtigdes.

Belanghebbendes word versoek om vertoë wat hulle in verband met die aangeleentheid wil rig, skriftelik by die Registrateur van Handelsmerke, Privaatsak X400, Pretoria, 0001, in te dien, sodat dit hom binne 30 dae na publikasie van die kennisgewing bereik.

(21 June 1996)/(21 Junie 1996)

NOTICE 754 OF 1996**DEPARTMENT OF TRADE AND INDUSTRY**

MERCHANDISE MARKS ACT, 1941 (ACT No. 17 OF 1941)

PROPOSED PROHIBITION ON THE USE OF A CERTAIN EMBLEM

I, Alexander Erwin, Minister of Trade and Industry, in pursuance of the requirements of section 13 of the Merchandise Marks Act, 1941 (Act No. 17 of 1941), hereby give notice that the University of Natal has conveyed a request on behalf of the Centre for Criminal Justice, a non-profit body, for the prohibition in terms of section 15 (1) of the said Act on the use of its emblem, as depicted hereunder, in connection with any trade, business, profession or occupation or in connection with a trade mark, mark or trade description applied to goods, other than the use thereof by the above-mentioned body or its mandatories.



Interested persons are invited to submit, in writing, such representations as they may care to make in regard to this matter to the Registrar of Trade Marks, Private Bag X400, Pretoria, 0001, to reach him within thirty days of the publication of this notice.

KENNISGEWING 754 VAN 1996**DEPARTEMENT VAN HANDEL EN NYWERHEID****HANDELSWAREMERKE-WET, 1941 (WET No. 17 VAN 1941)****VOORGENOME VERBOD OP DIE GEBRUIK VAN 'N SEKERE EMBLEEM**

Ek, Alexander Erwin, Minister van Handel en Nywerheid, ooreenkomstig die vereistes van artikels 13 van die Handelswaremerke-wet, 1941 (Wet No. 17 van 1941), gee hierby kennis dat die Universiteit van Natal 'n versoek gerig het namens die "Centre for Criminal Justice", 'n liggaam sonder winsbejag, dat 'n verbod kragtens artikel 15 (1) van voormelde Wet geplaas word op die gebruik van sy embleem soos hierbo afgebeeld, in verband met enige handel, besigheid, beroep of bedryf of in verband met 'n handelsmerk, merk of handelsomskriving wat op ware aangebring is, uitgesonderd die gebruik daarvan deur die bogenoemde liggaam of sy gevolmagtigdes.

Belanghebbendes word versoek om vertoë wat hulle in verband met hierdie aangeleentheid wil rig, skriftelik by die Registrateur van Handelsmerke, Privaatsak X400, Pretoria, 0001, in te dien sodat dit hom binne dertig dae na publikasie van hierdie kennisgewing bereik.

(21 June 1996)/(21 Junie 1996)

NOTICE 755 OF 1996**DEPARTMENT OF FINANCE****16 PER CENT LOAN LEVY, 1994: CERTIFICATE No. 74643 FOR R10 500 ISSUED IN FAVOUR OF SA BADGE & EMBROIDERY WORKS PTY LTD**

Application having been made to the Department of Finance for a duplicate of the above-mentioned certificate, the original having been lost or mislaid, notice is hereby given that unless the original certificate is produced at the Department of Finance, Private Bag X115, Pretoria, within four weeks from the date of publication of this notice, a duplicate as applied for, will be issued.

KENNISGEWING 755 VAN 1996**DEPARTEMENT VAN FINANSIES****16 PERSENT LENINGSHEFFING, 1994: SERTIFIKAAT No. 74643 VIR R10 500 UITGEREIK TEN GUNSTE VAN SA BADGE & EMBROIDERY WORKS PTY LTD**

Aangesien daar by die Departement van Finansies aansoek gedoen is om 'n duplikaat van bovermelde sertifikaat van verloor of verlê is, word hierby bekendgemaak dat tensy die oorspronklike sertifikaat binne vier weke na die datum van publikasie van hierdie kennisgewing by die Departement van Finansies, Privaatsak X115, Pretoria, ingelewer word, die verlangde duplikaat uitgereik sal word.

(21 June 1996)/(21 Junie 1996)

NOTICE 761 OF 1996**DEPARTMENT OF TRANSPORT****AIR SERVICE LICENSING ACT, 1990 (ACT No. 115 OF 1990)**

Pursuant to the provisions of section 15 (1) (b) of Act No. 115 of 1990 and regulation 8 of the Domestic Air Services Regulations, 1991, it is hereby notified for general information that the application details of which appear in the Schedule here-to, will be considered by the Air Service Licensing Council.

Representations in accordance with section 15 (3) of Act No. 115 of 1990 in support of, or in opposition to, an application, should reach the Air Service Licensing Council, Private Bag X193, Pretoria, 0001, within 21 days of the date of publication here-of.

ANNEXURE 2**APPLICATION FOR THE AMENDMENT OF LICENCE**

(A) Full name and trade name of applicant. (B) Full business or residential address of applicant. (C) The class and number of licence in respect of which the amendment is sought. (D) Type of air service and the amendment thereto which is being applied for. (E) Category of aircraft and the amendment thereto which is being applied for.

(A) Air Champagne CC; Air Champagne, Jayne Air. (B) P.O. Box 1856, Parklands, 2121. (C) Class II/N319D. (D) Type N1 add N2. (E) Category A3 and A4 add A2.

KENNISGEWING 761 VAN 1996**DEPARTEMENT VAN VERVOER****WET OP DIE LISENSIËRING VAN LUGDIENSTE, 1990 (WET No. 115 VAN 1990)**

Hierby word ingevolge die bepalings van artikel 15 (1) (b) van Wet 115 van 1990 en regulasie 8 van die Regulasies vir Binnelandse Lugdienste, 1991, vir algemene inligting bekendgemaak dat die Lugdienslisensiëringsraad die aansoek waarvan besonderhede in die Bylae hieronder verskyn, sal oorweeg.

Vertoë ingevolge artikel 15 (3) van Wet No. 115 van 1990 ter ondersteuning of bestryding van 'n aansoek moet die Lugdienslisensiëringsraad, Privaatsak X193, Pretoria, 0001, binne 21 dae na die datum van publikasie hiervan bereik.

BYLAE 2**AANSOEK OM DIE WYSIGING VAN LISENSIE**

(A) Volle naam en handelsnaam van aansoeker. (B) Volle besigheids- of woonadres van aansoeker. (C) Klas en nommer van die lisensie ten opsigte waarvan 'n wysiging gevra word. (D) Tipe lugdiens en die wysiging daarvan waarom aansoek gedoen word. (E) Kategorie lugvaartuig en die wysiging daarvan waarom aansoek gedoen word.

(A) Air Champagne BK; Air Champagne, Jayne Air. (B) Posbus 1856, Parklands, 2121. (C) Klas II/N319D. (D) Tipe N1, voeg N2 by. (E) Kategorie A3 en A4, voeg A2 by.

(21 June 1996)/(21 Junie 1996)

NOTICE 762 OF 1996**DEPARTMENT OF TRANSPORT****AIR SERVICE LICENSING ACT, 1990 (ACT No. 115 OF 1990)**

Pursuant to the provisions of section 15 (1) (b) of Act No. 115 of 1990 and regulation 8 of the Domestic Air Services regulations, 1991, it is hereby notified for general information that the applications details of which appear in the Schedule hereto, will be considered by the Air Service Licensing Council.

Representations in accordance with section 15 (3) of Act No. 115 of 1990 in support of, or in opposition to, an application, should reach the Air Service Licensing Council, Private Bag X193, Pretoria, 0001, within 21 days of the date of publication hereof.

SCHEDULE 1**APPLICATIONS FOR THE GRANT OF LICENSES**

(A) Full name and trade name of applicant. (B) Full business or residential address of applicant. (C) Class of licence applied for. (D) Type of air service to which application applies. (E) Category of aircraft to which application applies.

(A) Noir Air CC; Noir Air Charter. (B) P.O. Box 11565, Hatfield, 0028. (C) Class II. (D) Type N1 and N2. (E) Category A4.

(A) Noir Air CC; Noir Air Charter. (B) P.O. Box 11565, Hatfield, 0028. (C) Class III. (D) Type G4. (E) Category A4.

(A) Sefofane Aircharters (Pty) Ltd. (B) P.O. Box 651171, Benmore, 2010. (C) Class II. (D) Type N1 and N2. (E) Category A3 and A4.

(A) Van den Berg Lugbespuiting CC. (B) P.O. Box 727, Frankfort, 9830. (C) Class III. (D) Type G5. (E) Category A4.

(A) Johnie Smith Lugbespuiting CC. (B) P.O. Box 1943, Kroonstad, 9500. (C) Class III. (D) Type G5. (E) Category A4.

(A) Cape West Aviation CC; Aviation Business Centre. (B) P.O. Box 30, Cape Town International Airport, 7490. (C) Class II. (D) Type N1 and N2. (E) Category A2, A3, A4 and H2.

KENNISGEWING 762 VAN 1996**DEPARTEMENT VAN VERVOER****WET OP DIE LISENSIËRING VAN LUGDIENSTE, 1990 (WET No. 115 VAN 1990)**

Hierby word ingevolge die bepalings van artikels 15 (1) (b) van Wet 115 van 1990 en regulasie 8 van die Regulasies vir Binnelandse Lugdienste, 1991, vir algemene inligting bekendgemaak dat die Luddienslisensiëring die aansoeke waarvan besonderhede in die Bylae hieronder verskyn, sal oorweeg.

Vertoë ingevolge artikel 15 (3) van Wet No. 115 van 1990 ter ondersteuning of bestryding van 'n aansoek moet die Lugdienslisensiëringsraad, Privaatsak X193, Pretoria, 0001, binne 21 dae na die datum van publikasie hiervan bereik.

BYLAE 1**AANSOEKE OM DIE TOESTAAN VAN LISENSIES**

(A) Volle naam en handelsnaam van aansoeker. (B) Volle besigheids- of woonadres van aansoeker. (C) Klas lisensie waarom aansoek gedoen word. (D) Tipe lugdiens waarop aansoek betrekking het. (E) Kategorie lugvaart waarop aansoek betrekking het.

(A) Noir Air BK; Noir Air Charter. (B) Posbus 11565, Hatfield, 0028. (C) Klas II. (D) Tipe N1 en N2. (E) Kategorie A4.

(A) Noir Air BK; Noir Air Charter. (B) Posbus 11565, Hatfield, 0028. (C) Klas III. (D) Tipe G4. (E) Kategorie A4.

(A) Sefofane Aircharters (Edms.) Bpk. (B) Posbus 651171, Benmore, 2010. (C) Klas II. (D) Tipe N1 en N2. (E) Kategorie A3 en A4.

(A) Van den Berg Lugbespuiting BK. (B) Posbus 727, Frankfort, 9830. (C) Klas III. (D) Tipe G5. (E) Kategorie A4.

(A) Johnie Smith Lugbespuiting BK. (B) Posbus 1943, Kroonstad, 9500. (C) Klas III. (D) Tipe G5. (E) Kategorie A4.

(A) Cape West Aviation BK; Aviation Business Centre. (B) Posbus 30, Kaapstad Internasionale Lughawe, 7490. (C) Klas II. (D) Tipe N1 en N2. (E) Kategorie A2, A3, A4 en H2.

(21 June 1996)/(21 Junie 1996)

NOTICE 763 OF 1996**DEPARTMENT OF TRANSPORT****INTERNATIONAL AIR SERVICES ACT, 1993 (ACT No. 60 OF 1993)****APPLICATIONS FOR THE GRANT/AMENDMENT OF INTERNATIONAL AIR SERVICE LICENCES**

Pursuant to the provisions of section 16 (1) of Act No. 60 of 1993 and regulation 14 (1) and 14 (2) of the International Air Services Regulations, 1994, it is hereby notified for general information that the application, details of which appear in the Schedule hereto, will be considered by the International Air Services Council (Council).

Representations in accordance with section 16 (3) of Act No. 60 of 1993 and regulation 25 (1) of the International Air Services Regulations, 1994, against or in favour of an application, should reach the Chairman of the Council at Private Bag X193, Pretoria, 0001, within 28 days of the date of publication hereof. It must be stated whether the party or parties making such representation is/are prepared to be present or represented at the possible hearing of the application.

The Council will cause notice of the time, date and place of the proceedings to be given in writing to the applicant and all parties who have made representations as aforesaid and who desire to be present or represented at the hearing.

SCHEDULE 1**APPLICATION FOR THE GRANT OF LICENCE**

(A) Full name, surname and trade name of applicant. (B) Full business or residential address of applicant. (C) Class of licence applied for. (D) Type of international air service to which application pertains. (E) Category or kind of aircraft to which application pertains. (F) Airport from and the airport to which flights will be undertaken. (G) Area to be served. (H) Frequency of flights.

(A) Phoebus Apollo Aviation CC; Phoebus Apollo. (B) P.O. Box 3211, Halfway House, 1685. (C) Class II. (D) Type N1 and N4. (E) Category A2. (G) In respect of Licence Type N1: Africa south of the equator, excluding the Republic of South Africa. In respect of Licence Type N4: Angola, Mocambique, Namibia, Zaire, Zambia, Zimbabwe.

KENNISGEWING 763 VAN 1996**DEPARTEMENT VAN VERVOER****WET OP INTERNASIONALE LUGDIENSTE, 1993 (WET No. 60 VAN 1993)****AANSOEKE OM DIE TOESTAAN/WYSIGING VAN INTERNASIONALE LUGDIENSLISENSIES**

Hierby word ingevolge die bepalings van artikel 16 (1) van Wet No. 60 van 1993 en regulasie 14 (1) en 14 (2) van die Regulasies vir Internasionale Lugdiens, 1994, vir algemene inligting bekendgemaak dat die Raad op Internasionale Lugdiens (Raad) die aansoeke, waarvan die besonderhede in die Bylae hieronder verskyn, sal oorweeg.

Verhoë ingevolge artikel 16 (3) van Wet No. 60 van 1993 en regulasie 25 (1) van die Regulasies vir Internasionale Lugdiens, 1994, teen of ten gunste van 'n aansoek moet die Voorsitter van die Raad, Privaat Sak X193, Pretoria, 0001, binne 28 dae na die datum van publikasie hiervan bereik. Daarin moet gemeld word of die persoon of persone wat aldus verhoë rig bereid is om die moontlike verhoor van die aansoek by te woon of om verteenwoordig te word.

Die Raad sal reël dat kennis van die datum, tyd en plek van die verrigtinge skriftelik gegee word aan die aansoeker en al die persone wat aldus verhoë gerig het en wat verlang om aldus teenwoordig of verteenwoordig te wees.

BYLAE 1**AANSOEK OM DIE TOESTAAN VAN LISENSIE**

(A) Volle, naam, van en handelsnaam van aansoeker. (B) Volle besigheids- of woonadres van aansoeker. (C) Klas lisensie waarom aansoek gedoen word. (D) Tipe internasionale lugdiens waarop aansoek betrekking het. (E) Kategorie of soort lugvaartuig waarop aansoek betrekking het. (F) Lughawe van waar en die lughawe waarheen vlugte onderneem sal word. (G) Gebied wat bedien gaan word. (H) Gereeldheid van vlugte.

(A) Phoebeus Apollo Aviation BK; Phoebeus Apollo. (B) Posbus 3211, Halfway House, 1685. (C) Klas II. (D) Tipe N1 en N4. (E) Kategorie A2. (G) Ten opsigte van Lisensietipe N1: Afrika suid van die ewenaar, uitgesonderd die Republiek van Suid-Afrika. Ten opsigte van Lisensietipe N4: Angola, Mosambiek, Namibië, Zaire, Zambië, Zimbabwe.

(21 June 1996)/(21 Junie 1996)

NOTICE 764 OF 1996**DEPARTMENT OF TRANSPORT**

INTERNATIONAL AIR SERVICES ACT, 1993 (ACT No. 60 of 1993)

APPLICATIONS FOR THE GRANT/AMENDMENT OF PERMITS

Pursuant to the provisions of section 24 (1) of Act No. 60 of 1993 and regulation 16 (1) and 16 (2) of the International Air Services Regulations, 1994, it is hereby notified for general information that the application, details of which appear in the Schedule hereto, will be considered by the Commissioner for Civil Aviation (Commissioner).

Representations in accordance with section 24 (3) of Act No. 60 of 1993 and regulation 25 (2) of the International Air Services Regulations, 1994, against or in favour of an application, should reach the Commissioner at Private Bag X193, Pretoria, 0001, within 28 days of the date of publication hereof.

SCHEDULE 1**APPLICATION FOR THE GRANT OF PERMIT**

(A) Full name, surname and the trade name, if any, of the applicant. (B) Full business or residential address of the applicant. (C) Class of permit applied for. (D) Type of international air service to which the application pertains. (E) Category or kind of aircraft to which the application pertains. (F) Airport from and the airport to which the flights will be undertaken. (G) Frequency of flights.

(A) Caledonian Airways Limited. (B) Caledonian House, Gatwick Airport, West Sussex, RH6 OLF, England, United Kingdom. (C) IV. (D) NV3 and NV4. (E) A1. (F) London Gatwick Airport to Johannesburg International Airport. (G) Twenty-six (26) return flights during the period 13 August 1996 to 11 February 1997.

KENNISGEWING 764 VAN 1996**DEPARTEMENT VAN VERVOER**

WET OP INTERNASIONALE LUGDIENSTE, 1993 (WET No. 60 VAN 1993)

AANSOEKE OM DIE TOESTAAN/WYSIGING VAN PERMITTE

Hierby word ingeolge die bepalings van artikel 24 (1) van Wet No. 60 van 1993 en regulasie 16 (1) en 16 (2) van die Regulasies vir Internasionale Lugdiens, 1994, vir algemene inligting bekendgemaak dat die Kommissaris van Burgerlugvaart (Kommissaris) die aansoek waarvan besonderhede in die Bylae hieronder verskyn, sal oorweeg.

Versteë ingeolge artikel 24 (3) van Wet No. 60 van 1993 en regulasie 25 (2) van die Regulasies vir Internasionale Lugdiens, 1994, teen of ten gunste van 'n aansoek moet die Kommissaris te Privaatsak X193, Pretoria, 0001, binne 28 dae na die datum van publikasie hiervan bereik.

BYLAE 1**AANSOEK OM DIE TOESTAAN VAN PERMIT**

(A) Volle naam, van en handelsnaam, as daar is, van die aansoeker. (B) Volle besigheids- of woonadres van die aansoeker. (C) Klas permit waarom aansoek gedoen word. (D) Tipe internasionale lugdiens waarop die aansoek betrekking het. (E) Kategorie of soort lugvaartuig waarop die aansoek betrekking het. (F) Lughawe van waar en die lughawe waarheen vlugte onderneem sal word. (G) Gereeldheid van vlugte.

(A) Caledonian Airways Limited. (B) Caledonian Huis, Gatwick Lughawe, Wes-Sussex, RH6 OLF, Engeland, Verenigde Koninkryk. (C) IV. (D) NV3 en NV4. (E) A1. (F) London Gatwick Lughawe na Johannesburg Internasionale Lughawe. (G) Ses-en-twintig (26) retoervlugte gedurende die tydperk 13 Augustus 1996 tot 11 Februarie 1997.

(21 June 1996)/(21 Junie 1996)

NOTICE 765 OF 1996**DEPARTMENT OF AGRICULTURE****NOTICE OF MEETING OF CREDITORS IN TERMS OF SECTION 22 (1) OF THE AGRICULTURAL CREDIT ACT, 1966**

A meeting of the undermentioned applicant and his creditors is hereby convened at the place and date mentioned hereunder for the purpose of enabling creditors to prove their claims against the applicant and of considering a proposal for a compromise of the Agriculture Credit Board.

Director: Directorate Financial Assistance and Land Administration, Department of Agriculture.

KENNISGEWING 765 VAN 1996**DEPARTEMENT VAN LANDBOU****KENNISGEWING VAN VERGADERING VAN SKULDEISERS KRAGTENS ARTIKEL 22 (1) VAN DIE WET OP LANDBOUKREDIET, 1966**

Hierby word 'n vergadering van ondergenoemde applikant en sy skuldeisers op die plek en datum hieronder genoem, belê, met die doel om skuldeisers in staat te stel om hul vorderings teen die applikant te bewys en 'n skikkingvoorstel van die Landboukredietraad te oorweeg.

Direkteur: Direktoraat Finansiële Bystand en Grondadministrasie, Departement van Landbou.

Application by Aansoek van	Place of meeting Plek van byeenkoms	Date and time Datum en tyd
Charles Henry Tate van Zyl (Id. No. 430531 5023 00 1) of the farm/van die plaas Niekerkspan, P.O. Box/ Posbus 80, Schweizer-Reneke, 2780	Magistrate's Office/Kantoor van die Landdros Schweizer-Reneke	31 July/Julie 1996 at/om 09:00.

(21 June 1996)/(21 Junie 1996)

NOTICE 766 OF 1996**PRODUCT CONTROL FOR AGRICULTURE (ASSOCIATION INCORPORATED UNDER SECTION 21)****FIXING OF INSPECTION FEES: POTATOES**

It is hereby made known for general information that Product Control for Agriculture (Association incorporated under section 21), designated as assignee under section 2 (3) (a) of the Agricultural Product Standards Act, 1990 (Act No. 119 of 1990), has in terms of section 7 (5) of the said Act determined the amount of 3c (VAT excluded) per 10 kg of potatoes for the application of section 3 (1) (a) and (b) of the said Act with regard to potatoes, which inspection fees shall with effect from 1 July 1996 be payable at the point of inspection to Product Control for Agriculture (Association incorporated under section 21), Private Bag X135, Pretoria, 0001.

This notice replaces Notice No. 585 of 1994.

KENNISGEWING 766 VAN 1996**PRODUKONTROLE VIR LANDBOU (VERENIGING INGELYF KRAGTENS ARTIKEL 21)****VASSTELLING VAN ONDERSOEKGELDE: AARTAPPELS**

Hiermee word vir algemene inligting bekendgemaak dat Produkkontrole vir Landbou (Vereniging ingelyf kragtens artikel 21), aangewys as gemagtigde kragtens artikel 2 (3) (a) van die Wet op Landbouprodukstandaarde, 1990 (Wet No. 119 van 1990), ingevolge artikel 7 (5) van voormelde Wet die bedrag van 3c (BTW uitgesluit) per 10 kg aartappels vir die toepassing van artikel 3 (1) (a) en (b) van genoemde Wet ten opsigte van aartappels vasgestel het, welke ondersoekgelde met ingang van 1 Julie 1996 by die punt van inspeksie aan Produkkontrole vir Landbou (Vereniging ingelyf kragtens artikel 21), Privaatsak X135, Pretoria, 0001, betaalbaar is.

Hierdie kennisgewing vervang Kennisgewing No. 585 van 1994.

(21 June 1996)/(21 Junie 1996)

NOTICE 767 OF 1996**CUSTOMS AND EXCISE TARIFF APPLICATIONS: LIST 5/96**

A. The following applications for rebate of the duty in terms of item 470.03, which were considered by the Board on Tariffs and Trade, have been supported:

1. Fabric for the manufacture of ladies' shorts for export. [Sweet-Orr-Lybro (Pty) Ltd].
2. Components for the manufacture of shipping containers for export. (Camargue Transport Systems).
3. Fabric lining, buttons and other textile components for the manufacture of ladies' suits, blouses, dresses and caps for export. (House of Monatic).
4. Wine bottles for the packaging of wine for export. (Simonsvlei Winery).

5. Sodium carbonate for the manufacture of nitrovin vanadium nitride and ferro vanadium for export. (Vametco).
6. Aluminium strip for use in the manufacture of heat exchangers for export. [DB Thermal (Pty) Ltd].
7. Fabric and accessories for the manufacture of men's/boys' shirts for export. (Bidu Manufacturers).
8. Components for the manufacture of freight containers for export. (Henred Fruehauf).
9. Components for the manufacture of pine furniture for export. (Pinetique CC).
10. Components for the manufacture of stainless steel tank containers for export. (Henred Fruehauf).
11. Components for the manufacture of yachts for export. [Far Ocean Marine (Pty) Ltd].
12. Fabric and accessories for the manufacture of ladies' tops and jogging sets for export. (Castle Textiles).
13. Paper board for the manufacture of cartons for export. (Kohler Carton & Print).
14. Components for the manufacture of ferritic steel railway train sets for export. (Union Carriage & Wagon).
15. Non-texturised polyester filaments for the manufacture of boys'/girls' men's/women's blazers/jackets, men's and boys' trousers and boys' lined school shorts for export. (Lancashire Manufacturing Company).
16. Flemish glass for the manufacture of wooden glazed doors for export. [TDM (Pty) Ltd].
17. Paper bags and labels for the packing of prepared food products for export. [Geest SA (Pty) Ltd].
18. Components for the manufacture of leather car seat covers for export. [KRC Sewing Co (Pty) Ltd].
19. Fabric and accessories for the manufacture of men's/boys' shirts for export. [Hong Kong Garments (Pty) Ltd].
20. Knitted fabric (single jersey and towelling) and slide fasteners for the manufacture of quilted supports for export. (Puritech).
21. Plastic boxes for the packaging of milling cutters for export. [Somta Tools (Pty) Ltd].
22. Fabric and accessories for the manufacture of men's/boys' shirts for export. (Eternal Manufacturing).
23. Yarn for the manufacture of men's trousers, jackets and suits for export. (Mym Textiles).
24. Electric cable for use in the manufacture of electric railway locomotives for export. (Union Carriage & Wagon).
25. Steel wire rod for the manufacture of wire products for export. [Meshrite (Pty) Ltd].
26. Articles of gold jewellery and parts thereof for the manufacture of gold bracelets and chains for export. (Efine Brothers).
27. Components for the manufacture of stainless steel silencer boxes and catalytic converters for export. (Zeuna Starker/Bop).
28. Components for the manufacture of fishing boats for export. (Sterling Marine).
29. Components for the manufacture of fishing machinery for export. (Petrel Engineering).
30. Electric cable for use in the manufacture of electric railway coach train sets for export. (Union Carriage & Wagon).
31. Granules of homopolymers and copolymers of propylene for the manufacture of various polypropylene films for export. (Hoechst).
32. Paper and paperboard for the packaging of fruit juices for export. [Bonnita (Pty) Ltd].
33. Tobacco for the manufacture of cigarettes for export. [R & R Tobacco (Pty) Ltd].
34. Unmanufactured tobacco for the processing thereof for export. (Intabex SA).
35. Fabric for the manufacture of materials used for cushions, lampshades and furniture for export. (Biggie Best).
36. Yarn for the manufacture of curtaining and furnishing for export. (Svenmill).
37. Woven linings for the manufacture of men's suits, jackets, coats, waistcoats and trousers for export. (House of Monatic).
38. Paprika for the processing thereof for export. (Credo Trading Enterprises).
39. Fresh flowers to be chemically treated for export. (Shearwater Farm).
40. Components for the manufacture of pumps for export. (Zest Electric Motors).
41. Components for the manufacture of overhead cranes for export. (Zest Electric Motors).
42. Components for the manufacture of stone-crushing machines for export. (Zest Electric Motors).
43. Enriched nickel/cobalt ore for the manufacture of sintered briquettes, cobalt powder and copper cathodes for export. (Tillmore Property Ltd).
44. Components for the manufacture of resin-reinforced grinding wheels for export. (Grainding Techniques).

KENNISGEWING 767 VAN 1996**DOEANE- EN AKSYNSTARIEFAANSOEKE: LYS 5/96**

A. Die volgende aansoeke om korting van die reg ingevolge item 470.03, wat deur die Raad op Tariewe en Handel oorweeg is, is gesteun:

1. Weefstof vir die vervaardiging van dameskortbroeke vir uitvoer. [Sweet-Orr-Lybro (Pty) Ltd].
2. Komponente vir die vervaardiging van skeepshouers vir uitvoer. (Camargue Transport Systems).
3. Materiaalvoering, knope en ander tekstielkomponente vir die vervaardiging van damespakke, -bloese, -rokke en -pette vir uitvoer. (House of Monatic).
4. Wynbottels vir die verpakking van wyn vir uitvoer. (Simonsvlei Winery).
5. Natriumkarbonaat vir die vervaardiging van nitrovan-vanadiumnitried en ferrovanadium vir uitvoer. (Vametco).
6. Aluminiumstroke vir gebruik in die vervaardiging van hitte-uitruilers vir uitvoer. [DB Thermal (Pty) Ltd].
7. Weefstowwe en bybehore vir die vervaardiging van mans-/seunshemde vir uitvoer. (Bidu Manufacturers).
8. Komponente vir die vervaardiging van vraghouders vir uitvoer. (Henred Fruehauf).
9. Komponente vir die vervaardiging van dennemeubels vir uitvoer. (Pinetique BK).
10. Komponente vir die vervaardiging van tenkhouders van vlekvrystaal vir uitvoer. (Henred Fruehauf).
11. Komponente vir die vervaardiging van seiljagte vir uitvoer. [Far Ocean Marine (Pty) Ltd].
12. Weefstowwe en bybehore vir die vervaardiging van damesbostukke en drafstelle vir uitvoer. (Castle Textiles).
13. Papierbord vir die vervaardiging van kartonne vir uitvoer. (Kohler Carton & Print).
14. Komponente vir die vervaardiging van spoorwegtreinstelle van ferritiese staal vir uitvoer. (Union Carriage & Wagon).
15. Ongetekstureerde poliëstervesel vir die vervaardiging van seuns-/meisies-, mans-/damesbaadjies, mans-/seunslangbroeke en gevoerde skoolkortbroeke vir seuns vir uitvoer. (Lancashire Manufacturing Company).
16. Vlaamse glas vir die vervaardiging van geglasuurde houtdeure vir uitvoer. [TDM (Pty) Ltd].
17. Papiersakke en etikette vir die verpakking van voorbereide voedselprodukte vir uitvoer. [Geest SA (Pty) Ltd].
18. Komponente vir die vervaardiging van leersitplekoortreksels vir uitvoer. [KRC Sewing Co (Pty) Ltd].
19. Weefstowwe en bybehore vir die vervaardiging van mans-/seunshemde vir uitvoer. [Hong Kong Garments (Pty) Ltd].
20. Gebreide stof (enkel truiestof en handdoekstof) en ritssluiters vir die vervaardiging van gekwilte ondersteuning vir uitvoer. (Puritech).
21. Plastiekdose vir die verpakking van verwerkingsnemasjiene vir uitvoer. [Somta Tools (Pty) Ltd].
22. Weefstowwe en bybehore vir die vervaardiging van mans-/seunshemde vir uitvoer. (Eternal Manufacturing).
23. Gare vir die vervaardiging van manslangbroeke, -baadjies en -pakke vir uitvoer. (Mym Textiles).
24. Elektriese kabel vir gebruik in die vervaardiging van elektriese spoorweglokomotiewe vir uitvoer. (Union Carriage & Wagon).
25. Staaldraadstawe vir die vervaardiging van draadprodukte vir uitvoer. [Meshrite (Pty) Ltd].
26. Artikels vir goue juweliersware en onderdele daarvan vir die vervaardiging van goue armbande en kettings vir uitvoer. (Efine Brothers).
27. Komponente vir die vervaardiging van vlekvrystaaluitruilstelsels en katalisators vir uitvoer. (Zeuna Starker/Bop).
28. Komponente vir die vervaardiging van vissersbote vir uitvoer. (Sterling Marine).
29. Komponente vir die vervaardiging van visvangmasjiene vir uitvoer. (Petrel Engineering).
30. Elektriese kabel vir gebruik in die vervaardiging van elektriese spoorwatrestelle vir uitvoer. (Union Carriage & Wagon).
31. Korrels van homopolimere en kopolimere van propileen vir die vervaardiging van verskeie polipropileenfilm vir uitvoer. (Hoechst).
32. Paper en papierbord vir die verpakking van vrugtesap vir uitvoer. [Bonnita (Pty) Ltd].
33. Tabak vir die vervaardiging van sigarette vir uitvoer. [R & R Tobacco (Pty) Ltd].
34. Onvervaardigde tabak vir die verwerking daarvan vir uitvoer. (Intabex SA).
35. Weefstowwe vir die vervaardiging van materiale wat gebruik word vir kussings, lampskerms en meubels vir uitvoer. (Biggie Best).
36. Garing vir die vervaardiging van gordyne en meubilering vir uitvoer. (Svenmill).
37. Geweefde voerings vir die vervaardiging van manspakke, -baadjies, -jasse, -onderbaadjies en -langbroeke vir uitvoer. (House of Monatic).
38. Paprika vir die verwerking daarvan vir uitvoer. (Credo Trading Enterprises).
39. Vars blomme wat met chemikalieë behandel gaan word vir uitvoer. (Shearwater Farm).
40. Komponente vir die vervaardiging van pompe vir uitvoer. (Zest Electric Motors).
41. Komponente vir die vervaardiging van oorhoofse hyskrane vir uitvoer. (Zest Electric Motors).
42. Komponente vir die vervaardiging van klipbreekmasjiene vir uitvoer. (Zest Electric Motors).
43. Verrykte nikkel/kobalterts vir die vervaardiging van gesinterde brikette, kobaltpoëier en koperkatodes vir uitvoer. (Tillmore Property Ltd).
44. Komponente vir die vervaardiging van harpuisversterkte slypstene vir uitvoer. (Grinding Techniques).

Lys 4/96 is by Algemene Kennisgewing 627 van 31 Mei 1996 gepubliseer.

NOTICE 768 OF 1996**BOARD ON TARIFFS AND TRADE****CUSTOMS AND EXCISE TARIFF APPLICATIONS: LIST 19/96**

The following applications concerning the Customs and Excise Tariff have been received by the Board on Tariffs and Trade. Any objections to or comments on these representations should be submitted to the Chairman, Board on Tariffs and Trade, Private Bag X753, Pretoria, 0001, within six weeks of the date of this notice. Attention is drawn to the fact that the rates of duty mentioned in the applications are those requested by the applicants and that the Board may, depending on its findings, recommend lower or higher rates of duty.

Application for rebate of the duty on:

Preparations based on odoriferous substances of a kind used as raw materials for the industrial manufacture of beverages which contain zero alcoholic content by volume or have an alcoholic content by volume not exceeding 0,5 per cent. (Extent of rebate - full duty).

[BTT Ref. T5/2/4/3/1 (960109). Enquiries: Mr J. Theron. Tel. (012) 310-9773]

Applicant:

New Age Beverages CC, P.O. Box 2459, Houghton, 2041.

[Note: The application is very urgent and comments should be submitted within four weeks of the date of this notice.]

General:

AMENDMENT OF THE TARIFF STRUCTURE APPLICABLE TO TARIFF HEADINGS 85.01, 85.02 AND 85.03 WITH A VIEW TO SIMPLIFICATION:

Heading	Subheading	Article Description	Proposed rate of Duty
85.01		Electric motors and generators (excluding generating sets):	
	8501.10	Motors of an output not exceeding 37,5 W	free
	8501.20	Universal AC/DC motors of an output exceeding 37,5 W	free
	8501.3	Other DC motors; DC generators:	
	8501.31	Of an output not exceeding 750 W	free
	8501.32	Of an output exceeding 750 W but not exceeding 75 kW	free
	8501.33	Of an output exceeding 75 kW but not exceeding 375 kW	free
	8501.34	Of an output exceeding 375 kW	free
	8501.40	Other AC motors, singlephase:	
	.05	Identifiable as submersible motors, commutator motors, synchronous motors, repulsion induction motors and motors fitted with valve actuators, of an output exceeding 37,5 W	free
	.90	Other	20%
	8501.5	Other AC motors, multi-phase:	
	8501.51	Of an output not exceeding 750 W:	
	.15	Identifiable as submersible motors, commutator motors, synchronous motors, repulsion induction motors and motors fitted with valve actuators, of an output exceeding 37,5 W	free
	.90	Other	20%
	8501.52	Of an output exceeding 750 W but not exceeding 75 kW:	
	.15	Identifiable as submersible motors, commutator motors, synchronous motors, repulsion induction motors and motors fitted with valve actuators	free
	.90	Other	26%
	8501.53	Of an output exceeding 75 kW	
	.15	Identifiable as submersible motors, commutator motors, synchronous motors, repulsion induction motors and motors fitted with valve actuators	free
	.90	Other	20%

Heading	Subheading	Article Description	Proposed rate of Duty
	8501.6	AC generators (alternators):	
	8501.61	Of an output not exceeding 75 kVA	free
	8501.62	Of an output exceeding 75 kVA but not exceeding 375 kVA	free
	8501.63	Of an output exceeding 375 kVA but not exceeding 750 kVA	free
	8501.64	Of an output exceeding 750 kVA	free
85.02		Electric generating sets and rotary converters:	
	8502.1	Generating sets with compression-ignition internal combustion piston engines (diesel or semi-diesel engines):	
	8502.11	Of an output not exceeding 75 kVA	free
	8502.12	Of an output exceeding 75 kVA but not exceeding 375 kVA	free
	8502.13	Of an output exceeding 375 kVA	free
	8502.20	Generating sets with spark-ignition internal combustion piston engines	free
	8502.30	Other generating sets	free
	8502.40	Electric rotary converters	
85.03		Parts suitable for use solely or principally with the machines of heading Nos. 85.01 or 85.02:	
	8503.10	Rotor or armature laminations, rotor or armature cores, whether or not wound, or die-cast, with or without shafts, having an outside diameter exceeding 100 mm but not exceeding 500 mm	20%
	.20	Stator laminations, stator core packs, stator assemblies with or without windings, having an inside diameter exceeding 100 mm but not exceeding 500 mm	20%
	.90	Other	free

[BTT Ref. T5/2/17/3/1 (950501). Enquiries: Mr G. Fourie. Tel. (012) 310-9670]

Applicant:

Board on Tariffs and Trade, Private Bag X753, Pretoria, 0001.

List 18/96 was published under General Notice 701 of 7 June 1996.

KENNISGEWING 768 VAN 1996

RAAD OP TARIWE EN HANDEL

DOEANE- EN AKSYNSTARIEFAANSOEKE: LYS 19/96

Onderstaande aansoeke betreffende die Doeane- en Aksynstarief is deur die Raad op Tariewe en Handel ontvang. Enige beswaar teen of kommentaar op hierdie versoë moet binne ses weke na die datum van hierdie kennisgewing aan die Voorsitter, Raad op Tariewe en Handel, Privaat Sak X753, Pretoria, 0001, gerig word. Die aandag word daarop gevestig dat die skale van reg wat in die aansoeke genoem word, dié is wat deur die applikante aangevra is en dat die Raad, afhangende van sy bevindinge, hoër of laer skale van reg mag aanbeveel.

Aansoek om korting van die reg op:

Bereidinge gegrond op welriekende stowwe van 'n soort wat gebruik word as grondstof vir die nywerheidsvervaardiging van drank wat geen alkoholinhoud per volume bevat nie of wat 'n alkohol-inhoud per volume van nie meer nie as 0,5 persent bevat. (Mate van korting - volle reg).

[BTT verw. T5/2/4/3/1 (960109). Navrae: Mnr J. Theron. Tel. (012) 310-9773]

Applikant:

New Age Beverages CC, Posbus 2459, Houghton, 2041.

[Nota: Die aansoek is baie dringend en kommentaar moet gelewer word binne vier weke na die datum van hierdie kennisgewing.]

Algemeen:

WYSIGING VAN DIE TARIEFSTRUKTUUR VAN TOEPASSING OP TARIEFPOS 85.01, 85.02 EN 85.03 MET DIE OOG OP VEREENVOUDIGING:

Pos	Subpos	Artikelbeskrywing	Skaal van Reg
85.01		Elektriese motore en generators (uitgesonderd generatorstelle).	
	8501.10	Motore met 'n lewering van hoogstens 37,5 W	vry
	8501.20	Universeel WS/GS motore met 'n lewering van meer as 37,5 W	vry
	8501.3	Ander GS-motore; GS-generators	
	8501.31	Met 'n lewering van hoogstens 750 W	vry
	8501.32	Met 'n lewering van meer as 750 W maar hoogstens 75 kW	vry
	8501.33	Met 'n lewering van meer as 75 kW maar hoogstens 375 kW	vry
	8501.34	Met 'n lewering van meer as 375 kW	vry
	8501.40	Ander WS-motore, enkelfasig:	
	.05	Motore gemerk of uitkenbaar as pompelotore, kommutatormotore, sinchroonmotore, repulsie-induksiemotore en motore met klepaandrywers toegerus, met 'n lewering van meer as 37,5 W	vry
	.90	Ander	20%
	8501.5	Ander WS-motore, meerfasig:	
	8501.51	Met 'n lewering van hoogstens 750 W:	
	.15	Motors gemerk of uitkenbaar as pompelotore, kommutatormotore, sinchroonmotore en motore met klepaandrywers toegerus, met 'n lewering van meer as 37,5 W	vry
	.90	Ander	20%
	8501.52	Met 'n lewering van meer as 750 W maar hoogstens 75 kW:	
	.15	Motors gemerk of uitkenbaar as pompelotore, kommutatormotore, sinchroonmotore, repulsie-induksiemotore en motore met klepaandrywers toegerus, met 'n lewering van minder as 37,5 kW	vry
	.90	Ander	26%
	8501.53	Met 'n lewering van meer as 75 kW:	
	.15	Motors gemerk of uitkenbaar as pompelotore, kommutatormotore, sinchroonmotore, repulsie-induksiemotore en motore met klepaandrywers toegerus, met 'n lewering van minder as 37,5 kW	vry
	.90	Ander	20%
	8501.6	WS-generators (alternators):	
	8501.61	Met 'n lewering van hoogstens 75 kVA	vry
	8501.62	Met 'n lewering van meer as 75 kVA maar hoogstens 375 kVA	vry
	8501.63	Met 'n lewering van meer as 375 kVA maar hoogstens 750 kVA	vry
	8501.64	Met 'n lewering van meer as 750 kVA	vry
85.02		Elektriese generatorstelle en draaikontvertors:	
	8502.1	Generatorstelle met kompressie-ontstekingbinnebrandsuierenjins (diesel- of halfdieselenjins):	
	8502.11	Met 'n lewering van hoogstens 75 kVA	vry
	8502.12	Met 'n lewering van meer as 75 kVA maar hoogstens 375 kVA	vry
	8502.13	Met 'n lewering van meer as 375 kVA	vry
	8502.20	Generatorstelle met vonkontstekingbinnebrandsuierenjins	vry
	8502.30	Ander generatorstelle	vry
	8502.40	Elektriese draaikontvertors	
85.03		Onderdele geskik vir gebruik slegs of hoofsaaklik met die masjiene van pos no. 85.01 of 85.02:	
	8503.10	Rotor- of anker-lamellerings, rotor- of ankerkerns, gewikkel of nie, stempel gegiet of nie, met of sonder asse, met 'n buitewarsdeursnee-afmeting van meer as 100 mm, maar hoogstens 500 mm	20%
	.20	Statorlamellerings, statorkernpakke, statormonterings, met of sonder wikkelings, met 'n binnedwarsdeursnee-afmeting van meer as 100 mm, maar nie meer as 500 mm nie	20%
	.90	Ander	free

Applikant:

[RTH-verw. T5/2/17/3/1 (950501). Navrae: Mr G. Fourie. Tel. (012) 310-9670]

Raad op Tariese en Handel, Privaat Sak X753, Pretoria, 0001.

Lys 18/96 is by Algemene Kennisgewing 701 van 7 Junie 1996 gepubliseer.

(21 Junie 1996)/(21 Junie 1996)

NOTICE 769 OF 1996**DEPARTMENT OF AGRICULTURE****AGRICULTURAL PRODUCT STANDARDS ACT, 1990 (ACT No. 119 OF 1990)****STANDARDS AND REQUIREMENTS REGARDING THE EXPORT OF GROUNDNUTS: PROPOSED AMENDMENT**

The Executive Officer: Agricultural Product Standards intends to request the Deputy Minister of Agriculture to make certain amendments to the standards and requirements under section 4 (3) (a) (ii) of the Agricultural Product Standards Act, 1990 (Act No. 119 of 1990), regarding the export of groundnuts.

Copies of the proposed amendments of the standards and requirements are available and can be requested from the Executive Officer: Agricultural Product Standards, Private Bag X258, Pretoria, 0001 [Fax (012) 319-6055.]

Interested parties who wish to comment or make representations regarding the proposed amendments of the standards and requirements are invited to furnish such comments or representations in writing to the Executive Officer at the above address not later than 26 July 1996.

KENNISGEWING 769 VAN 1996**DEPARTEMENT VAN LANDBOU****WET OP LANDBOUPRODUKSTANDAARDE, 1990 (WET No. 119 VAN 1990)****STANDAARDE EN VEREISTES BETREFFENDE DIE UITVOER VAN GRONDBONE: VOORGESTELDE WYSIGING**

Die Uitvoerende Beampte: Landbouprodukstandaarde is van voorneme om die Adjunkminister van Landbou te versoek om sekere standaarde en vereistes kragtens artikel 4 (3) (a) (ii) van die Wet op Landbouprodukstandaarde, 1990 (Wet No. 119 van 1990), betreffende die uitvoer van grondbone, te wysig.

Afskrifte van die voorgestelde wysiging van die standaarde en vereistes is beskikbaar en kan van die Uitvoerende Beampte: Landbouprodukstandaarde, Privaatsak X258, Pretoria, 0001 [Faks (012) 319-6055], aangevra word.

Belanghebbende persone word versoek om nie later nie as 26 Julie 1996 skriftelike kommentaar of vertoë wat hulle in verband met die voorgestelde wysiging van die standaarde en vereistes wil lewer aan die Uitvoerende Beampte by bogenoemde adres voor te lê.

(21 June 1996)/(21 Junie 1996)

BOARD NOTICES
RAADSKENNISGEWINGS

BOARD NOTICE 67 OF 1996**DEFINING OF THE ESTATE BACKSBERG**

The Wine and Spirit Board, acting under section 6 of the Wine of Origin Scheme published by Government Notice No. R. 1434 of 29 June 1990 hereby—

- (a) defines the pieces of land specified in the Schedule as an estate under the name Backsberg; and
- (b) repeals herewith Board Notice 57 of 1993.

M. H. VAN DER MERWE**Secretary: Wine and Spirit Board****SCHEDULE**

1. The farm Klein Babylonstoren 1380, Division of Paarl, and registered under Deed of Transfer 21066 of 1985.
2. Portion 11 of the farm Simonsvlei 791, Division of Paarl, and registered under Deed of Transfer 11447 of 1996.
3. Portion of the farm Stellengift 784, approximately 16 ha, in terms of an agreement of lease dated 25 January 1993.
4. Portion 33 of the farm Bronkhorst 748, Division of Paarl, and registered under Deed of Transfer 33218 of 1995.
5. Portion of the farm Stellengift 784 and portion of the farm Simonsvlei 791, approximately 30 ha, in terms of an agreement of lease dated 29 December 1994.

RAADSKENNISGEWING 67 VAN 1996**OMSKRYWING VAN DIE LANDGOED BACKSBERG**

Die Wyn- en Spiritusraad, handelende kragtens artikel 6 van die Wyn van Oorsprong-skema gepubliseer by Goewermentskennisgewing No. R. 1434 van 29 Junie 1990—

- (a) omskryf hierby die stukke grond in die Bylae gespesifiseer as 'n landgoed onder die naam Backsberg; en
- (b) herroep hierby Raadskennisgewing 57 van 1993.

M. H. VAN DER MERWE

Sekretaris: Wyn- en Spiritusraad

BYLAE

1. Die plaas Klein Babylonstoren 1380, afdeling Paarl, en geregistreer onder Transportakte 21066 van 1985.
2. Gedeelte 11 van die plaas Simonsvlei 791, afdeling Paarl, en geregistreer onder Transportakte 11447 van 1996.
3. Gedeelte van die plaas Stellengift 784, ongeveer 16 hektaar, ingevolge huurooreenkoms aangegaan op 25 Januarie 1993.
4. Gedeelte 33 van die plaas Bronkhorst 748, afdeling Paarl, en geregistreer onder Transportakte 33218 van 1995.
5. Gedeelte van die plaas Stellengift 784 en gedeelte van die plaas Simonsvlei 791, ongeveer 30 hektaar, ingevolge huurooreenkoms aangegaan op 29 Desember 1994.

(21 June 1996)/(21 Junie 1996)

BOARD NOTICE 68 OF 1996**STOCK EXCHANGES CONTROL ACT, 1985****AMENDMENT OF THE RULES OF THE JOHANNESBURG STOCK EXCHANGE**

1. In terms of section 12 (6) of the Stock Exchanges Control Act, 1985 (Act No. 1 of 1985), it is hereby notified that the Johannesburg Stock Exchange has applied to the Registrar of Stock Exchanges for approval to make amendments to its rules, as set forth in the Schedule hereto.
2. In terms of section 12 (7) of the said Act all interested persons (other than members of the Stock Exchange) who have any objections to the proposed amendments are hereby called upon to lodge their objections with the Registrar of Stock Exchanges, PO Box 35655, Menlo Park, 0102, within a period of 30 days from the date of publication of this notice.

RG COTTRELL**Registrar of Stock Exchanges****SCHEDULE****General explanatory note**

1. Words in square brackets ([]) indicate omissions from existing rules.
- [4.70.7 The supervisory member and the probationary member shall be jointly and severally liable to other members and to clients of the probationary member in respect of transactions undertaken by the probationary member, for the duration of such member's probationary period.]**

RAADSKENNISGEWING 68 VAN 1996**WET OP BEHEER VAN EFFEKTEBEURSE, 1985****WYSIGING VAN DIE REËLS VAN DIE JOHANNESBURGSE AANDELEBEURS**

1. Ingevolge artikel 12 (6) van die Wet op Beheer van Effektebeurse 1985 (Wet No. 1 van 1985), word hierby bekendgemaak dat die Johannesburgse Aandelebeurs by die Registrateur van Effektebeurse aansoek gedoen het om goedkeuring om wysiging van sy reëls, soos in die Bylae hiervan uiteengesit.
2. Ingevolge artikel 12 (7) van genoemde Wet word alle belanghebbendes (uitgesonderd lede van die Aandelebeurs) wat beswaar het teen die voorgestelde wysigings, hierby versoek om hul besware binne 'n tydperk van 30 dae vanaf die datum van hierdie kennisgewing by die Registrateur van Effektebeurse, Posbus 35655, Menlo Park, 0102, in te dien.

RG COTTRELL**Registrateur van Effektebeurse****BYLAE****Algemene verduidelikende nota**

1. Woorde tussen vierkantige hakies ([]) dui skappings uit bestaande reëls aan.

[4.70.7 Die toesiglid en die proeflid sal vir die duur van sodanige lid se proeftydperk gesamentlik en afsonderlik aanspreeklik wees teenoor ander lede en kliënte van die proeflid ten opsigte van transaksies wat deur die proeflid onderneem word.]

BOARD NOTICE 69 OF 1996**STOCK EXCHANGES CONTROL ACT, 1985****SUSPENSION OF RULE 4.70.7 OF THE JOHANNESBURG STOCK EXCHANGE**

In terms of section 12 (9) (a) of the Stock Exchanges Control Act, 1985 (Act No. 1 of 1985), it is hereby notified that the Committee of the Johannesburg Stock Exchange has with the approval of the Registrar of Stock Exchanges suspended rule 4.70.7, as set forth in the Schedule hereto.

RG COTTRELL**Registrar of Stock Exchanges****SCHEDULE****4.70.7**

The supervisory member and the probationary member shall be jointly and severally liable to other members and to clients of the probationary member in respect of transactions undertaken by the probationary member, for the duration of such member's probationary period.

RAADSKENNISGEWING 69 VAN 1996**WET OP BEHEER VAN EFFEKTEBEURSE, 1985****OPSKORTING VAN REël 4.70.7 VAN DIE JOHANNESBURGSE AANDELEBEURS**

1. Ingevolge artikel 12 (9) (a) van die Wet op Beheer van Effektebeurse, 1985 (Wet No. 1 van 1985), word hierby bekendgemaak dat die Komitee van die Johannesburgse Aandelebeurs met die goedkeuring van die Registrateur van Effektebeurse reël 4.70.7, soos in die Bylae hiervan uiteengesit, opgeskort het.

RG COTTRELL**Registrateur van Effektebeurse****BYLAE**

- 4.70.7 Die toesighoudende lid en die proeflid sal gesamentlik en afsonderlik aanspreeklik wees vir ander lede en vir kliënte van die proeflid ten opsigte van transaksies onderneem deur die proeflid, vir die duur van sodanige lid se proeftydperk.

BOARD NOTICE 70 OF 1996**FINANCIAL MARKETS CONTROL ACT, 1989****THE RULES OF THE BOND EXCHANGE OF SOUTH AFRICA**

The Bond Exchange of South Africa was licensed on 15 May 1996. In terms of section 17(3)(a) of the Financial Markets Control Act, 1989 the approved rules of the Bond Exchange of South Africa are hereby published for information, as set forth in the Schedule hereto.

RG COTTRELL

Registrar of Financial Markets

SCHEDULE**RULES
OF THE
BOND EXCHANGE OF SOUTH AFRICA**

SECTION 1	-	INTERPRETATION AND DEFINITIONS
SECTION 2	-	GENERAL
SECTION 3	-	MANAGEMENT AND CONTROL
SECTION 4	-	MEMBERSHIP
SECTION 5	-	LISTED FINANCIAL INSTRUMENTS
SECTION 6	-	TRADING
SECTION 7	-	SETTLEMENT
SECTION 8	-	SEGREGATION OF FINANCIAL INSTRUMENTS AND OTHER ASSETS
SECTION 9	-	KEEPING OF RECORDS BY MEMBERS
SECTION 10	-	PRESCRIBED AGREEMENTS
SECTION 11	-	CONDUCT, ETHICS AND ADVERTISING
SECTION 12	-	DISCIPLINARY MATTERS
SECTION 13	-	DISPUTE RESOLUTION
SECTION 14	-	GUARANTEE FUND
SECTION 15	-	DEFAULTS

SECTION 1 - INTERPRETATION AND DEFINITIONS

1.1 INTERPRETATION

In the Rules, unless the context otherwise indicates -

- 1.1.1 a reference to any one gender includes a reference to all other genders;
- 1.1.2 the singular includes the plural and *vice versa*; and
- 1.1.3 the heading and sub-heading of a Section or rule shall not be taken into account in the interpretation of any of the Rules.

1.2 DEFINITIONS

In the Rules, unless the context otherwise indicates -

- "Act" means the Financial Markets Control Act, 1989 (Act No. 55 of 1989);
- "advertise" in respect of rule 6.7, means the displaying or quoting of a price of a listed financial instrument at which the member is prepared to trade;
- "associated" in relation to any natural person, means -
 - (a) having a direct or indirect pecuniary interest in a member's business; or
 - (b) the spouse or minor child;
- "annual general meeting" means an annual general meeting of members as contemplated in rule 3.5.2.1;
- "binding matched trade" means a trade reported to the recognised clearing house in accordance with rule 6.9.2;
- "BMA" means the Bond Market Association;
- "Bond Exchange" means the Bond Exchange of South Africa referred to in rule 2.1;
- "business day" means any day except a Saturday, Sunday, public holiday or any other day declared by the executive committee, and approved by the Registrar, not to be a business day;
- "buyer" means a buyer of a listed financial instrument in terms of a trade, and includes the settlement agent appointed by the buyer;
- "chief executive officer" means the person appointed by the executive committee as chief executive officer of the Bond Exchange in terms of rule 3.10.1, or the deputy or acting chief executive officer appointed in terms of rules 3.10.2 and 3.10.3 respectively;
- "client" means any person who is not a member and who trades with or through a member;
- "company" means a company incorporated under the Companies Act, 1973 (Act No. 61 of 1973);
- "compliance officer" means the officer referred to in rule 4.5.1 and includes an alternate compliance officer;
- "current market value" means the market price of the listed financial instrument at the time of settlement default by the member;
- "Disciplinary Tribunal" means the Disciplinary Tribunal established in terms of rule 12.2.1;
- "executive committee" means the executive committee of the Bond Exchange appointed in terms of rule 3.2.1;

"executive committee member"	means a person appointed to the executive committee in terms of rule 3.2.1 and includes an alternate appointed in terms of rule 3.2.2.3;
"fidelity insurance cover"	in respect of rule 4.4.1.8, means fidelity insurance cover against fraud and mis-appropriation carried by a member and includes any self-insurance against fraud and mis-appropriation by the member;
"financial instrument"	means - (a) loan stock as defined in section 1 of the Act; (b) an option contract on loan stock or bonds; or (c) any instrument declared by the Registrar in the <i>Government Gazette</i> to be a financial instrument, and includes any certificate issued in respect of such instrument;
"financial year"	in respect of - (a) the Bond Exchange, means a year ending on the last day of June; (b) a member, means a year ending on a date notified in advance in writing by the member to the executive committee;
"floor"	means the place designated by the executive committee from time to time where financial instrument principals and financial instrument traders may congregate for the purpose of trading;
"issuer"	means an issuer of listed financial instruments;
"lead exchange"	means the Registrar of Banks, or a licenced exchange in the Republic of South Africa approved by the Registrar, for the purpose of monitoring compliance by a member with the financial resources requirements prescribed by the Registrar;
"licenced exchange"	means a financial exchange as defined in section 1 of the Act or any other exchange licensed in terms of the Stock Exchanges Control Act, 1985 (Act No. 1 of 1985);
"listed financial instruments"	means financial instruments included in the list of financial instruments referred to in rule 5.1.1;
"loss"	means the adverse financial position arising from the difference between the agreed price of the original trade and the market value of the same trade at the time of failure by the member to meet the terms of the original trade, excluding any consequential loss;
"member"	means a member of the Bond Exchange;
"nett settlement"	means the final settlement after deducting all sales from all purchases of the same financial instrument;
"notice"	means a notice to a member in terms of rule 2.6;
"officer"	means a person registered in terms of rule 4.5 with the bond exchange as a compliance officer, representative officer, alternate compliance officer, or alternate representative officer, as the case may be;
"original trade"	means the trade in a listed financial instrument concluded on trade date;
"put-through"	means a trade between two clients for whom a financial instrument trader acts as an agent;
"Registrar"	means the Registrar of Financial Markets as defined in section 1 of the Act;
"representative officer"	means the officer referred to in rule 4.5.1 and includes an alternate representative officer;
"retransact"	means concluding a new trade to fulfil the obligations of an original trade;

"Rules"	means the Rules of the Bond Exchange approved by the Registrar;
"screen"	means the electronic screen or system designated by the executive committee which - (a) members may use in respect of trading; and (b) the executive committee may use to display a notice;
"seller"	means a seller of a listed financial instrument in terms of a trade, and includes the settlement agent appointed by the seller;
"service provider"	means a body approved by the executive committee to provide electronic trade reporting facilities to members;
"settlement"	in respect of a trade in a listed financial instrument, means the delivery of the listed financial instrument by a seller to a buyer against payment, giving or passing of the agreed consideration by the buyer to the seller, and 'to settle' has a corresponding meaning;
"settlement agent"	means a member of the South African Automated Clearing Bureau approved by the executive committee to provide electronic settlement facilities to members;
"settlement date"	means the date of settlement of the original trade;
"special general meeting"	means a special general meeting of members as contemplated in rule 3.5.2.3;
"trade"	when used as a noun, means a transaction in a financial instrument on the Bond Exchange where at least one party to the transaction is a member and, when used as a verb, or 'trading', has a corresponding meaning;
"trade date"	means the date on which the original trade is concluded;
"trader"	means a person registered in terms of rule 4.6.1 with the executive committee as an assistant trader, trader or senior-trader, as the case may be;
"trustees"	means the trustees of the Guarantee Fund appointed in terms of rule 14.2;
"turnover"	means the aggregate of purchases and sales of a listed financial instrument measured in nominal units of one million rand, or if the purchase or sale is less than one million rand, then in multiples of one hundred thousand rand.

SECTION 2 - GENERAL

2.1 NAME

The name of the Association shall be the "Bond Exchange of South Africa" hereunder referred to as "the Bond Exchange".

2.2 CONTINUED EXISTENCE OF THE BOND EXCHANGE

- 2.2.1 The Bond Exchange shall for all purposes in law be deemed to have assumed all the rights, powers, duties and obligations of the Bond Market Association (hereunder referred to as "the BMA") as it existed prior to the granting of a financial market licence.
- 2.2.2 The executive committee which managed and controlled the affairs of the BMA before the granting of a financial market licence shall from that date continue to manage and control the affairs of the Bond Exchange until the appointment of the executive committee as contemplated in rule 3.2.1.
- 2.2.3 Upon the approval of these Rules by the Registrar, the rules of the BMA which applied prior to the approval of these Rules, shall be repealed.
- 2.2.4 Anything done under any provision of the rules of the BMA repealed under rule 2.2.3, and which can be done under these Rules, shall be deemed to have been done under these Rules.

- 2.2.5 Unless otherwise determined by the executive committee, the registered office of the Bond Exchange shall be -

43 Empire Road
JOHANNESBURG
Gauteng
Republic of South Africa

2.3 OBJECTS

The objects of the Bond Exchange are -

- 2.3.1 to carry on the business of an exchange for trading in financial instruments and not to carry on solely any other business, including, *inter alia*, ordinary trading operations in the commercial sense, speculative transactions, dividend stripping activities or the letting of property on a systematic or regular basis;
- 2.3.2 to obtain and maintain a financial market licence to carry on such business; and
- 2.3.3 to promote the common interest of its members and the good relations between -
 - 2.3.3.1 the Bond Exchange and the Registrar; and
 - 2.3.3.2 the members and their clients.

2.4 POWERS OF THE BOND EXCHANGE

The Bond Exchange shall have all the powers which are necessary for or incidental to the attainment or furtherance of its objects and interests, and without limiting the generality of the foregoing, the powers of the Bond Exchange shall be -

- 2.4.1 to make, alter and rescind rules to comply with the provisions of the Act and generally to govern, control, manage and regulate the affairs of the Bond Exchange and of its members;
- 2.4.2 to co-operate with, amalgamate with, or transfer any of its business to any other licenced exchange whose objects are similar to those of the Bond Exchange: Provided that -
 - 2.4.2.1 such co-operation, amalgamation or transfer shall not be effected unless approved at a general meeting of members, called for the specific purpose of approving such co-operation, amalgamation or transfer, by not less than three-quarters of all members; and
 - 2.4.2.2 such amalgamation or transfer shall not be effected unless in a manner approved by the Registrar;
- 2.4.3 to maintain an orderly market for trading in listed financial instruments;
- 2.4.4 to enter into contracts with the recognised clearing house or other body for the provision of services or facilities to the Bond Exchange;
- 2.4.5 to provide such services or facilities to members as is deemed necessary for the trading in listed financial instruments and to prescribe the fees which members or the public shall pay for such services rendered or information furnished;
- 2.4.6 to render to members or the public any other service as the executive committee may from time to time determine and to prescribe the fees to be levied for such services;
- 2.4.7 to determine the manner in and conditions subject to which listed financial instrument prices and statistics shall be provided to members and other persons, and to enter into contracts to regulate the distribution of such information;
- 2.4.8 to borrow money up to an amount of ten million rands and to secure the repayment thereof: Provided that a special general meeting of members may authorise any borrowings in excess of this amount;
- 2.4.9 to undertake and execute any trust;
- 2.4.10 to establish a pension and/or provident fund for its staff;
- 2.4.11 to insure itself against any loss, damage, risk or liability which it may suffer or incur; and
- 2.4.12 to invest surplus funds: Provided that such investments may only be made with financial institutions authorised by the relevant regulator under an Act of Parliament of the Republic of South Africa to do business in their respective fields, including, but not limited to, insurers, banks, unit trust schemes and participation bond schemes.

2.5 RULES OF THE BOND EXCHANGE

2.5.1 INTERPRETATION OF RULES

Subject to the provisions of Section 12 of the Rules, the interpretation and enforcement of the Rules and of any decision or determination of the executive committee shall vest in the executive committee.

2.5.2 RULES BINDING

- 2.5.2.1 The Rules, including any decision or determination made under the Rules, shall be binding on the Bond Exchange, its members and their officers and employees, the executive committee, the recognised clearing house and on every person utilising the services of a member or person who concludes a transaction with a member in the course of that member's business, and shall remain binding on any past member in respect of any act or omission which occurred at a time when such past member was still a member.
- 2.5.2.2 A financial instrument trader shall ensure, by means of a written member-client agreement, that the client is made aware of and shall be bound by the Rules, including any decisions or determinations made in terms of the Rules.
- 2.5.2.3 The executive committee shall by notice notify a member of any decision or determination of the executive committee with which a member must comply.
- 2.5.2.4 Notwithstanding anything contained in the Rules, every trade concluded by a member with another member or with or on behalf of a client, shall be concluded on the specific condition that the trade is entered into subject to the provisions of the Act and the Rules: Provided that every transaction note issued by a member to a client shall state that the trade to which such note relates has been concluded subject to the provisions of the Act and the Rules.

2.5.3 ADDITIONS TO OR AMENDMENTS OF RULES

- 2.5.3.1 Any executive committee member, or five or more members may propose in writing any addition to or amendment of the Rules.
- 2.5.3.2 The proposal shall be lodged with the chief executive officer who shall submit it for consideration at the next meeting of the executive committee.
- 2.5.3.3 The executive committee shall consider the proposed amendment or addition to the Rules and shall, within seven days thereafter, publish its decision with regard thereto in a notice to members.
- 2.5.3.4 Irrespective of whether the executive committee has adopted or rejected the proposal, if within seven days of the publication of the executive committee's decision in terms of rule 2.5.3.3 a ballot is demanded by requisition in writing, from not less than one-third of all members, such ballot shall be conducted in terms of rule 3.6 at a special meeting within 14 days of the date upon which the requisition is made.
- 2.5.3.5 If the executive committee rejects the proposal and no ballot is demanded in terms of rule 2.5.3.4 or if at such a ballot a majority of votes is recorded against the adoption of the proposal, the proposal is rejected.
- 2.5.3.6 If the executive committee adopts the proposal, and no ballot is demanded in terms of rule 2.5.3.4 or if at such a ballot a majority vote is recorded in favour of the proposal, the proposal is adopted, and the executive committee shall submit the adopted proposal to the Registrar for his approval which proposal shall be valid from the date of granting of such approval in writing.

2.6 NOTICES

- 2.6.1 Every member shall notify the executive committee of a business address and a postal address at which that member shall accept the delivery of all notices issued by the executive committee to that member.
- 2.6.2 Every member shall notify the executive committee of a telex or telefax number for purposes of communication with the member.
- 2.6.3 Subject to notice being given in terms of rules 2.6.4 and 2.6.5, any notice given by the executive committee to such member shall be in writing, and -
- 2.6.3.1 if delivered by hand during normal business hours at the member's business address referred to in rule 2.6.1, shall be deemed, until the contrary is proved by the member, to have been received on the date of delivery; or
- 2.6.3.2 if posted by pre-paid registered post from an address within the Republic of South Africa to the member at the member's postal address referred to in rule 2.6.1, shall be deemed, until the contrary is proved by the member, to have been received by no later than the seventh day after the date of posting; or
- 2.6.3.3 if transmitted by telex or telefax shall be deemed to have been received on the date of transmission.
- 2.6.4 A notice posted on the notice board of the Bond Exchange, the location of which shall be notified by the executive committee to members from time to time, shall have the same effect as a notice delivered to the members in terms of rule 2.6.3.1; which notice shall remain affixed to the notice board for a period of at least five business days, and shall take effect from the time that it is so affixed to the notice board unless otherwise stated in the notice: Provided that the validity of any such notice shall not be affected by it having been temporarily removed from the notice board during the said period.

- 2.6.5 A notice displayed on a screen of the Bond Exchange shall have the same affect as a notice delivered to a member in terms of rule 2.6.3.1 and shall -
- 2.6.5.1 take effect from the time of its appearance, unless otherwise stated in the notice; and
 - 2.6.5.2 remain on such screen for a period of at least two business days or until the event causing the notice ceases to necessitate the notice remaining on the screen, whichever may be sooner: Provided that the validity of such notice shall not be affected by it having disappeared temporarily from the screen during any such period.
- 2.6.6 For the purpose of rule 2.6.4 and 2.6.5 the word "temporarily" shall indicate a period not exceeding three hours or such other period, deemed reasonable by the executive committee under the circumstances then prevailing.
- 2.6.7 A notice posted on the notice board of the Bond Exchange, shall also be displayed on a screen of the Bond Exchange, and vice versa, and in addition such notice shall immediately be transmitted by telex or telefax to members.

SECTION 3 - MANAGEMENT AND CONTROL

3.1 FUNCTIONS OF EXECUTIVE COMMITTEE

- 3.1.1 The affairs of the Bond Exchange shall be managed and controlled by the executive committee in accordance with the Act and the Rules.
- 3.1.2 Without derogating from the generality of rule 3.1.1, the executive committee shall have the power -
- 3.1.2.1 to institute, bring, carry on, discontinue, or refer to arbitration or compromise, any proceedings, actions, and claims on behalf of the Bond Exchange, and defend any proceedings, actions, and claims against the Bond Exchange;
 - 3.1.2.2 to authorise and, if deemed necessary, assist any member to institute or defend any legal proceedings; and
 - 3.1.2.3 to defend any legal proceedings instituted against any executive committee member or any official or employee of the Bond Exchange in respect of the performance of any function under or in terms of the Rules by such person, and to indemnify that person against costs in such legal proceedings.
- 3.1.3 The executive committee shall submit, not less than 21 days before the annual general meeting, a report on its activities since the last financial year-end to the Registrar and the members.

3.2 APPOINTMENT AND COMPOSITION OF EXECUTIVE COMMITTEE

3.2.1 APPOINTMENT

The members shall appoint at every annual general meeting an executive committee comprising nominated and elected representative officers, as the case may be, to manage and control the affairs of the Bond Exchange; and in addition the nominated and elected representatives shall appoint the chief executive officer to the executive committee.

3.2.2 COMPOSITION

- 3.2.2.1 The executive committee shall consist of a maximum of 12 persons who shall have equal status *inter se* -
- 3.2.2.1.1 the Registrar, who shall not have the right to vote at any meeting of the executive committee;
 - 3.2.2.1.2 the chief executive officer; and
 - 3.2.2.1.3 one or more of the following -
 - 3.2.2.1.3.1 the representative officer of the South African Reserve Bank;
 - 3.2.2.1.3.2 a representative officer nominated by members, which are also members of the Clearing Bankers' Association, at a meeting convened for that purpose;
 - 3.2.2.1.3.3 a representative officer nominated by banks which are members, and which are not represented by the Clearing Bankers' Association, at a meeting convened for that purpose;
 - 3.2.2.1.3.4 a representative officer nominated by members, which are also members of the Johannesburg Stock Exchange, at a meeting convened for that purpose;
 - 3.2.2.1.3.5 a representative officer nominated by members, which are also members of the Life Offices' Association, at a meeting convened for that purpose;
 - 3.2.2.1.3.6 a representative officer nominated by members which are also issuers of financial instruments, at a meeting convened for that purpose;
 - 3.2.2.1.3.7 a representative officer nominated by all other members not represented in terms of rules 3.2.2.1.3.1 to 3.2.2.1.3.6, at a meeting convened for that purpose;

- 3.2.2.1.3.8 a maximum of three representative officers elected by all members: Provided that not more than one of these three representative officers may be drawn from any one of the categories set out in rules 3.2.2.1.3.1 to 3.2.2.1.3.7.
- 3.2.2.2 Any executive committee member whose period of office has expired shall be eligible for re-appointment.
- 3.2.2.3 Every executive committee member nominated or elected, as the case may be, to the executive committee shall appoint an alternate in his stead during his absence or inability to act -
- 3.2.2.3.1 the appointment of an alternate shall be noted by the executive committee;
- 3.2.2.3.2 the alternate, whilst acting in the stead of the person who appointed him, shall exercise and discharge all the powers, duties and functions of such person; and
- 3.2.2.3.3 the appointment of an alternate shall be revoked whenever the person who appointed him ceases to be an executive committee member, or gives notice to the executive committee that the alternate representing him has ceased to do so and, in the event of the revocation of the appointment of any alternate or any temporary inability of the alternate to act for the person whom he represents, the vacancy shall be filled by a person nominated by the chairman of the executive committee, subject to the approval of the executive committee.
- 3.2.2.4 An executive committee member absents himself from three consecutive meetings without good cause or without the prior permission of the executive committee or its chairman, shall cease to be a member of the executive committee.
- 3.2.2.5 No representative officer of a member shall be eligible for nomination or election to the executive committee, if at the time of nomination or election, as the case may be, any fees or levies due by the member to either the Bond Exchange or the recognised clearing house are in arrear.

3.3 VACANCIES ON EXECUTIVE COMMITTEE

- 3.3.1 An executive committee member, nominated or elected as the case may be, shall vacate that position if -
- 3.3.1.1 the member of whom he is a representative officer ceases to be a member of the Bond Exchange;
- 3.3.1.2 he tenders his resignation as an executive committee member;
- 3.3.1.3 he leaves the employ of or ceases to be the representative officer of the member;
- 3.3.1.4 he, whilst representing a member which is a company, becomes disqualified in terms of the Companies Act, 1973 (Act No. 61 of 1973), to act as a director of any company; or
- 3.3.1.5 he is declared of unsound mind.
- 3.3.2 Rules 3.2.1 and 3.2.2.1 shall apply *mutatis mutandis* with regard to the filling of any vacancy arising on the executive committee, except that a special meeting shall be held in the case of an election in terms of rule 3.2.2.1.3.8.
- 3.3.3 Any person nominated or elected, as the case may be, to fill a vacancy shall hold office for the unexpired portion of the period of office of his predecessor.
- 3.3.4 If any executive committee member resigns, he may at the request of the executive committee continue to hold office and exercise all the powers vested in him as an executive committee member until the vacancy caused by his resignation has been filled -
- 3.3.4.1 notwithstanding any vacancy, the remaining executive committee members may act as the executive committee and may do all things which the Rules empower the executive committee to do; or
- 3.3.4.2 if all the executive committee members resign, all the powers vested in them shall be vested in the chief executive officer until a new executive committee has been appointed.

3.4 LIAISON WITH OTHER PERSONS

Any person invited by the executive committee may attend any proceedings of the executive committee and shall have all the rights of discussion accorded to any ordinary executive committee member, save that he shall not be entitled to vote.

3.5 MEETINGS

3.5.1 EXECUTIVE COMMITTEE MEETINGS

- 3.5.1.1 The executive committee shall meet at least bi-monthly in any calendar year: Provided that a special executive committee meeting may be convened at any time by the requisition of at least four executive committee members or by the chairman.
- 3.5.1.2 Notice of executive committee meetings, which shall include the agenda for the meeting, shall be given to each executive committee member in writing at least seven days before the date of a meeting, or shorter period as the chairman may determine.

- 3.5.1.3 The executive committee members shall elect from among their number the chairman and the deputy chairman of the executive committee at the first meeting of the committee after the appointment of the members in terms of rule 3.2.1.
- 3.5.1.4 If at any executive committee meeting neither the chairman nor the deputy chairman is available, the members present may elect one of their number to be the chairman of that meeting.
- 3.5.1.5 At any executive committee meeting a quorum shall consist of a majority of executive committee members.
- 3.5.1.6 Each executive committee member shall have one vote at any executive committee meeting and any matter arising at an executive committee meeting shall be decided by a majority of votes of executive committee members present in person or represented by a proxy at the meeting and, in the case of an equality of votes, the chairman shall have a casting vote in addition to his deliberative vote.
- 3.5.1.7 Notwithstanding the provisions of rules 3.5.1.1 to 3.5.1.6, decisions or determinations of the executive committee may be taken in writing if such decisions or determinations are signed by the executive committee members being not less than a quorum for an executive committee meeting: Provided that all available executive committee members were informed of the content of the decisions or determinations.
- 3.5.1.8 Where an executive committee member has an interest in a matter before the executive committee he shall not take part in the deliberations of the executive committee on that matter, nor shall he cast any vote thereon.
- 3.5.1.9 Minutes of each meeting of the executive committee shall be recorded in a minute book provided for that purpose, and shall be confirmed at the next executive committee meeting.
- 3.5.1.10 The executive committee shall record in the minute book all decisions or determinations passed at executive committee meetings.
- 3.5.1.11 Any record or extract of the minute book shall be *prima facie* evidence of the matter stated therein if it is signed by the chairman of that meeting, or any person at that meeting who is authorised by the executive committee to sign in place of the chairman.

3.5.2 GENERAL MEETINGS OF MEMBERS

- 3.5.2.1 The annual general meeting of members shall be held within three months after the end of each financial year of the Bond Exchange on such a date and at such a time and place as determined by the executive committee.
- 3.5.2.2 At an annual general meeting of members, the members shall -
 - 3.5.2.2.1 receive and consider the executive committee's report referred to in rule 3.1.3, and the audited annual financial statements in respect of the immediately preceding financial year of the Bond Exchange;
 - 3.5.2.2.2 elect members of the executive committee; and
 - 3.5.2.2.3 consider any resolution of which notice has been given in terms of the Rules.
- 3.5.2.3 The executive committee -
 - 3.5.2.3.1 may at any time; or
 - 3.5.2.3.2 shall on the requisition of at least one third of the members at the date on which that requisition is lodged, convene a special general meeting of members.
- 3.5.2.4 Any notice convening a meeting of members shall -
 - 3.5.2.4.1 in the case of an annual general meeting be given to all members at least 21 days prior to the date of such meeting;
 - 3.5.2.4.2 in the case of any other general meeting be given to all members at least 14 days prior to the date of such meeting; and
 - 3.5.2.4.3 include the agenda for the meeting.
- 3.5.2.5 The non-receipt of a notice by any member shall not invalidate any resolution passed at a general meeting.
- 3.5.2.6 Notice of any resolution to be proposed at an annual general meeting not included in the agenda, shall be lodged with the chief executive officer at least 14 days before the date fixed for that meeting, and a copy shall be sent by notice by the chief executive officer to all members at least seven days before the date fixed for the meeting: Provided that the respective periods of 14 days and seven days may be waived by the executive committee, subject to subsequent approval by the members at the meeting.
- 3.5.2.7 The chairman of each general meeting of members shall be -
 - 3.5.2.7.1 the chairman of the executive committee;
 - 3.5.2.7.2 in his absence, the deputy chairman; or
 - 3.5.2.7.3 if neither the chairman nor the deputy chairman is available, an executive committee member elected by the members present at the meeting.
- 3.5.2.8 The chairman of any general meeting of members may, with the consent of the meeting, adjourn the meeting from time to time, but no business shall be transacted at the adjourned meeting other than the business left unfinished at the meeting which was so adjourned.
- 3.5.2.9 At a general meeting of members a quorum shall consist of one-third of all the members.
- 3.5.2.10 If a quorum is not present, the meeting shall be adjourned to the same day in the next week at the same time and place: Provided that should that day not be a business day then it shall be adjourned to the same time on the first ensuing business day, and if at the adjourned meeting a quorum is not present the members present shall constitute a quorum for that meeting.

- 3.5.2.11 Each member present in person or represented by proxy at a general meeting shall have one vote for every membership seat held by that member in accordance with the provisions of rule 4.3, and any matter considered at the meeting may be decided on a show of hands or by a majority of votes cast in accordance with rule 3.6 and, in the case of an equality of votes, the chairman shall have a casting vote in addition to his deliberative vote as member.
- 3.5.2.12 A declaration by the chairman regarding the outcome of a vote, and an entry to that effect in the minutes of the proceedings of the meeting, shall be conclusive evidence thereof.
- 3.5.2.13 A ballot may in terms of rule 3.6 be demanded by any member who is present and entitled to vote at any general meeting.
- 3.5.2.14 Minutes of each general meeting shall be recorded in a minute book provided for that purpose, and shall be confirmed at the next general meeting.
- 3.5.2.15 Any record or extract of the minutes of a general meeting shall be *prima facie* evidence of the matter stated therein if it is signed by the chairman of that meeting, or any person at that meeting who is authorised by the executive committee to sign in place of the chairman.

3.5.3 VOTING CONDITIONS

- 3.5.3.1 No member may vote at a general meeting whilst any fees or levies due by the member to the Bond Exchange or the recognised clearing house remain unpaid.
- 3.5.3.2 Any member who has ceased to be a member of the Bond Exchange for any reason whatsoever, shall not be entitled to vote at any meeting referred to in rules 3.5.2.1 and 3.5.2.2 as from the date of termination of membership.

3.6 BALLOT PROCEDURES AND REQUIREMENTS

- 3.6.1 The executive committee shall determine any matter in connection with the calling for a ballot which is not specifically provided for in the Rules.
- 3.6.2 Unless otherwise determined in the Rules, any resolution put to the vote by ballot shall be determined by a majority of votes on the basis of one vote for every seat held, irrespective of whether the members holding such seats are present in person or represented by proxy.
- 3.6.3 The ballot shall be held at such place and time as determined by the executive committee.
- 3.6.4 The chairman of the meeting shall appoint scrutineers in respect of a ballot and shall declare the result of the ballot as soon as reasonably possible.
- 3.6.5 Every vote shall be recorded by means of a cross (x) on a voting paper supplied to the member for that purpose: Provided that the number of voting papers issued to a member shall be no more than and no less than the number of membership seats held by that member.
- 3.6.6 Any voting paper which does not comply with this rule, or which contains a signature, initials, alterations or any mark other than a cross against the appropriate resolution in terms of rule 3.6.5, shall be deemed to be a spoilt paper.
- 3.6.7 As regards the election of the members of the executive committee, the number of votes which must be cast on every voting paper shall be no more than the number of vacancies which are to be filled.

3.7 OFFICE BEARERS

3.7.1 CHAIRMAN

The duties of the chairman of the executive committee shall be -

- 3.7.1.1 to preside at all general meetings of members and executive committee meetings;
- 3.7.1.2 to sign the minutes of all the meetings referred to in rule 3.7.1.1;
- 3.7.1.3 to represent the Bond Exchange at public functions; and
- 3.7.1.4 to make, or delegate the making of, any public announcement or address on behalf of the Bond Exchange.

3.7.2 DEPUTY CHAIRMAN

The duties of the deputy chairman shall be -

- 3.7.2.1 to deputise or act for the chairman in the performance of any duties when the chairman is absent or unable to act; and
- 3.7.2.2 generally, to perform such duties as the chairman may entrust to him.

3.7.3 TREASURER

3.7.3.1 The executive committee shall appoint a treasurer.

3.7.3.2 The duties of the treasurer shall be -

- 3.7.3.2.1 to report from time to time as required by the executive committee on the financial affairs of the Bond Exchange;
- 3.7.3.2.2 to act as the public officer of the Bond Exchange in its dealings with the Receiver of Revenue and any other fiscal authority; and
- 3.7.3.2.3 generally, to supervise the financial affairs of the Bond Exchange and to perform such other functions as may be delegated or entrusted to him from time to time by the executive committee or chief executive officer, as the case may be.

3.7.4 SECRETARY

3.7.4.1 The executive committee shall appoint a secretary.

3.7.4.2 The duties of the secretary shall be -

- 3.7.4.2.1 to supply the Registrar with all the information as requested by him;
- 3.7.4.2.2 to act as the secretary of the executive committee particularly with regard to the holding of meetings of members and executive committee meetings, and to record the minutes of such meetings;
- 3.7.4.2.3 to supply the chief executive officer with all information regarding the activities of the Bond Exchange as he may require from time to time; and
- 3.7.4.2.4 generally, to perform such other functions as may be delegated or entrusted to him from time to time by the chief executive officer or the executive committee.

3.8 COMMITTEES

3.8.1 The executive committee may appoint one or more committees in order to deal more effectively with the business of the Bond Exchange.

3.8.2 Committee members need not be executive committee members or members.

3.8.3 No person representing a member shall be eligible for appointment as a committee member whilst any fees or levies due by the member to the Bond Exchange or the recognised clearing house are in arrear.

3.8.4 Where no committee chairman is appointed by the executive committee, the committee members shall elect from among their number the chairman of the committee at the first meeting of the committee after the appointment of the members of that committee.

3.8.5 If at any committee meeting the committee chairman is not available, the committee members present may elect one of their number to be the chairman of that committee meeting.

3.8.6 The chief executive officer and the treasurer shall be *ex officio* members of any committee and the secretary may be secretary to such committee.

3.8.7 At any committee meeting a quorum shall consist of a majority of committee members.

3.8.8 Each committee member shall have one vote at any committee meeting and any matter arising at a committee meeting shall be decided by a majority of votes and, in the case of an equality of votes, the committee chairman shall have a casting vote in addition to his deliberative vote.

3.8.9 A committee member absenting himself from three consecutive meetings without good cause or without the prior permission of the committee or its chairman, shall cease to be a committee member.

3.8.10 In the event of a vacancy occurring on any committee, the committee shall recommend to the executive committee some other person to be appointed by the executive committee as a committee member.

3.9 REIMBURSEMENT OF EXPENSES

Executive committee members shall be entitled to reimbursement of their travelling, transport and subsistence expenses -

3.9.1 in attending meetings of the executive committee; and

3.9.2 in the performance of functions delegated or entrusted to them by the executive committee, at rates determined by the executive committee from time to time.

3.10 STAFF OF THE BOND EXCHANGE

- 3.10.1 The executive committee shall appoint a chief executive officer upon such terms and conditions as the executive committee and the chief executive officer may agree: Provided that the chief executive officer shall not be entitled to enter into trades on behalf of any person or himself, and shall not be associated with any member, or the director, officer, trader or employee of a member.
- 3.10.2 The executive committee may appoint a deputy chief executive officer who shall act in all matters in the absence of the chief executive officer, and the provisions of rule 3.10.1 shall also apply to the deputy chief executive officer.
- 3.10.3 In the event of the absence or impending absence of both the chief executive officer and the deputy chief executive officer, the executive committee shall appoint an acting chief executive officer in their absence, and the provisions of rule 3.10.1 shall also apply to an acting chief executive officer but the provisions shall remain in force only for the period that he remains in office as the acting chief executive officer.
- 3.10.4 In performing his duties, the chief executive officer shall be entitled to perform all such further duties and functions as may be ancillary thereto.
- 3.10.5 Full or part time officials or employees other than the persons mentioned in rule 3.7 may be appointed to, and dismissed from, the Bond Exchange by the executive committee: Provided that any person appointed by the executive committee in terms of this rule shall not enter into trades on behalf of any person or himself.
- 3.10.6 Such officials or employees shall hold office for such period, perform such functions and receive such remuneration, as the executive committee may from time to time determine.
- 3.10.7 Such officials or employees shall not have a direct or indirect pecuniary interest in the business of any member, other than a member which is a listed public company.

3.11 FINANCES

- 3.11.1 The executive committee shall cause proper accounts, books and records to be kept as are necessary to represent fairly the state of affairs of the Bond Exchange, to reflect the particulars of all monies received and disbursed, and to reflect the assets and liabilities of the Bond Exchange.
- 3.11.2 All monies collected shall be deposited into banking accounts in the name of the Bond Exchange.
- 3.11.3 Monies held in trust by the Bond Exchange shall be designated as such and held in separate accounts.
- 3.11.4 Any monies of the Bond Exchange not immediately required to conduct the day to day affairs of the Bond Exchange may be invested by the executive committee in the manner it deems fit from time to time in the name of the Bond Exchange.
- 3.11.5 The financial statements of the Bond Exchange shall be submitted annually for approval by an auditor appointed by the executive committee and approved by the Registrar, provided that no member of the executive committee may act as auditor, or be a director, partner in, or employee, of any firm which acts as auditor.
- 3.11.6 Audited annual financial statements in respect of the last completed financial year of the Bond Exchange shall be issued to all members not less than 21 days before the annual general meeting pertaining to that financial year.

3.12 SIGNATORIES

All legal and other documents which are required to be signed on behalf of the Bond Exchange, shall be signed in such manner and by such persons as determined by the executive committee from time to time.

3.13 DISSOLUTION

- 3.13.1 Should the Bond Exchange cease to carry on the business of an exchange for trading in listed financial instruments, the members may voluntarily dissolve the Bond Exchange in accordance with the provisions of the Act and subject to -
- 3.13.1.1 a general meeting being called for the specific purpose of dissolving the Bond Exchange;
- 3.13.1.2 agreement at such meeting by three-quarters of all the members to voluntarily dissolve the Bond Exchange; and
- 3.13.1.3 the prior written approval of the Registrar being granted.
- 3.13.2 Upon the winding up of the Bond Exchange, whether voluntarily or by an order of the Court, the assets of the Bond Exchange remaining after the discharge of all its liabilities shall be given or transferred to some other association or institution, having objects similar to the objects of the Bond Exchange, as determined by the Bond Exchange in consultation with the Registrar, or failing such determination, by the Court.

3.14 WAIVER

No failure by the Bond Exchange to exercise, nor any delay on its part in exercising, any of its rights in whole or in part under the Rules shall operate as a waiver of the Bond Exchange's rights or remedies upon that or any subsequent occasion.

3.15 CONFIDENTIALITY

An executive committee member, or committee member appointed in terms of the Rules, shall keep confidential and not publish, divulge, or make known in any manner, any information which may come to his attention in his capacity as executive committee member or committee member unless it has been otherwise agreed at the executive committee meeting or committee meeting, or unless he has obtained the prior written consent of the chairman of the executive committee.

SECTION 4 - MEMBERSHIP

4.1 ELIGIBILITY FOR MEMBERSHIP

- 4.1.1 A body corporate (excluding a close corporation) may be admitted to membership of the Bond Exchange.
- 4.1.2 To be eligible for membership an applicant shall satisfy the executive committee that, as a body corporate-
 - 4.1.2.1 a regular feature of its business is the trading of listed financial instruments;
 - 4.1.2.2 its directors are all at least 21 years of age and of full legal capacity;
 - 4.1.2.3 its directors are all of good character and high business integrity;
 - 4.1.2.4 it complies with the financial resources requirements prescribed in the Rules and at all times holds the capital prescribed by such requirements in the Republic of South Africa;
 - 4.1.2.5 it has the necessary administrative systems and resources as determined by the executive committee from time to time; and
 - 4.1.2.6 all of its officers and traders contemplated in rules 4.5 and 4.6 respectively comply with the requirements for registration referred to in the Rules.
- 4.1.3 Notwithstanding any other provision in the Rules, an applicant for membership who is not ordinarily resident in the Republic of South Africa shall, in pursuance of an application for membership, register as an external company or incorporate a public company in South Africa, as required by the Companies Act, 1973 (Act No. 61 of 1973).
- 4.1.4 A member shall before 31 December 1996 incorporate a separate body and apply for membership for such body where the business of buying and selling listed financial instruments constitutes only a part of the member's overall business: Provided that this rule shall not apply to members who are -
 - 4.1.4.1 issuers of financial instruments and who have demonstrated to the chief executive officer that they are engaged in market-making activities in their own financial instruments or the financial instruments of their parent undertakings or subsidiaries of their parent undertakings;
 - 4.1.4.2 members of the Life Offices Association;
 - 4.1.4.3 central banks and other bodies charged with or intervening in the management of the public debt.

4.2 APPLICATION FOR MEMBERSHIP

- 4.2.1 An application for membership shall be made to the executive committee on a form and accompanied by a fee as determined by the executive committee from time to time.
- 4.2.2 Upon making application for membership each applicant shall agree in writing to be bound by the provisions of the Act and the Rules.
- 4.2.3 An application for membership shall be accompanied by a written nomination by a member seconded by any other member, except in the case of founding members, being members admitted to membership on 16 June 1989.
- 4.2.4 The executive committee shall publish the name and address of an applicant for membership in a notice to members, who may object thereto in terms of rule 4.2.5.
- 4.2.5 A member writing to object to any application for membership shall lodge an objection within 14 days of the notice in terms of rule 4.2.4 with the executive committee stating the reasons for the member's objections.
- 4.2.6 The executive committee may require -
 - 4.2.6.1 any applicant to furnish further information, and may with the consent of the applicant institute any such investigation which it deems necessary, to verify information submitted by the applicant in support of an application;

- 4.2.6.2 any applicant or one or more representatives of the applicant, to be interviewed by the executive committee, the chief executive officer or any other person appointed by the executive committee for that purpose.
- 4.2.7 The agreed or reasonable costs of verification of information referred to in rule 4.2.6 shall be borne by the applicant.
- 4.2.8 After expiry of the period of notice referred to in rule 4.2.5, the executive committee shall consider an application for membership and shall notify the applicant in writing of its decision to grant or refuse the applicant membership, and shall publish its decision in a notice to members.
- 4.2.9 The executive committee shall be obliged to furnish an applicant with the reasons for its decision to refuse an application for membership.
- 4.2.10 In the event of failure to acquire membership duly approved by the executive committee the applicant may exercise the right of appeal as contemplated in the Act.
- 4.2.11 If an applicant for membership is refused and the applicant does not exercise his right of appeal against the refusal or his appeal is unsuccessful, the same applicant shall not be entitled to reapply for membership for a period of three years from the date of refusal, unless the executive committee determines otherwise.
- 4.2.12 An applicant admitted to membership in terms of an executive committee decision under rule 4.2.8 shall within seven days of receipt of the notice of such admission, acquire a membership seat in accordance with the provisions of rule 4.3.
- 4.2.13 The chief executive officer shall maintain and hold open for inspection by the public at its registered office, against payment of such fee as determined by the executive committee from time to time, a register of all members to whom membership has been granted and of all membership seats issued.

4.3 MEMBERSHIP SEATS

4.3.1 MEMBERSHIP SEATS

- 4.3.1.1 The executive committee shall create, issue and administer a system of membership seats in a manner determined by the committee from time to time.
- 4.3.1.2 For the purpose of this rule the authorised number of membership seats available for issue shall be two hundred and any seats not issued shall be placed under the control of the executive committee.

4.3.2 REGISTRATION OF SEATS

- 4.3.2.1 The registration of a membership seat in the name of an applicant for membership shall confer formal membership of the Bond Exchange on the holder thereof.
- 4.3.2.2 No membership seat shall be held by a nominee or registered otherwise than in the name of an applicant admitted to membership of the Bond Exchange.
- 4.3.2.3 Before any seat is registered in the name of an applicant to whom membership has been granted all such registration fees, as may be determined by the executive committee from time to time, shall have been paid to the Bond Exchange, and in the event that an application for the registration or transfer of a seat is refused or withdrawn such fees shall not be refundable to the applicant.
- 4.3.2.4 No member shall be permitted to acquire or to hold more than ten percent of all the membership seats in issue at any one time.

4.3.3 TRANSFER OF MEMBERSHIP SEATS

- 4.3.3.1 A member or a person who has been granted the right of admission to membership in terms of rule 4.2.8 may purchase a seat and a member or a person who has voluntarily terminated his membership as contemplated in rule 4.9.1 may sell a seat -
- 4.3.3.1.1 by private treaty, in which event the chief executive officer may be requested to endeavour to secure a purchaser or seller of a seat; or
- 4.3.3.1.2 by advertising on a screen the price at which the seat is advertised for purchase or sale, in which event the person advertising the seat shall, provided the price of the seat tendered in the case of a buyer and the price of the seat accepted in the case of a seller, improves on the advertised price, be obliged to purchase or sell, as the case may be, at least one seat.
- 4.3.3.2 Within one business day of the purchase and sale of a seat referred to in rule 4.3.3.1, both parties shall report the transaction to the Bond Exchange in writing, stating the price at which the seat was bought and sold and the Bond Exchange shall at its discretion accept or reject the transaction and shall inform both parties in writing before close of business the next business day of its decision.
- 4.3.3.3 Within a further seven business days the seller shall deliver a completed transfer form signed by the compliance officers of both the purchaser and the seller, to the Bond Exchange, whereupon the Bond Exchange shall, subject to rule 4.3.2.3, register the seat in the name of the purchaser.

- 4.3.3.4 On obtaining a completed transfer form of the proposed transfer of membership seats from the seller, the Bond Exchange shall call for the agreed purchase price to be paid by the buyer and shall within two business days after receipt of the consideration -

- 4.3.3.4.1 pay over to the seller the full amount less any administrative charge;
- 4.3.3.4.2 cancel the registration of the applicable membership seat held in the name of the seller; and
- 4.3.3.4.3 issue to the buyer, after an appropriate entry has been made in the register, a new certificate evidencing ownership of a membership seat.

4.4 RIGHTS AND OBLIGATIONS OF MEMBERS

- 4.4.1 A member shall -

- 4.4.1.1 satisfy at all times the membership requirements as determined by the executive committee from time to time;
- 4.4.1.2 elect a lead exchange where the member holds membership of more than one licenced exchange and shall at all times comply with the prescribed financial resources requirements;
- 4.4.1.3 enter into agreements covering functions designated by the executive committee from time to time in order to fulfil the functions and obligations of a member;
- 4.4.1.4 declare in writing in advance to the executive committee the member's intention to trade as a financial instrument trader or as a financial instrument principal;
- 4.4.1.5 declare in writing in advance to the executive committee the member's intention to convert his membership registration between a financial instrument trader and a financial instrument principal, and *vice versa*;
- 4.4.1.6 in respect of all trades entered into by the member, comply with the reporting requirements as determined by the executive committee from time to time;
- 4.4.1.7 in respect of all trades entered into by the member, comply with the settlement requirements as determined by the executive committee from time to time;
- 4.4.1.8 hold such fidelity insurance cover in respect of fraud and mis-appropriation by any director, officer, trader or person involved in the management or administration of trading, as the board of directors of the member deems appropriate for the level of business undertaken by the member; and
- 4.4.1.9 at all times ensure compliance with the provisions of the Act, the Rules and any decision or determination of the executive committee.

- 4.4.2 A financial instrument trader may establish or maintain a company with the main object of being the registered holder of listed financial instruments on behalf of the financial instrument trader and clients.

- 4.4.2.1 Only directors and employees of the financial instrument trader may hold shares in such company, and must do so as the nominee of the financial instrument trader.
- 4.4.2.2 The financial instrument trader shall ensure that such company incurs no liabilities other than those normally incurred as a result of its acting as a nominee in respect of the holding of listed financial instruments.

4.5 REGISTRATION AND FUNCTIONS OF OFFICERS

- 4.5.1 Upon admission to membership of the Bond Exchange, a member shall register with the Bond Exchange upon payment of the prescribed fee and in the manner determined by the executive committee from time to time, a compliance officer and a representative officer who shall each -

- 4.5.1.1 be a director or employee of the member;
- 4.5.1.2 be at least 21 years of age and of full legal capacity;
- 4.5.1.3 be of good character and high business integrity; and
- 4.5.1.4 comply with the minimum requirements with regard to training and experience as determined by the executive committee from time to time: Provided that no member shall carry on the business of buying and selling listed financial instruments unless a compliance officer and representative officer appointed by the member remain registered with the Bond Exchange as required by this rule.

- 4.5.2 The compliance officer -

- 4.5.2.1 may at the same time hold office as the representative officer of the member concerned;
- 4.5.2.2 shall have obtained a pass in the compliance officer examination as prescribed by the executive committee from time to time;
- 4.5.2.3 shall ensure compliance at all times by the member concerned with the provisions of the Act, the Rules and any decision or determination of the executive committee;
- 4.5.2.4 shall ensure compliance at all times by the member concerned with all agreements to be entered into by the member in terms of rule 4.4.1.3;
- 4.5.2.5 shall ensure compliance at all times by the member concerned with the financial resources requirements prescribed in the Rules;

- 4.5.2.6 shall ensure that, for the member concerned, the prescribed return in respect of the financial resources requirements is submitted to the chief executive officer at such intervals as determined by the executive committee from time to time;
- 4.5.2.7 shall receive all notices from the Bond Exchange and the recognised clearing house, and shall ensure compliance by the member concerned with the requirements of all such notices;
- 4.5.2.8 shall report forthwith in writing to the chief executive officer any apparent breaches by the member of the provisions of the Act, the Rules or any decision or determination of the executive committee; and
- 4.5.2.9 in the event of any breach or error arising in respect of any trades entered into by, or effecting the member concerned, upon being so requested by the chief executive officer or the recognised clearing house, shall take or cause to be taken all such steps as may be necessary to rectify the breach or to eliminate the error.
- 4.5.3 The representative officer -
 - 4.5.3.1 may at the same time hold office as the compliance officer of the member concerned;
 - 4.5.3.2 shall be a person who stands on behalf of the member concerned for nomination or election, as the case may be, as a member of the executive committee; and
 - 4.5.3.3 shall represent the member concerned in all other matters for which the compliance officer is not responsible.
- 4.5.4 A member shall also register with the bond exchange an alternate compliance officer and alternate representative officer in the manner determined by the executive committee from time to time.
- 4.5.5 The provisions of rules 4.5.1, 4.5.2 and 4.5.3 shall apply *mutatis mutandis* with regard to the alternate compliance officer and alternate representative officer, respectively.
- 4.5.6 The executive committee shall at its sole discretion decide to accept or refuse an application for registration of any officer and shall at the request of the applicant furnish the reasons for its decision.
- 4.5.7 A member not satisfied with a decision of the executive committee in respect of an application for registration of its officers, may exercise the right of appeal as contemplated in the Act.
- 4.5.8 The chief executive officer shall maintain and hold open for inspection by the public at its registered office, against payment of such fee as determined by the executive committee from time to time, a register of all officers granted registration by the executive committee.
- 4.5.9 Any change in the particulars relating to an officer shall be notified forthwith in writing to the Bond Exchange in the manner determined by the executive committee from time to time.
- 4.5.10 The appointment of any officer shall not in any way relieve the member concerned from any of the duties and responsibilities in terms of the Act, the Rules and any decision or determination of the executive committee, and these duties and responsibilities shall remain of a principal nature and shall not be accessory or subordinate to the functions of such officer.
- 4.5.11 A member shall forthwith in writing notify the Bond Exchange if any of its officers have been or are found guilty of any improper conduct by any licenced exchange, by any current or previous employer, by any professional association, or by any court of law.

4.6 REGISTRATION OF TRADERS

- 4.6.1 Upon admission to membership of the Bond Exchange, a member shall register with the Bond Exchange upon payment of the prescribed fee and in the manner determined by the executive committee from time to time, at least one of the following traders -
 - 4.6.1.1 assistant trader, who -
 - 4.6.1.1.1 may trade for a member's own account with other members, but not with or on behalf of clients;
 - 4.6.1.1.2 shall operate under the supervision and control of a director or senior trader of the member concerned;
 - 4.6.1.1.3 shall be of good character and high business integrity; and
 - 4.6.1.1.4 shall comply with the minimum requirements with regard to training and experience as determined by the executive committee from time to time;
 - 4.6.1.2 trader, who -
 - 4.6.1.2.1 may trade for a member's own account with other members and with clients, but not on behalf of clients;
 - 4.6.1.2.2 shall operate under the supervision and control of a director or senior trader of the member concerned;
 - 4.6.1.2.3 shall be of good character and high business integrity; and
 - 4.6.1.2.4 shall comply with the minimum requirements with regard to training and experience as determined by the executive committee from time to time; or

- 4.6.1.3 senior trader, who -
- 4.6.1.3.1 may trade for a member's own account with other members and with or on behalf of clients;
 - 4.6.1.3.2 shall be of good character and high business integrity; and
 - 4.6.1.3.3 shall comply with the minimum requirements with regard to training and experience as determined by the executive committee from time to time: Provided that no member shall carry on the business of buying and selling listed financial instruments unless at least one trader appointed by the member remains registered with the Bond Exchange as required by this rule.

- 4.6.2 The executive committee shall at its sole discretion decide to accept or refuse an application for registration of any trader and shall at the request of the applicant furnish the reasons for its decision.
- 4.6.3 A member not satisfied with the decision of the executive committee in respect of an application for registration of its traders, may exercise the right of appeal as contemplated in the Act.
- 4.6.4 The chief executive officer shall maintain and hold open for inspection by the public at its registered office, against payment of such fee as determined by the executive committee from time to time, a register of all traders granted registration by the executive committee.
- 4.6.5 Any change in the particulars relating to a trader shall be notified forthwith in writing to the Bond Exchange in the manner determined by the executive committee from time to time.
- 4.6.6 A member shall forthwith in writing notify the Bond Exchange if any of its traders have been or are found guilty of any improper conduct by any licenced exchange, by any current or previous employer, by any professional association, or by any court of law.

4.7 FEES AND LEVIES

- 4.7.1 The executive committee shall by notice notify members of any proposed fees and levies to be paid by members to the Bond Exchange or the recognised clearing house, at least 14 days prior to the imposition thereof.
- 4.7.2 A member who fails to pay any fees or levies within 30 days of such fees or levies becoming payable shall by notice be notified by the executive committee of such arrears.
- 4.7.3 If the arrears are not paid by the member within seven days of the date of receipt of such notice, or any extended period granted in writing by the executive committee, the executive committee may, notwithstanding the provisions of Section 12 of the Rules, suspend the membership of the member until all monies owed by the member to the Bond Exchange or the recognised clearing house have been paid.
- 4.7.4 The monies owed shall include interest calculated from the due date to the date of actual payment at a rate determined by the executive committee from time to time.

4.8 ADHERENCE TO ACT AND RULES

- 4.8.1 The executive committee may at any time require any member to instruct its auditor to examine any or all procedures and transactions of a member's business relating to the Bond Exchange and the recognised clearing house, and verify the member's adherence to any of the provisions of the Act, the Rules or a decision or determination of the executive committee.
- 4.8.2 The report of such auditor shall be submitted to the executive committee and the costs of such audit shall be borne by the executive committee: Provided that if, as a result of such audit, it is ascertained that the member has contravened or has not complied with any of the provisions of the Act, the Rules or a decision or determination of the executive committee, then the costs of the audit shall be borne by the member.
- 4.8.3 The executive committee may submit, after due consideration, a report referred to in rule 4.8.2 to the Disciplinary Tribunal.

4.9 TERMINATION OF MEMBERSHIP

- 4.9.1 A member may terminate membership of the Bond Exchange by giving 30 days written notice of termination to the chief executive officer.
- 4.9.2 The chief executive officer shall by written notice to the executive committee and the members at least 14 days prior to the intended date of termination, give notice of the intention of the member to resign.
- 4.9.3 After the lapse of the 14 days period of notice referred to in rule 4.9.2 and prior to the intended date of termination, the executive committee shall consider such notice of termination and may accept such resignation either unconditionally or on such conditions as it may deem fit, or may refuse to accept such resignation until it is satisfied that all outstanding obligations of such member in terms of the Rules have been complied with.

- 4.9.4 The notification of termination of membership by a member shall not be withdrawn without the written consent of the executive committee.
- 4.9.5 The membership of a member shall terminate when -
- 4.9.5.1 the member is placed under curatorship;
 - 4.9.5.2 the member is liquidated or placed under judicial management, whether provisionally or finally;
 - 4.9.5.3 the member is expelled for any reason whatsoever from the Bond Exchange;
 - 4.9.5.4 the member fails to take steps within 30 days of judgement having been granted against the member by a court to satisfy such judgement or to have it set aside; or
 - 4.9.5.5 the executive committee by written notice terminates the member's membership as a consequence of that member having obtained admission to membership by having furnished to the executive committee information in connection with its application for admission which is found in any material respect to be untrue or misleading.
- 4.9.6 The name of the member whose membership has terminated and the date from which the termination takes effect shall be published by the executive committee by means of a notice to members.

SECTION 5 - LISTED FINANCIAL INSTRUMENTS

5.1 POWER TO LIST FINANCIAL INSTRUMENTS

- 5.1.1 The executive committee shall have the power -
- 5.1.1.1 to grant a listing on the Bond Exchange in respect of financial instruments; and
 - 5.1.1.2 to prescribe from time to time the minimum requirements with which an issuer shall comply -
 - 5.1.1.2.1 before a financial instrument to be issued by such issuer is granted a listing; and
 - 5.1.1.2.2 while the financial instrument issued by such issuer remains listed.
- 5.1.2 The minimum information to be submitted by an issuer in terms of rule 5.1.1.2 shall include, but not be limited to -
- 5.1.2.1 the name of the issuer and financial instrument;
 - 5.1.2.2 the issue date, coupon rate, payment periods, payment dates and maturity date;
 - 5.1.2.3 a copy of the resolution by the governing authority of the issuer authorising the issue of financial instruments;
 - 5.1.2.4 a copy of the provisions of the Act under which such financial instruments are to be issued and listed;
 - 5.1.2.5 a copy of any applicable government guarantee in respect of the financial instruments;
 - 5.1.2.6 a copy of the placing document or prospectus; and
 - 5.1.2.7 copies of all marketing material used in connection with the original issue of the financial instruments.

5.2 CONSOLIDATION, SUSPENSION, REMOVAL OR ALTERATION OF LISTING REQUIREMENTS

- 5.2.1 The executive committee shall have the power to consolidate, suspend, remove or alter from time to time -
- 5.2.1.1 a requirement for the listing of financial instruments prescribed before or after a listing has been granted; and
 - 5.2.1.2 a listing of a financial instrument, and to prescribe the circumstances under which such listing shall or may be consolidated, suspended, removed or altered.
- 5.2.2 The executive committee shall at its discretion notify or cause to be notified to the members and the public that it has -
- 5.2.2.1 consolidated listed financial instruments;
 - 5.2.2.2 suspended the listing of any financial instrument;
 - 5.2.2.3 removed any listed financial instrument from the list of financial instruments referred to in rule 5.2.1; and
 - 5.2.2.4 altered the listing of any financial instrument, and it shall publish the reasons for such consolidation, suspension, removal or alteration, as the case may be, in whole or in part as it deems necessary.
- 5.2.3 The executive committee shall at its discretion notify or cause to be notified to the members and the public that it has investigated a trade in a listed financial instrument.
- 5.2.4 The chief executive officer may at any time publish, or cause to be published, in any newspaper or other periodical or permit or authorise the proprietor or publisher of such newspaper or other periodical to publish any statement made in terms of rules 5.2.2 and 5.2.3.

5.3 FEES AND LEVIES

- 5.3.1 The executive committee shall be entitled to impose fees and levies on issuers in respect of listed financial instruments at such rates as may be determined by the executive committee from time to time.
- 5.3.2 Fees and levies shall be payable by such issuers, at such times, and in such manner as determined by the executive committee from time to time.

SECTION 6 - TRADING**6.1 CLIENT TRADES**

- 6.1.1 A financial instrument trader shall not enter into trades with or on behalf of a client unless the client is registered for reporting purposes with the Bond Exchange, in the manner determined by the executive committee from time to time.
- 6.1.2 Subject to rule 10.2.2.3, a financial instrument trader who buys from or sells to a client listed financial instruments on own account shall notify the client concerned in advance that those listed financial instruments are to be bought or sold by the financial instrument trader on own account.
- 6.1.3 A financial instrument trader who buys or sells listed financial instruments on behalf of a client shall conclude an equal and opposite trade with a counterparty, which trade shall be at the same price and on the same terms as the instructions given by the client: Provided that -
- 6.1.3.1 the financial instrument trader shall remain liable to the client for the due fulfilment by the counterparty, including a counterparty to a put-through, of all the obligations of the counterparty to the client in terms of the trade; and
- 6.1.3.2 any claim by a client in respect of a trade shall be against the financial instrument trader who entered into the trade on the client's behalf, and not against any other member or client of such member who is the counterparty to the trade.
- 6.1.4 A financial instrument trader shall, within twenty-four hours of concluding a trade with or on behalf of a client, issue to that client a transaction note detailing the terms of the trade and indicating the commission and any other fees charged.

6.2 MEMBER TRADES

All trades between members shall be concluded on a principal to principal basis and no member shall conclude a trade as a client.

6.3 STATUS

A member shall give written notice in advance to the executive committee whether the member elects to trade on the floor or by means of a screen and telephone or both, and shall notify the executive committee in writing in advance of any change to the member's trading status.

6.4 ELIGIBILITY TO TRADE

- 6.4.1 A member shall ensure that all traders employed by the member are suitably qualified.
- 6.4.2 A member shall ensure that only traders registered by the member with the Bond Exchange in accordance with the Rules are permitted to conclude trades in listed financial instruments.

6.5 TRADING TIMES

- 6.5.1 Trading on the Bond Exchange shall only be on business days unless otherwise determined by the executive committee.
- 6.5.2 The business days on which the Bond Exchange shall be closed for the purposes of trading, shall be published by means of a notice to members.
- 6.5.3 Trading on the Bond Exchange is permitted on any business day for a period of 24 hours or such other period as determined by the executive committee from time to time. Provided that the times during which trading on the floor may take place shall also be determined by the executive committee from time to time and shall be published by means of a notice to members.

6.6 TRADING FACILITIES

- 6.6.1 The minimum trading and reporting facilities to be provided by the Bond Exchange shall be determined by the executive committee from time to time.
- 6.6.2 A member may make a recording of any conversation regarding the execution of a trade, which recording may be submitted as evidence in any dispute referred to in rule 13.1.1.

6.7 MARKET PRICES

A member may advertise a listed financial instrument price on the floor or by means of a screen and telephone to other members or to clients: Provided that save with the prior written consent of the executive committee no member shall advertise or publish or cause to be advertised or published in any form listed financial instrument prices or statistics through non-members.

6.8 TRADING PROCEDURES

- 6.8.1 The standard trade size in respect of listed financial instruments shall be one million rands nominal.
- 6.8.2 A financial instrument trader shall execute a trade on behalf of a client prior to executing a trade in the same listed financial instrument for own account: Provided that in the event that the financial instrument trader is unable to execute the trade on behalf of the client prior to a trade on own account, the financial instrument trader shall ensure that the trade on behalf of the client is concluded at a yield not worse than that of the trade concluded on own account.

6.9 REPORTING AND MATCHING OF TRADES

- 6.9.1 All trades in listed financial instruments concluded by a member shall be reported by the member independently to the recognised clearing house within the times and in the manner determined by the executive committee from time to time.
- 6.9.2 A trade reported to the recognised clearing house in the manner determined under rule 6.9.1 shall be deemed to be a binding matched trade only when a matched trade reference number is generated by the recognised clearing house.
- 6.9.3 A matched trade reference number shall -
- 6.9.3.1 be conclusive evidence of a binding matched trade;
 - 6.9.3.2 serve as acceptable confirmation of the details of the trade reported by the member; and
 - 6.9.3.3 bind the parties in respect of their obligations to the trade.

6.10 RESTRICTIONS ON TRADING

- 6.10.1 A director, officer, trader or employee of a member may be a client of that member for his own account or for an account in which the director, officer, trader or employee has a beneficial interest: Provided that any trades concluded are separately recorded and identified in the accounting records of the member, but are otherwise dealt with in the same manner and subject to the same terms and conditions applicable to trades of other clients of the member.
- 6.10.2 A trade concluded in terms of rule 6.10.1 shall be reported to and monitored by the compliance officer or alternate compliance officer of the member, which compliance officer or alternate compliance officer shall be independent of the director, officer, trader or employee concerned and who shall maintain controls to ensure that the trading and conduct of the director, officer, trader or employee is not prejudicial to the interests of the member or the member's other clients.
- 6.10.3 No official or employee of the Bond Exchange or any person associated with such official or employee shall enter into any trades, whether for own account or on behalf of other persons.
- 6.10.4 A director, officer, trader or employee of a member may be a client of another member for his own account or for an account in which the director, officer, trader or employee has a beneficial interest: Provided that -
- 6.10.4.1 he has the prior written approval of the compliance officer of the member of which he is the director, officer, trader or employee;
 - 6.10.4.2 he discloses in writing in advance to the other member that the account is for the purposes of trading on his own account; and
 - 6.10.4.3 a certified copy of the written approval in terms of rule 6.10.4.1 and notice in terms of rule 6.10.4.2 is forwarded to the chief executive officer upon the granting of such approval.

6.11 UNREASONABLE TRADES

- 6.11.1 Where, from a lack of clarity in the published information available at the time of a trade, a member trades in a quantity or at a price which in the opinion of the executive committee is unreasonable, the executive committee may after consultation with the member and client in question declare such trade void; and may similarly declare the trade of the other member or member and client, as the case may be, who is party to that trade, to be void.
- 6.11.2 A declaration in terms of rule 6.11.1 shall be binding on the member who entered into such trade and on the client with whom or on whose behalf the trade was executed; and shall similarly be binding on the other member or member and client, as the case may be, to that trade.

6.12 EMERGENCY ACTIONS

- 6.12.1 If in the opinion of the executive committee, any circumstances, calling for emergency action for the orderly conduct of trading on the Bond Exchange or the due performance of trades, have developed or are developing, the executive committee may, subject to the prior written approval of the Registrar, take any steps it deems necessary to enable the orderly conduct of trading or the due performance of trades.
- 6.12.2 Circumstances falling within the scope of rule 6.12.1 may include trades not yet settled in terms of Section 7 of the Rules, a state of war or threatened hostilities, the introduction of official controls affecting the market or due performance in respect of trades in listed financial instruments, or any change in such controls, any other change in legal provisions or administrative or financial practice affecting the Bond Exchange or due performance in respect of trades, or any other undesirable situation or practice.
- 6.12.3 In the event of any of the circumstances contemplated in this rule occurring, the chief executive officer shall, in consultation with the chief executive officers of other licenced exchanges, form a committee under the chairmanship of the Registrar to discuss and implement an agreed course of action to be taken by the Bond Exchange and the licenced exchanges.
- 6.12.4 Where any restriction or suspension of trading is declared, the executive committee shall by notice to members convey -
- 6.12.4.1 the reason for the restriction or suspension;
 - 6.12.4.2 the period of the restriction or suspension; and
 - 6.12.4.3 when the next executive committee meeting will be held to review the restriction or suspension.
- 6.12.5 Where a restriction or suspension of trading has been declared, the executive committee shall determine the course of action with regard to trades not yet settled prior to the restriction or suspension.

SECTION 7 - SETTLEMENT

- 7.1 The manner and conditions of settlement in respect of trades in listed financial instruments shall be determined by the executive committee from time to time: Provided that -
- 7.1.1 every member shall comply with the settlement procedures prescribed by the settlement agent appointed by the member;
 - 7.1.2 settlement shall be based on the scrip and fund account balances held by the member with the settlement agent; and
 - 7.1.3 settlement agents shall be obliged to commit to settlement once satisfied that all accounts have been funded.
- 7.2 Settlement shall be in terms of the settlement schedules provided by the recognised clearing house to the settlement agent and in addition -
- 7.2.1 the member shall conclude an agreement with the settlement agent;
 - 7.2.2 the member shall ensure that the obligations of the member are fulfilled in terms of the settlement agent agreement;
 - 7.2.3 the member shall maintain scrip and fund accounts with the settlement agent in order to effect settlement and nothing contained in the Rules shall prevent the member from maintaining more than one settlement account or settlement agent;
 - 7.2.4 the settlement shall be a nett settlement; and
 - 7.2.5 settlement shall take place on the settlement date.
- 7.3 Where a settlement agent declines to settle the net settlement position of a member or client of a member, the affected member shall forthwith advise the chief executive officer of that fact: Provided that such a settlement default shall be managed by the chief executive officer duly authorised by the executive committee.

- 7.4 Where a client has not appointed a settlement agent, the member with whom or through whom the client has traded shall effect settlement in the manner agreed to between the member and client: Provided that such agreement shall not relieve the member concerned of any obligations which may exist to the member's settlement agent.

SECTION 8 - SEGREGATION OF FINANCIAL INSTRUMENTS AND OTHER ASSETS

- 8.1 A member shall -
- 8.1.1 at all times identify and keep separate the financial instruments and other assets of another member or of a client from the member's own assets;
 - 8.1.2 not co-mingle the financial instruments or other assets of another member or client with his own;
 - 8.1.3 not use the financial instruments or other assets belonging to another member or client to finance the member's own trades or the trades of any other person; and
 - 8.1.4 not use the financial instruments or other assets of another member or client to operate his own business.

SECTION 9 - KEEPING OF RECORDS BY MEMBERS

9.1 TRADING RECORDS KEPT BY A MEMBER

- 9.1.1 A member shall keep a record or cause such records to be kept, of -
- 9.1.1.1 the date, time and nature of trades entered into with or on behalf of clients, or with other members, and maintain separate records showing the date, time and nature of the member's own trading and the details of funds used for that trading;
 - 9.1.1.2 all trades concluded but not yet settled with other members and with or on behalf of each of the member's clients; and
 - 9.1.1.3 the segregation of funds, financial instruments and other assets as contemplated in Section 8 of the Rules.
- 9.1.2 The records referred to in this rule shall be kept for a period of not less than five years from the date of entering into a trade by the member, and shall be kept in a form that will enable the records to be conveniently and properly audited.

9.2 FINANCIAL RECORDS KEPT BY A MEMBER

A member shall keep such financial records as determined by the executive committee from time to time.

9.3 FINANCIAL RESOURCES REQUIREMENTS

- 9.3.1 Upon admission as a member and at all times thereafter, a member shall hold own funds, as determined by the executive committee from time to time, sufficient to meet the member's initial capital requirement and risk requirement.
- 9.3.2 The initial capital requirement referred to in rule 9.3.1 shall be the higher of -
- 9.3.2.1 an amount determined by the executive committee from time to time as being adequate to meet the operating costs of the member for a period of 13 weeks; or
 - 9.3.2.2 either -
 - 9.3.2.2.1 R 200 000 in the case of a member that does not have access to the assets or financial instruments of any client without referral to the client or the client's agent; or
 - 9.3.2.2.2 R 400 000 in all other cases.
- 9.3.3 The risk requirement referred to in rule 9.3.1 shall be the sum of the member's position risk requirement, counterparty risk requirement, large exposure risk requirement and foreign exchange risk requirement, as determined by the executive committee from time to time.
- 9.3.4 The chief executive officer shall establish and maintain appropriate procedures to ensure that adequate information is submitted by members to permit the Bond Exchange to monitor effectively, compliance with the provisions of rule 9.3.

- 9.3.5 Where a member fails to comply in any manner with the provisions of rule 9.3 and fails to rectify forthwith such non-compliance after being so requested in writing by the chief executive officer, the chief executive officer shall proceed in accordance with the provisions of rule 12.1.1.
- 9.3.6 Where the alleged non-compliance by the member, referred to in rule 9.3.5, is reported to the Disciplinary Tribunal in terms of rule 12.1.3, such Disciplinary Tribunal shall, where the accused is guilty of such non-compliance, impose a fine amounting to the lower of -
- 9.3.6.1 five per cent of the shortfall in own funds required to be held by the member in accordance with the Rules; or
 - 9.3.6.2 R 25 000, for each day from the first date of such non-compliance that such shortfall occurs: Provided that the Disciplinary Tribunal may impose any additional penalty which the Tribunal may deem appropriate in the circumstances.
- 9.3.7 The financial resources requirements specified in this rule shall at no time be less than the minimum requirements prescribed by the Registrar from time to time.

9.4 SUBMISSION OF AUDITED FINANCIAL INFORMATION

- 9.4.1 A member shall submit to the chief executive officer within four months after the end of the member's financial year -
- 9.4.1.1 two copies of the member's audited annual financial statements;
 - 9.4.1.2 two copies of an audited certificate certifying -
 - 9.4.1.2.1 compliance with the financial requirements of the Act and the Rules; and
 - 9.4.1.2.2 that the board of directors of the member have applied adequate procedures in reviewing the level of fidelity insurance cover held by the member in accordance with rule 16.4.
- 9.4.2 Notwithstanding the provisions of rule 9.4.1 the executive committee may request financial reports at any time from any member.
- 9.4.3 Where a member ceases to operate in that capacity, the member shall cause his accounting records to be audited as required by the Act.
- 9.4.4 Any audit required in terms of rule 9.4.1 shall be performed by an auditor registered under the Public Accountants' and Auditors' Act, 1991 (Act No. 80 of 1991), and the appointment of such auditor shall be communicated in writing to the executive committee: Provided that a member shall confirm in writing to the chief executive officer at the end of each calendar year that the member has retained the services of its auditor.
- 9.4.5 A member shall immediately notify in writing any change of its auditor to the Registrar and the executive committee.

9.5 RISK MANAGEMENT OF TRADES

The manner in and the conditions subject to which risk management of trades shall take place shall be determined by the executive committee from time to time.

SECTION 10 - PRESCRIBED AGREEMENTS

10.1 GENERAL

- 10.1.1 Any written agreement concluded in terms of rule 4.4.1.3 shall contain at least the following terms and conditions -
- 10.1.1.1 that the terms used in the agreement shall bear the same meanings assigned thereto in the Act and the Rules;
 - 10.1.1.2 that the parties to the agreement acknowledge that they have read the Act and the Rules, are conversant with them and shall be bound by them;
 - 10.1.1.3 that to the extent of any conflict between the Rules and the provisions of the agreement concluded between the parties, the Rules shall prevail;
 - 10.1.1.4 that either party may terminate the agreement by giving to the other party 30 days notice in writing, or such other period of notice as the parties may agree in writing, specifying the date of termination: Provided that such termination shall in no way whatsoever affect any rights or obligations of any one of the parties to the agreement which may have arisen or are in existence at the date of such notice or at the date of termination of the agreement;

- 10.1.1.5 that any dispute between the parties emanating or arising from the implementation or interpretation of the agreement shall, unless resolved between the parties thereto, be referred to and decided by either mediation or arbitration, whichever procedure is decided upon by the parties concerned; and
- 10.1.1.6 that the agreement shall be governed by and construed in accordance with the laws of the Republic of South Africa.

10.2 MEMBER - CLIENT AGREEMENT

- 10.2.1 Prior to carrying on the business of buying and selling listed financial instruments with or on behalf of a client, a financial instrument trader shall conclude a written agreement in respect of trading activities with the client.
- 10.2.2 An agreement concluded in accordance with rule 10.2.1 shall contain provisions in addition to the requirements of rule 10.1 to give effect to the following -
 - 10.2.2.1 that the client appoints the member to enter into trades either with the client, on a principal to principal basis, or on the client's behalf on an agency basis, as instructed by the client from time to time;
 - 10.2.2.2 that the client shall provide to the Bond Exchange or its recognised clearing house all client information required for the reporting of trades as prescribed by the executive committee from time to time;
 - 10.2.2.3 that before trades are concluded with or on behalf of the client the member shall indicate to the client whether the member is acting as a principal or agent;
 - 10.2.2.4 that the member shall trade with or on behalf of a client only in accordance with the reasonable instructions received from the client and as required by the Rules;
 - 10.2.2.5 that in the event of the client being unable to meet the obligations arising from a trade the member shall perform all the obligations of the client in respect of that trade;
 - 10.2.2.6 that in the event of the client being unable to meet the obligations arising from a trade with the member, where the member acts as a principal, the member shall bear the counterparty risk with the client;
 - 10.2.2.7 that in the event of the member being unable to meet the obligations arising from a trade entered into on a principal basis with the client, the client shall bear the counterparty risk with the member; and
 - 10.2.2.8 that the client undertakes to pay such fees, levies, charges or commissions as may be agreed, in writing, with the member from time to time in respect of services provided by the member.

10.3 MEMBER - SERVICE PROVIDER AGREEMENT

Prior to carrying on the business of buying and selling listed financial instruments, a member shall conclude a written agreement with a service provider for the provision of electronic trade reporting facilities to the member.

10.4 MEMBER - SETTLEMENT AGENT AGREEMENT

Prior to carrying on the business of buying and selling listed financial instruments, a member shall conclude a written agreement with a settlement agent for the provision of electronic settlement facilities to the member.

10.5 MEMBER - EMPLOYEE AGREEMENT

A member shall not employ any director, officer, trader or any person involved in the management or the administration of trading unless such person has entered into a written agreement with the member in terms of which the person agrees to comply with the provisions of the Act and the Rules.

SECTION 11 - CONDUCT, ETHICS & ADVERTISING

11.1 CONDUCT

No member, officer, trader or any person involved in the management or administration of trading shall commit any act or engage in any conduct likely to bring the Bond Exchange into disrepute, and in particular shall not -

- 11.1.1 create, or cause to be created, or do anything that is calculated to create a false or misleading appearance of active trading or a false or misleading appearance with respect to the market for, or the price for trading in, listed financial instruments;

- 11.1.2 whether directly or indirectly participate in a trade in a listed financial instrument which is intended to have the effect of -
 - 11.1.2.1 creating an artificial price for trading in listed financial instruments; or
 - 11.1.2.2 maintaining at a level that is artificial a price for trading in listed financial instruments;
- 11.1.3 by means of any fictitious or artificial transaction or device maintain, inflate or depress, or cause fluctuations in, the price for trading in listed financial instruments;
- 11.1.4 make statements or disseminate information which the member knows, or ought reasonably to know, to be false or misleading -
 - 11.1.4.1 and to be likely to induce other persons to trade or refrain from trading;
 - 11.1.4.2 and to be likely to have the effect of inflating, depressing or maintaining the price for trading in listed financial instruments;
 - 11.1.4.3 regarding the services that the member is capable of delivering to a client;
 - 11.1.4.4 regarding the qualifications and competency of the member; or
 - 11.1.4.5 regarding the investment performance that the member has accomplished or can reasonably be expected to achieve for a client;
- 11.1.5 make a statement or disseminate information to the Bond Exchange, the executive committee or the recognised clearing house which the member knows, or ought reasonably to know, to be false or misleading;
- 11.1.6 by -
 - 11.1.6.1 making or publishing any statement, promise or forecast which the member knows to be misleading, false or deceptive; or
 - 11.1.6.2 the concealing of material information at the member's disposal, induce another person to trade or refrain from trading;
- 11.1.7 effect an own account transaction or a transaction for a client when the member is aware that effecting the transaction is prohibited by statutory restrictions on insider trading;
- 11.1.8 enter into trades with or on behalf of a client other than in accordance with the reasonable instructions received from the client;
- 11.1.9 execute trades on behalf of a client other than in the best interests of the client;
- 11.1.10 enter into any agreement with a client nor give effect to any term of an agreement with a client if the effect, likely effect or purported effect of such agreement, is to exclude or limit the liability of that member, officer, trader or any person involved in the management or administration of trading, to the client for negligence, fraud, or dishonesty, committed by the member, officer, trader or any person involved in the management or administration of trading, in relation to the trading activities with or on behalf of the client; or
- 11.1.11 permit any officer, trader or employee from circumventing the statutory restrictions on unsolicited calls, as provided for in section 25 of the Act.

11.2 ETHICS

Any member, officer, trader or any person involved in the management or administration of trading shall-

- 11.2.1 act with integrity, dignity, proper skill, care and diligence, and exercise independent professional judgement, in respect of a trade;
- 11.2.2 provide full and accurate information, including information regarding fees as contemplated in rule 11.2.6, to clients at all times;
- 11.2.3 maintain knowledge of and comply with all applicable laws, rules and regulations of government, governmental agencies and regulatory organisations governing the member's activities in respect of trading;
- 11.2.4 not participate in, assist, or withhold knowledge of any acts in violation of any applicable law, rule or ethical code governing the member's activities in respect of trading;
- 11.2.5 not directly or indirectly offer, solicit or accept any gift or inducement in respect of a trade, excluding any fees contemplated in rule 11.2.6 or the agreed consideration in respect of the trade;
- 11.2.6 ensure that fees charged for services shall be fair and reasonable;
- 11.2.7 not advise a client to buy or sell listed financial instruments with the primary intention of maximising the member's income;
- 11.2.8 preserve the confidentiality of information communicated by a client concerning matters within the scope of the confidential relationship, unless required by law to disclose such information;
- 11.2.9 act promptly in accordance with the instructions of a client, unless the member has a discretion as to timing and uses that discretion in a responsible manner;
- 11.2.10 inform a client in advance of any restrictions or limitation pertaining to the access of the client to his funds or financial instruments under the control of the member and, in the absence of such restriction or limitation, the member shall ensure that a client who requests access to his funds or financial instruments, obtains such access within a reasonable period of time;
- 11.2.11 ensure that a client is able to contact the member timeously and with ease;
- 11.2.12 if requested, disclose to a client information which the member knows is likely to affect the performance of an investment in listed financial instruments; and

- 11.2.13 not knowingly either advise or trade, in relation to a transaction for a client, in which the member has a material interest and which is likely to give rise to a conflict of interest in relation to such a transaction, unless the member takes reasonable steps to ensure fair treatment of the client.

11.3 ADVERTISING

- 11.3.1 Promotional material by or on behalf of any member, in the form of any oral, visual or documentary presentation directed at the public through any medium of communication is acceptable if the purpose of such advertising is to call a potential client's attention to an investment in financial instruments and to encourage the potential client to obtain additional information about the investment.
- 11.3.2 The nature or type of the investment or the investment service to which the advertisement relates must be clear.
- 11.3.3 No member shall make any communication with the public or use any promotional material which -
- 11.3.3.1 is false or misleading in any material respect;
 - 11.3.3.2 makes any statement or suggests that trading on the Bond Exchange is appropriate for all persons;
 - 11.3.3.3 refers to the possibility of profit unless accompanied by an equally prominent statement of the risk of loss;
 - 11.3.3.4 includes any reference to either hypothetical results or to actual past trading profits without mentioning that these hypothetical or past results are not necessarily indicative of future results; or
 - 11.3.3.5 compares one member or the performance of one member with another member or the performance of another member.
- 11.3.4 Any reference to the past performance of an investment scheme must include information about the previous five years or, if less, the period from the date of issue of the investment.
- 11.3.5 Statements or opinion included in any promotional material must be clearly identified as such, and must have a reasonable basis in fact.
- 11.3.6 No advertising placed by a member shall be worded in a manner calculated to better the image of that member to the detriment of another member.
- 11.3.7 In the event that the executive committee considers that a member has failed to conform to any of the advertising requirements under this rule, it may at its discretion (without prejudice to its other powers under the Rules) require that no further advertising material or other promotional or marketing material shall be published by or on behalf of such member unless it has been submitted to the executive committee in advance and the executive committee has notified the member that the material is not unsuitable for publication.

11.4 CONTRAVENTIONS TO BE REPORTED

Every member shall report to the chief executive officer any contravention of the Act or the Rules regarding the conduct, ethics or advertising of another member that comes to the member's attention.

SECTION 12 - DISCIPLINARY MATTERS

12.1 PRELIMINARY INVESTIGATION

- 12.1.1 An alleged contravention of or non-compliance with any provision of the Act, the Rules or a decision or determination of the executive committee, which allegation shall be reduced to writing, shall be the subject of a preliminary investigation by the chief executive officer or by a person so delegated by the chief executive officer, who shall report in writing the delegated persons findings to the chief executive officer.
- 12.1.2 Where an alleged contravention or non-compliance by a member is the subject of a preliminary investigation under rule 12.1.1, the chief executive officer or a person so delegated by the chief executive officer may instruct the member, officer or trader or any other member, officer or trader to undertake forthwith an internal investigation into the matter and to report in writing the findings of such internal investigation to the chief executive officer or delegated person.
- 12.1.3 The chief executive officer in consultation with the chairman shall, where they are satisfied that there is a *prima facie* case of a contravention or non-compliance by a member, officer or trader -
- 12.1.3.1 report the findings of the chief executive officer or the findings of the delegated person to the Disciplinary Tribunal;
 - 12.1.3.2 impose a fine on the member, officer or trader in accordance with the schedule of penalties determined by the executive committee from time to time;
 - 12.1.3.3 suspend the member or the registration of an officer or trader: Provided that the member, officer or trader is given notice of the intention of the chairman and chief executive officer and is allowed an opportunity to make representations to the chairman and chief executive officer; or

- 12.1.3.4 warn the member in writing if the chairman and chief executive officer believe that the contravention or non-compliance is not serious enough to warrant any action in terms of rules 12.1.3.1 to 12.1.3.3: Provided that rules 12.1.3.1 to 12.1.3.3 shall not be mutually exclusive of each other.
- 12.1.4 The chairman and chief executive officer shall advise the executive committee in writing of any preliminary investigation undertaken and of the action taken in terms of 12.1.3.1 to 12.1.3.4.

12.2 APPOINTMENT OF DISCIPLINARY TRIBUNAL

- 12.2.1 There shall be established by the executive committee a Disciplinary Tribunal which shall consist of a judge of one of the divisions of the Supreme Court of the Republic of South Africa, or an advocate of senior counsel status of such Court of not less than ten years standing, who shall be the chairperson of the Disciplinary Tribunal, an accountant in public practice registered as an accountant and auditor, under the Public Accountants' and Auditors' Act, 1991 (Act 80 of 1991), of not less than ten years standing, and a person appointed by virtue of his knowledge of the financial markets in the Republic.
- 12.2.2 The Disciplinary Tribunal shall appoint a secretary who shall perform the duties prescribed in this rule and such other functions as may be delegated or entrusted to him from time to time by the chairman of the Disciplinary Tribunal.

12.3 PROCEEDINGS OF DISCIPLINARY TRIBUNAL

The Disciplinary Tribunal shall convene a disciplinary hearing upon receipt of a report contemplated in rule 12.1.3.1 or upon receipt of an appeal by a member, officer or trader against a fine imposed under rule 12.1.3.2 or a suspension imposed under rule 12.1.3.3.

12.4 INSPECTIONS BY DISCIPLINARY TRIBUNAL

- 12.4.1 The Disciplinary Tribunal may authorise any person (hereunder referred to as "the inspector") not associated with any member to inspect the affairs of a member -
- 12.4.1.1 to ascertain whether the member or any officer, trader or employee of the member complies with the provisions of the Act, the Rules and any decision or determination of the executive committee;
- 12.4.1.2 to ascertain whether the member is trading in such a manner that as a consequence of such trading such member may not be able to fulfil its obligations to another member, a client, the Bond Exchange or the recognised clearing house; or
- 12.4.1.3 to ascertain whether the member is carrying on the business of buying and selling listed financial instruments in a manner which could be detrimental to the interest, good name or welfare of the Bond Exchange and its members.
- 12.4.2 The inspector shall be furnished by the Disciplinary Tribunal with an identity document which the inspector shall produce at the request of any interested person, and shall with regard to a matter that is the subject of an investigation, have the right, limited to the terms of reference in rule 12.4.1, without prior notice -
- 12.4.2.1 to enter the premises of any member;
- 12.4.2.2 to have access to documents, books, records, articles, recordings of whatever nature, or to make copies of or take extracts from, or transcriptions of any such documents, books, records, articles or recordings, of any member;
- 12.4.2.3 to question any director, officer, trader, employee or representative of the member; and
- 12.4.2.4 to request information from the recognised clearing house.
- 12.4.3 On completion of an inspection, the inspector shall prepare a report thereon which shall be submitted to the Disciplinary Tribunal and the Registrar: Provided that with the consent of the Disciplinary Tribunal a copy of the report shall be transmitted to the member concerned.
- 12.4.4 The Disciplinary Tribunal shall either convene a hearing in terms of rule 12.5 or dismiss the matter or appeal, after considering the report of an inspector.

12.5 HEARINGS OF DISCIPLINARY TRIBUNAL

- 12.5.1 Such hearing shall commence within 21 days after its convening and shall be completed within one month after the date of its commencement, unless postponed with the consent of the member concerned.
- 12.5.2 No member of the executive committee shall be entitled to serve on the Disciplinary Tribunal or be present at a hearing unless such member of the executive committee is a party to the hearing.

- 12.5.3 The secretary of the Disciplinary Tribunal shall cause notice of such meeting to be sent to the member concerned, specifying a time and place for the meeting, giving details of the act which the member is alleged to have done or omitted to do, and nominating, where deemed necessary by the Disciplinary Tribunal, any particular director, officer, trader or employee of the member from whom the Disciplinary Tribunal wishes to receive any information or explanation.
- 12.5.4 The compliance officer of the member concerned may in person or by means of a person authorised by him, appear at the hearing.
- 12.5.5 At the hearing the member concerned -
- 12.5.5.1 shall be entitled to be heard in argument;
 - 12.5.5.2 shall be entitled to give evidence in his own defence and be subject to cross-examination;
 - 12.5.5.3 shall have the right to cross-examine;
 - 12.5.5.4 shall have the right to call witnesses, who shall be subject to cross-examination; and
 - 12.5.5.5 may after the verdict is given and before sentence is passed, give evidence in mitigation: Provided that the Disciplinary Tribunal may reach a decision even if the member concerned does not appear, or is not represented at the hearing.
- 12.5.6 If the Disciplinary Tribunal finds the member guilty of the alleged contravention or non-compliance, it may -
- 12.5.6.1 reprimand or warn the member in private or by publication of the details of the reprimand or warning;
 - 12.5.6.2 censure the member;
 - 12.5.6.3 impose a fine on the member not exceeding R 1 000 000;
 - 12.5.6.4 suspend the membership of the member;
 - 12.5.6.5 terminate the membership of the member;
 - 12.5.6.6 direct the member to terminate the employment of an officer or employee;
 - 12.5.6.7 order the member not to trade;
 - 12.5.6.8 require a member to take steps to remedy or prevent the recurrence of the misconduct which has given rise to the proceedings;
 - 12.5.6.9 require the member to give a written undertaking in such terms as the Disciplinary Tribunal deems fit as to that member's future conduct; or
 - 12.5.6.10 cancel the registration of any officer or trader who is found to have been a party to the contravention: Provided that the foregoing shall not be mutually exclusive of each other and the Disciplinary Tribunal may take any one or more of such actions in respect of the member.
- 12.5.7 The Disciplinary Tribunal may make any order of suspension or order not to trade, subject to such conditions as it deems fit, and may give the member directions on the conduct of the member's affairs: Provided that no suspension of membership or order not to trade shall be for a period in excess of six months.
- 12.5.8 Any decision contemplated in rule 12.5.6.4 may be expressed to take effect, or cease to have any effect, upon the happening of an event or the fulfilment of a condition: Provided that if a suspension is expressed to continue or ceases to continue until the happening of an event or the fulfilment of a condition, the suspension shall continue notwithstanding that the period of six months referred to in rule 12.5.7 has expired.
- 12.5.9 If any employee of a member, when acting on behalf of a member, or any duly authorised person acting on behalf of a member, including any officer or trader, performs any act or omits to do any act, which act or omission if done or omitted by that member would constitute a contravention or non-compliance as referred to in rule 12.1.1, then such member shall be deemed to have committed such contravention or non-compliance in the same manner as if he or it had done or omitted to do such act.

12.6 FINES

- 12.6.1 A fine imposed on a member who has been found guilty of any contravention or non-compliance referred to in rule 12.1.1 shall not exceed R 1 000 000 (one million rand) for each contravention or non-compliance.
- 12.6.2 The Disciplinary Tribunal shall stipulate the time and manner of payment of the fine when imposed.

12.7 SUSPENSION

Where a member has been suspended by the Disciplinary Tribunal, such member -

- 12.7.1 shall not be permitted -
- 12.7.1.1 to trade as a member during the period of suspension unless the member does so with the approval of, and on the conditions imposed by, the Disciplinary Tribunal;
 - 12.7.1.2 to propose or second an applicant for membership;
 - 12.7.1.3 to act as surety for any applicant for membership; or
 - 12.7.1.4 to vote as a member;
- 12.7.2 shall, subject to rule 12.7.1.1, be permitted to retain and use during the period of suspension such services and facilities as the member may have been receiving from the Bond Exchange and recognised clearing house; and

- 12.7.3 shall -
- 12.7.3.1 be liable for payment of all fees and levies due to the Bond Exchange and the recognised clearing house during the period of suspension; and
 - 12.7.3.2 comply with all the provisions of the Act and the Rules during the period of suspension.

12.8 EXPULSION

- 12.8.1 A member expelled in terms of rule 12.5.6.5, and who appeals in terms of the Act against such expulsion, shall be deemed to be suspended, and the provisions of this rule, in so far as it relates to a suspension of a member, shall apply *mutatis mutandis* to the member until the decision of the Appeal Board is announced.
- 12.8.2 Upon expulsion, the member shall forfeit -
- 12.8.2.1 all rights under or by virtue of the Act and the Rules; and
 - 12.8.2.2 any fees or levies paid to the Bond Exchange.
- 12.8.3 A resolution for the expulsion of a member may be subject to such conditions as the Disciplinary Tribunal deems fit and the Disciplinary Tribunal may direct the member with regard to any actions it deems necessary.

12.9 NOTICE OF CONVICTIONS

- 12.9.1 The chief executive officer shall publish a notice to members setting out details of any conviction of a member and the Disciplinary Tribunal's decision with regard to the penalty imposed: Provided that the contravention is an offence justifying such notice, as determined by the Disciplinary Tribunal from time to time.
- 12.9.2 The chief executive officer may publish, or cause to be published, in any newspaper or other periodical, or permit or authorise the proprietor or publisher of such newspaper or other periodical to publish the notice referred to in rule 12.9.1.

12.10 FULL AND FINAL

Any decision of the Disciplinary Tribunal in terms of this rule on a matter before it shall be final unless and until the Appeal Board has modified such decision.

12.11 RECORD OF PROCEEDINGS

A member, officer, trader or employee who has made representations to the Disciplinary Tribunal shall be entitled to a copy of the record of the proceedings at which the representations were considered.

SECTION 13 - DISPUTE RESOLUTION

13.1 GENERAL

- 13.1.1 All disputes between members or between members and clients in respect of -
- 13.1.1.1 the trading or settlement of listed financial instruments;
 - 13.1.1.2 the implementation or interpretation of any agreement concluded in terms of rule 10.2; or
 - 13.1.1.3 advice regarding the trading of listed financial instruments given by a member, and which are not the subject of a preliminary investigation in terms of rule 12.1.1, shall be reduced to writing by the parties thereto and investigated promptly by the members concerned.
- 13.1.2 In respect of any dispute referred to in rule 13.1.1 between a member and a client, the member shall forthwith advise the client of the procedures being followed by the member to investigate the dispute and, where remedial action on the part of the member is required, shall advise the client in writing of the action so taken.
- 13.1.3 All disputes not resolved under rule 13.1.1 shall -
- 13.1.3.1 forthwith be made known in writing to the chief executive officer by the parties concerned; and
 - 13.1.3.2 be resolved by either mediation as contemplated in rule 13.2 or arbitration as contemplated in rule 13.3, whichever procedure is decided upon by the parties concerned: Provided that if the parties cannot resolve their dispute by mediation within a period of time deemed reasonable by the chief executive officer, the dispute shall be resolved by arbitration.

13.1.4 Where it appears from proceedings under rule 13.2 or 13.3 that an alleged contravention of or non-compliance with the provisions of the Act or the Rules has taken place by a member, the mediator or arbitrator, as the case may be, shall refer the alleged contravention or non-compliance to the chief executive officer in accordance with rule 12.1.1 and shall, in consultation with the chief executive officer, determine whether to suspend the mediation or arbitration, as the case may be, pending the outcome of the preliminary investigation under rule 12.1.1 or to proceed in resolving the dispute.

13.1.5 The provisions of this Section shall not prevent any party to a dispute obtaining urgent relief, pending the outcome of any procedure referred to in rule 13.1.3.2, from any competent court having jurisdiction.

13.2 MEDIATION

13.2.1 The appointment of a mediator, the procedures to be followed, and the settlement terms of the dispute shall be as agreed upon between the parties to the dispute: Provided that the parties to the mediation shall be jointly liable for the costs of the mediation proceedings, subject to an award by the mediator.

13.2.2 Notwithstanding the provisions of rule 13.2.1, where several disputes involving a member are based upon similar occurrences or facts, the mediator appointed in terms of this rule may determine that such disputes be consolidated and treated as a single dispute in terms of the Rules.

13.2.3 If the chief executive officer is not asked to mediate in the dispute, the parties shall, as soon as possible after the settlement or otherwise of the dispute, inform the chief executive officer in writing of the result of the mediation.

13.2.4 The chief executive officer shall make facilities available to the parties for mediation if the parties so request, on terms determined by the chief executive officer.

13.3 ARBITRATION

13.3.1 The parties may agree to settle a dispute by means of an arbitration agreement in accordance with the provisions of the Arbitration Act, 1965 (Act No. 42 of 1965): Provided that the parties to the arbitration shall be jointly liable for the costs of the arbitration proceedings, subject to an award by the arbitrator.

13.3.2 Notwithstanding the provisions of rule 13.3.1, where several disputes involving a member are based upon similar occurrences or facts, the arbitrator appointed in terms of this rule may determine that such disputes be consolidated and treated as a single dispute in terms of the Rules.

13.3.3 The parties shall, as soon as possible after the settlement of the dispute, inform the chief executive officer in writing of the result of the arbitration agreement.

13.4 NOTICE

13.4.1 The chief executive officer may publish a notice to members setting out details of any dispute and the mediator's or arbitrator's decision with regard thereto.

13.4.2 The chief executive officer may publish, or cause to be published, in any newspaper or other periodical, or permit or authorise the proprietor or publisher of such newspaper or other periodical to publish the notice referred to in rule 13.4.1.

SECTION 14 - GUARANTEE FUND

14.1 ESTABLISHMENT OF GUARANTEE FUND

The executive committee shall establish and maintain to the satisfaction of the Registrar, a Guarantee Fund of not less than thirty million rand for the discharge of a claim as prescribed in the Rules, of the outstanding liabilities of a member arising out of trades in listed financial instruments.

14.2 TRUSTEES OF GUARANTEE FUND

14.2.1 The Guarantee Fund shall be managed and controlled by a board of trustees in accordance with the provisions of the Act and the Rules.

14.2.2 The executive committee shall appoint annually the trustees of the Guarantee Fund: Provided that a majority of the trustees shall not be executive committee members.

- 14.2.3 The board of trustees shall consist of not less than five persons who shall have equal status *inter se* -
- 14.2.3.1 the chairman of the executive committee who shall be the chairman of the board of trustees;
 - 14.2.3.2 the chief executive officer; and
 - 14.2.3.3 not less than three other trustees.
- 14.2.4 The board of trustees shall meet at least quarterly in any calendar year: Provided that a special meeting of the board of trustees may be convened at any time by the requisition of at least three trustees or by the chairman of the board of trustees.
- 14.2.5 Notice of meetings of the board of trustees shall be given to each trustee in writing at least seven days before the date of a meeting, or a shorter period of notice, at the discretion of the chairman.
- 14.2.6 Rules 3.5.1.4 to 3.5.1.6 and rules 3.5.1.9 to 3.5.1.11 shall apply *mutatis mutandis* with regard to the holding of meetings of the board of trustees.
- 14.2.7 The board of trustees shall -
- 14.2.7.1 keep the monies of the Guarantee Fund in a separate trust account and apply the monies for the purposes of the Guarantee Fund;
 - 14.2.7.2 be the sole authorised signatories in respect of any correspondence or payments relating to the Guarantee Fund;
 - 14.2.7.3 establish and keep proper accounts, books and records in respect of the affairs, funds, assets and liabilities of the Guarantee Fund; and
 - 14.2.7.4 cause -
 - 14.2.7.4.1 quarterly financial statements in respect of the Guarantee Fund to be laid before the executive committee;
 - 14.2.7.4.2 audited annual financial statements in respect of the Guarantee Fund to be laid before the executive committee and the members within three months after the end of each financial year of the Bond Exchange.
- 14.2.8 Any decision of the board of trustees in terms of this rule on a matter before it shall be final unless and until the executive committee has modified such a decision.

14.3 ADMINISTRATION OF GUARANTEE FUND

- 14.3.1 The Guarantee Fund shall be administered by the Bond Exchange.
- 14.3.2 All instruments of payment or transfer in respect of the monies of the Guarantee Fund shall be signed jointly by any two trustees of the Guarantee Fund.

14.4 CONTRIBUTIONS TO GUARANTEE FUND

- 14.4.1 The basis upon which members shall contribute to the Guarantee Fund and the period within which contributions shall be paid, shall be determined by the board of trustees from time to time: Provided that in making such determination the trustees shall ensure that -
- 14.4.1.1 all members shall be liable to contribute to the Guarantee Fund;
 - 14.4.1.2 the contribution due by a member shall be related to the monthly turnover of the member;
 - 14.4.1.3 the monetary value of the contribution per unit of turnover shall be notified in writing in advance to members;
 - 14.4.1.4 the contribution due by a member shall be determined monthly and communicated forthwith in writing to the member concerned;
 - 14.4.1.5 the contribution due by a member shall be payable within 30 days of the last day of the preceding month for which the contribution is due;
 - 14.4.1.6 a penalty, by way of interest calculated at a rate determined by the board of trustees from time to time, shall be imposed on any contribution unpaid after the expiry of the period referred to in rule 14.4.1.5;
 - 14.4.1.7 the name of a member who has not paid a contribution due within the period referred to in rule 14.4.1.5, shall forthwith be advised in writing to the executive committee and the Registrar; and
 - 14.4.1.8 the manner in which a contribution due shall be paid to the Guarantee Fund shall be determined by the board of trustees from time to time.
- 14.4.2 The liability of members to the Guarantee Fund shall be limited to the contributions due to the Guarantee Fund as determined in the Rules.

14.5 CLAIMS AGAINST GUARANTEE FUND

- 14.5.1 In the event of a member failing to fulfil any obligations in terms of a trade the chief executive officer shall immediately inform the executive committee and the trustees.

- 14.5.2 The trustees shall determine the extent of potential claims that may arise in the event of a member failing to fulfil the members obligations: Provided that this determination shall be the losses sustained by any other member or client of the member failing to fulfil the obligations in terms of the trade and shall be calculated by comparing the price of the trade at the trade date and the market price at the date of failure to fulfil the members obligations.
- 14.5.3 The trustees shall determine the current market value of all listed financial instruments where the obligations of the member were not fulfilled.
- 14.5.4 The trustees shall also determine a list of potential claimants.
- 14.5.5 Any claimant who retransacts in order to fulfill the terms of the original trade shall have a potential claim.
- 14.5.6 Any claimant, who in the event of a member failing to fulfil any obligation in terms of a trade has a claim, such claim shall be made to the trustees in writing and include -
- 14.5.6.1 evidence of the terms of the original trade;
 - 14.5.6.2 the loss or potential loss sustained by the claimant in terms of the original trade at current market value which shall be determined and announced by the trustees;
 - 14.5.6.3 the extent of the actual loss if any retransaction has taken place; and
 - 14.5.6.4 any further evidence that the trustees may require.
- 14.5.7 Failure by a claimant to comply within a reasonable period with any requirements of the trustees shall be grounds upon which the trustees may reject the claim.

14.6 PAYMENT OF CLAIMS AGAINST GUARANTEE FUND

- 14.6.1 Claims shall be met from the total funds available to the Guarantee Fund and shall not be drawn in proportion to contribution.
- 14.6.2 The trustees in their sole discretion may make payments in respect of claims accepted by the trustees.
- 14.6.3 Such payments shall first be made against the proceeds of the insurance provided by the insurer and thereafter out of any other assets of the Guarantee Fund.
- 14.6.4 The aggregate payment shall not exceed the amount of the assets standing to the credit of the Guarantee Fund.
- 14.6.5 The trustees shall not entertain claims exceeding ten million rand in aggregate in the case of default by a single member: Provided that claims of loss shall be met on a pro rata basis to a maximum of ten million rand and in proportion to the total claims by all members and clients of the defaulting member lodging claims in respect of the default.
- 14.6.6 The member failing to fulfil the obligations of the trade shall have no claim whatsoever against the Guarantee Fund.
- 14.6.7 Payments of claims accepted by the trustees shall be paid only to the claimant and the trustees shall obtain written acknowledgement of receipt of payment.
- 14.6.8 Payment shall be effected by cheque or electronic transfer of funds to a nominated account held at a bank.

14.7 WINDING UP OF GUARANTEE FUND

In the event of a voluntary dissolution or winding-up by court of the Bond Exchange, the trustees of the Guarantee Fund shall apply the assets of the Guarantee Fund and discharge and in that order -

- 14.7.1 any liabilities of the Guarantee Fund to the insurers;
- 14.7.2 any claims against the Guarantee Fund;
- 14.7.3 any claims against the Guarantee Fund in terms of the administration by the Bond Exchange;
- 14.7.4 by repayment to members the contributions, either in full to each member, or assessed on a pro rata basis in the case of insufficient funds, paid by members to the Guarantee Fund; and
- 14.7.5 any surplus remaining shall be deemed to become an asset of the Bond Exchange.

SECTION 15 - DEFAULTS

15.1 MEMBER DEFAULT

- 15.1.1 Where a member (hereunder referred to as "the defaulting member") is unable to meet its obligations, in respect of any trade in listed financial instruments, to another member, a client, the Bond Exchange or the recognised clearing house, that member shall immediately notify the chief executive officer of that fact in writing.
- 15.1.2 The chief executive officer shall forthwith upon receipt of notification referred to in rule 15.1.1 advise the executive committee, which committee shall after consultation, declare the member to be in default as from the time at which the default occurred.

- 15.1.3 The defaulting member shall immediately upon being giving notice of default, cease to trade unless so authorised in writing by the executive committee.
- 15.1.4 No defaulting member shall compromise with or accept payment on account from any other member in connection with any trade from the time that a default is declared.

15.2 CLIENT DEFAULT

- 15.2.1 Where a client of a member fails to meet any obligation in respect of a trade entered into with a member, then the member with whom that client has traded shall perform all obligations incurred by the client in respect of that trade.
- 15.2.2 A member shall forthwith advise the executive committee of the name of the client in default, and the executive committee shall, as soon as possible and at its sole discretion, publish the name of the client by way of notice to members: Provided that a claim on the Guarantee Fund shall be lodged in accordance with rule 14.5.

15.3 EXCLUDED ASSETS

The following provisions shall apply with reference to assets, whether in the form of money, financial instruments, or other corporeal or incorporeal things (herein referred to as "excluded assets") of a member with effect from the date upon which control of such excluded assets is assumed by the executive committee.

- 15.3.1 The executive committee shall assume control of such excluded assets if the executive committee so directs after declaring a member to be a defaulting member.
- 15.3.2 Any person for the time being having custody of such excluded assets shall give effect to all instructions in relation thereto as may be furnished to such person by the executive committee.
- 15.3.3 The executive committee shall, as soon as reasonably possible, realise (whether by sale or otherwise) all of such excluded assets of which it has assumed control in any form other than cash, and shall place the nett proceeds of such realisation (after deduction therefrom of any costs incurred by the executive committee in such realisation) and of any cash forming part of the excluded assets, to the credit of a separate trust account (herein referred to as "the liquidation trust account") with a bank in the Republic of South Africa, to the credit of which shall also be placed any funds accruing.
- 15.3.4 The executive committee shall cause written notice to be given of the establishment of the liquidation trust account to a person considered by the executive committee to be potential claimants of the amounts standing to the credit of the liquidation trust account, and claims in respect thereof shall lie within such period as determined by the executive committee from time to time.
- 15.3.5 The executive committee shall publish, or cause to be published, in any newspaper or other periodical, or permit or authorise the proprietor or publisher of such newspaper or other periodical to publish the notice referred to in rule 15.3.4.
- 15.3.6 The executive committee shall be entitled to give such directions in regard to the giving of supplementary notices and the publication of supplementary announcements to invite claims from potential claimants as determined by the executive committee from time to time.
- 15.3.7 On the expiry of the period of any notice given in term of rule 15.3.4 and 15.3.5 and of any extension thereto in any notice or announcement given in terms of rule 15.3.6 the executive committee shall adjudicate upon all claims submitted in response to such notices in accordance with this Section.
- 15.3.8 Every such claim shall be in a form prescribed by the executive committee, containing such particulars and accompanied by such vouchers or other proof as the executive committee shall have the right, before admitting any such proof of claim, to require the claimant to produce such further evidence to support its claim as it may consider necessary.
- 15.3.9 A decision of the executive committee to admit any proof of claim, or to refuse admission of any proof of claim, and as to the amount for which any such claim as admitted of proof, shall be final and binding on all parties affected thereby.
- 15.3.10 The amount standing to the credit of the liquidation trust account shall be applied by the executive committee as follows and in the following order of precedence: Provided that if any balance distributable amongst claimants of equal precedence is less than the aggregate of their claims, the available amounts shall be distributed amongst them in proportion to the amounts of their respective claims -
- 15.3.10.1 in the first instance, towards payment of all costs reasonably incurred by the executive committee in giving notice of the establishment of the liquidation trust account and calling for the submission of claims in respect thereof, including any costs incurred by the executive committee in the adjudication of such claims;
- 15.3.10.2 thereafter, in payment to any member, or to the executive committee of any obligation incurred by the defaulting member arising from the closing-out of all or any of his proprietary positions as contemplated in this rule;

- 15.3.10.3 thereafter, in payment of the liabilities of the defaulting member arising from the closing-out or expiry, prior to the assumption of such control by the executive committee, of proprietary positions of the defaulting member undertaken on behalf of clients and not settled at the date of default;
- 15.3.10.4 thereafter, towards satisfaction of any fees due from the defaulting member to any member or to the executive committee as a result of the closing-out of proprietary positions as contemplated in this rule; and
- 15.3.10.5 as to any surplus, by payment to the defaulting member or the trustee, liquidator or judicial manager of the defaulting member.

RAADSKENNISGEWING 70 VAN 1996**WET OP BEHEER VAN FINANSIËLE MARKTE, 1989****DIE REËLS VAN DIE EFFEKTEBEURS VAN SUID-AFRIKA**

Die Effektebeurs van Suid-Afrika is op 15 Mei 1996 gelisensieer. Die goedgekeurde reëls van die Effektebeurs van Suid-Afrika, soos in die Bylae hiervan uiteengesit, word hierby ingevolge artikel 17(3)(a) van die Wet op Beheer van Finansiële Markte, 1989 vir inligting gepubliseer.

RG COTTRELL**Registrateur van Finansiële Markte****BYLAE****REELS
VAN DIE
EFFEKTEBEURS VAN SUID-AFRIKA**

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AFDELING 1 - UITLEG EN OMSKRYWINGS**1.1 UITLEG**

In die Reëls, tensy uit die samehang anders blyk-

- 1.1.1 sluit 'n verwysing na 'n geslag 'n verwysing na alle ander geslagte in;
- 1.1.2 sluit die enkelvoud die meervoud in en *vice versa*; en
- 1.1.3 word die opskrif en subopskrif van 'n Afdeling of reël nie by die uitleg van enige van die Reëls in ag geneem nie.

1.2 OMSKRYWINGS

In die Reëls, tensy uit die samehang anders blyk, beteken-

"adverteer"	met betrekking tot reël 6.7, die vertoning of kwotering van 'n prys van 'n finansiële instrument waarteen 'n lid bereid is om sake te doen;
"assosieer" of "ge-assosieer"	met betrekking tot enige natuurlike persoon- (a) om 'n regstreekse of onregstreekse geldelike belang in die besigheid van 'n lid te hê; of (b) die eggenoot of minderjarige kind;
"beampte"	'n persoon ingevolge reël 4.5 geregistreer by die effektebeurs as 'n voldoeningsbeampte, verteenwoordigende beampte, plaasvervangende voldoeningsbeampte, of plaasvervangende verteenwoordigende beampte, na gelang van die geval;
"besigheidsdag"	enige dag uitgesonderd a Saterdag, Sondag, openbare feesdag of enige ander dag wat die uitvoerende komitee, en goedgekeur deur die Registrateur, verklaar het nie 'n besigheidsdag te wees nie;
"bindende parende transaksie"	'n transaksie gerapporteer aan die erkende verrekeningshuis ooreenkomstig reël 6.9.2;
"deursit (-transaksie)"	'n transaksie tussen twee kliënte vir wie 'n finansiële instrument-handelaar as agent optree;
"diensverskaffer"	'n liggaam goedgekeur deur die uitvoerende komitee om elektroniese rapporteringsfasiliteite aan lede te verskaf;
"Dissiplinêre Tribunaal"	die Dissiplinêre Tribunaal ingestel ingevolge reël 12.2.1;
"Effektebeurs"	die Effektebeurs van Suid-Afrika bedoel in reël 2.1;
"EMV"	die Effektemarkvereniging;
"finansiële instrument"	(a) leningseffekte soos omskryf in artikel 1 van die Wet; (b) 'n opsiekontrak op 'n leningseffek of effekte; of (c) enige instrument deur die Registrateur in die <i>Staatskoerant</i> verklaar as 'n finansiële instrument, met inbegrip van 'n sertifikaat uitgereik ten opsigte van so 'n instrument;
"finansiële jaar"	met betrekking tot- (a) die Effektebeurs, 'n jaar eindigende op die laaste dag van Junie; (b) 'n lid, 'n jaar eindigende op 'n datum waarvan die lid vooraf skriftelik aan die uitvoerende komitee kennis gegee het;
"gelisensieerde beurs"	'n finansiële beurs soos omskryf in artikel 1 van die Wet of enige ander beurs gelisensieer ingevolge die Wet op Beheer van Effektebeurse, 1985 (Wet No. 1 van 1985);
"genoteerde finansiële instrumente"	finansiële instrumente ingesluit in die lys van finansiële instrumente bedoel in reël 5.1.1;
"getrouheids-versekeringsdekking"	met betrekking tot reël 4.4.1.8, 'n getrouheids-versekeringsdekking teen bedrog en wanaanwending gedra deur 'n lid met inbegrip van selfversekering teen bedrog en wanaanwending deur die lid;
"handelaar"	'n persoon geregistreer ingevolge reël 4.6.1 by die uitvoerende komitee as 'n assistent-handelaar, handelaar of senior handelaar, na gelang van die geval;
"heersende markwaarde"	die markprys van die genoteerde finansiële instrument ten tyde van die vereffeningsversuim deur die lid;

"her-kontrakteer"	die sluiting van 'n nuwe transaksie om aan die verpligtinge van die oorspronklike transaksie te voldoen;
"hoof- uitvoerende beampte"	die persoon aangestel deur die uitvoerende komitee as hoof- uitvoerende beampte van die Effektebeurs ingevolge reël 3.10.1, of die adjunk- of waarnemende hoof-uitvoerende beampte aangestel onderskeidelik ingevolge reëls 3.10.2 en 3.10.3;
"jaarlikse algemene vergadering"	'n jaarlikse algemene vergadering van lede soos beoog in reël 3.5.2.1;
"kennisgewing"	'n kennisgewing aan 'n lid ingevolge reël 2.6;
"kliënt"	enige persoon wat nie 'n lid is nie en wat sake doen met of deur 'n lid;
"koper"	'n koper van 'n genoteerde finansiële instrument ingevolge 'n transaksie, met inbegrip van 'n vereffeningsagent aangestel deur die koper;
"leidende beurs"	die Registrateur van Banke, of 'n gelisensieerde beurs in die Republiek van Suid-Afrika goedgekeur deur die Registrateur, vir die doeleindes van monitering van nakoming deur 'n lid van die finansiële hulpbronnevereistes voorgeskryf deur die Registrateur;
"lid"	'n lid van die Effektebeurs;
"maatskappy"	'n maatskappy ingelyf kragtens die Maatskappywet, 1973 (Wet No.61 van 1973);
"netto-vereffening"	die finale vereffening na aftrekking van alle verkope van alle aankope van dieselfde finansiële instrument;
"omset"	die aggregraat van kope en verkope van 'n genoteerde finansiële instrument gemeet in nominale eenhede van eenmiljoen rand, of indien die koop of verkoop minder is as eenmiljoen rand, in meervoude van honderdduisend rand;
"oorspronklike transaksie"	die transaksie in 'n genoteerde finansiële instrument gesluit op verhandelingsdatum;
"Reëls"	die Reëls van die Effektebeurs goedgekeur deur die Registrateur;
"Registrateur"	die Registrateur van Finansiële Markte omskryf in artikel 1 van die Wet;
"skerm"	die elektroniese skerm of sisteem aangewys deur die uitvoerende komitee wat- (a) lede ten opsigte van transaksies mag gebruik; en (b) die uitvoerende komitee mag gebruik om 'n kennisgewing te vertoon;
"spesiale algemene vergadering"	'n spesiale algemene vergadering van lede soos beoog in reël 3.5.2.3;
"transaksie"	wanneer gebruik as 'n naamwoord, 'n transaksie in 'n finansiële instrument op die Effektebeurs waar minstens een party tot die transaksie 'n lid is en, wanneer gebruik in werkwoordvorm, en as "verhandeling", dra dit 'n ooreenstemmende betekenis;
"transaksiedatum"	die datum waarop die oorspronklike transaksie afgesluit is;
"trustees"	die trustees van die Waarborgfonds aangestel ingevolge reël 14.2;
"uitreiker"	'n uitreiker van genoteerde finansiële instrumente;
"uitvoerende komitee"	die uitvoerende komitee van die Effektebeurs aangestel ingevolge reël 3.2.1;
"uitvoerende komiteelid"	'n persoon aangestel in die uitvoerende komitee ingevolge reël 3.2.1 met inbegrip van 'n plaasvervanger aangestel ingevolge reël 3.2.2.3;

"vereffening"	ten opsigte van 'n transaksie in 'n genoteerde finansiële instrument, die aflewering van die genoteerde finansiële instrument deur 'n verkoper aan 'n koper teen betaling, die gee of oorgang van ooreengekome vergoeding deur die koper aan die verkoper, en het "vereffen" 'n ooreenstemmende betekenis;
"vereffeningsagent"	die lid van die Suid-Afrikaanse Geoutomatiseerde Verrekeningsburo goedgekeur deur die uitvoerende komitee om elektroniese vereffenings-fasiliteite aan lede te verskaf;
"vereffeningsdatum"	die datum van vereffening van die oorspronklike transaksie;
"verkoper"	'n verkoper van 'n genoteerde finansiële instrument ingevolge 'n transaksie, met inbegrip van die verrekeningsagent aangestel deur die verkoper;
"verlies"	die teengestelde finansiële posisie wat ontstaan uit die verskil tussen die ooreengekome prys van die oorspronklike transaksie en die markwaarde van dieselfde transaksie ten tyde van die versuim deur die lid om aan die bepalings van die oorspronklike transaksie te voldoen, uitgesonderd enige gevolglike verlies;
"verteenwoordigende beampte"	die beampte waarna verwys word in reël 4.5.1 met inbegrip van die plaasvervangende verteenwoordigende beampte;
"vloer"	die plek aangewys van tyd tot tyd deur die uitvoerende komitee waar finansiële instrument-prinsipale en finansiële instrument-handelaars mag byeenkom vir die doeleindes van die doen van sake;
"voldoeningsbeampte"	die beampte na wie verwys word in reël 4.5.1 en met inbegrip van 'n plaasvervangende voldoeningsbeampte;
"Wet"	die Wet op die Beheer van Finansiële Markte, 1989 (Wet No. 55 van 1989).

AFDELING 2 - ALGEMEEN

2.1 NAAM

Die naam van die Vereniging is die "Effektebeurs van Suid-Afrika" hieronder na verwys as "die Effektebeurs".

2.2 VOORTBESTAAN VAN DIE EFFEKTEBEURS

- 2.2.1 Die Effektebeurs word geag vir alle regsdoeleindes al die regte, bevoegdhede, pligte en verpligtinge van die Effektemarkvereniging (hieronder na verwys as "die EMV") soos dit bestaan het voor die verlening van 'n finansiële mark-lisensie, oor te geneem het.
- 2.2.2 Die uitvoerende komitee wat die sake van die EMV voor die verlening van 'n finansiële mark-lisensie beheer en bestuur het, gaan vanaf daardie datum voort om die sake van die Effektebeurs te beheer en te bestuur tot die aanstelling van die uitvoerende komitee beoog in reël 3.2.1.
- 2.2.3 By die goedkeuring van hierdie Reëls deur die Registrateur, word die reëls van die EMV wat van toepassing was voor die goedkeuring van hierdie Reëls, herroep.
- 2.2.4 Enigiets gedoen kragtens 'n bepaling van die reëls van die EMV herroep kragtens reël 2.2.3, en wat kragtens 'n bepaling van hierdie Reëls gedoen sou kan word, word geag kragtens so'n bepaling gedoen te wees.
- 2.2.5 Tensy anders bepaal deur die uitvoerende komitee, is die geregistreerde kantoor van die Effektebeurs-

Empireweg 43
JOHANNESBURG
Gauteng
Republiek van Suid-Afrika

2.3 DOELSTELLINGS

Die doelstellings van die Effektebeurs is-

- 2.3.1 om die besigheid te dryf van 'n beurs vir die verhandeling van finansiële instrumente en nie om uitsluitlik enige ander besigheid te dryf nie, met inbegrip, *inter alia*, van gewone handelsaktiwiteite in kommersiële sin, spekulatiewe transaksies, diwidendstropping-aktiwiteite of die verhuur van eiendom op 'n sistematiese of gereelde grondslag;
- 2.3.2 om 'n finansiële mark-lisensie om sodanige besigheid te dryf, te verkry en te behou; en
- 2.3.3 om die gemeenskaplike belang van sy lede te bevorder en goeie verhoudinge tussen-
 - 2.3.3.1 die Effektebeurs en die Registrateur; en
 - 2.3.3.2 die lede en hul kliënte.

2.4 BEVOEGDHEDE VAN DIE EFFEKTEBEURS

Die Effektebeurs beskik oor al die bevoegdhede wat nodig is vir of bykomstig is tot die bereiking of bevordering van sy doelstellings en belange, en sonder afbreuk aan die algemeenheid van die voorgaande, is die bevoegdhede van die Effektebeurs -

- 2.4.1 om reëls te maak, te verander en in te trek ten einde te voldoen aan die bepalings van die Wet en in die algemeen om die sake van die Effektebeurs en van sy lede te beheers, beheer, bestuur en te reguleer;
- 2.4.2 om saam te werk met, te amalgameer met, of enige van sy besigheid oor te dra aan enige ander gelisensieerde beurs waarvan die doelstellings soortgelyk is aan dié van die Effektebeurs: Met dien verstande dat-
 - 2.4.2.1 sodanige samewerking, amalgameer of oordrag nie bewerkstellig word nie tensy goedgekeur op 'n algemene vergadering van lede, byeengeroep vir die spesifieke doel om sodanige samewerking, amalgameer of oordrag goed te keur; deur nie minder nie as driekwart van alle lede; en
 - 2.4.2.2 sodanige amalgameer of oordrag nie bewerkstellig word nie tensy op 'n wyse goedgekeur deur die Registrateur;
- 2.4.3 om 'n ordelike mark vir verhandeling in genoteerde finansiële instrumente te onderhou;
- 2.4.4 om ooreenkomste aan te gaan met die erkende verrekeningshuis of ander liggaam vir die voorsiening van dienste of fasiliteite aan die Effektebeurs;
- 2.4.5 om sodanige dienste of fasiliteite aan lede te verskaf wat nodig geag word vir die verhandeling in genoteerde finansiële instrumente en om die gelde voor te skryf wat lede of die publiek moet betaal vir sodanige dienste gelewer of inligting verskaf;
- 2.4.6 om aan lede van die publiek enige ander diens te verskaf wat die uitvoerende komitee van tyd tot tyd bepaal en om die gelde voor te skryf wat gehef staan te word vir sodanige dienste;
- 2.4.7 om die wyse waarop en die voorwaardes waaronder genoteerde finansiële instrumente- pryse en -statistiek voorsien sal word aan lede en aan ander persone te bepaal, en om ooreenkomste aan te gaan om die verspreiding van sodanige inligting te reguleer;
- 2.4.8 om geld tot op 'n bedrag van tienmiljoen rand te leen en terugbetaling daarvan te verseker: Met dien verstande dat 'n spesiale algemene vergadering van lede lenings wat daardie bedrag oorskry, kan magtig;
- 2.4.9 om enige trust te onderneem en uit te voer;
- 2.4.10 om 'n pensioen- en/of voorsorgfonds vir sy personeel in te stel;
- 2.4.11 om sigself te verseker teen enige verlies, skade, risiko of aanspreeklikheid wat hy mag ly of oploop; en
- 2.4.12 om surplusfondse te belê: Met dien verstande dat sodanige beleggings slegs gedoen kan word by finansiële instellings gemagtig deur die betrokke reguleerder kragtens 'n Wet van die Parlement van die Republiek van Suid-Afrika om sake te doen op hul onderskeie terreine; met inbegrip van, maar nie beperk nie tot, versekeraars, banke, effektrust-skemas en deelnemingsverband-skemas.

2.5 REÛLS VAN DIE EFFEKTEBEURS

2.5.1 UITLEG VAN REÛLS

Onderworpe aan die bepalings van Afdeling 12 van die Reëls, vestig die uitleg en afdwinging van die Reëls en van enige besluit of bepaling van die uitvoerende komitee, in die uitvoerende komitee.

2.5.2 REÛLS BINDEND

- 2.5.2.1 Die Reëls, met inbegrip van enige besluit of bepaling geneem of gemaak kragtens die Reëls, is bindend op die Effektebeurs, sy lede en hul beamptes en werknemers, die uitvoerende komitee, die erkende verrekeningshuis en op enige persoon wat die dienste benut van 'n lid of persoon wat 'n transaksie met 'n lid aangaan in die loop van daardie lid se besigheid, en dit bly bindend op enige gewese lid ten opsigte van enige handeling of versuim wat plaasgevind het op 'n tyd toe so 'n gewese lid nog 'n lid was.

- 2.5.2.2 'n Finansiële instrument-handelaar moet verseker, deur middel van 'n skriftelike lid-kliënt - ooreenkoms, dat die kliënt bewus gemaak word van en verbind sal wees deur die Reëls, met inbegrip van enige besluite of bepalings geneem of gemaak kragtens die Reëls.
- 2.5.2.3 Die uitvoerende komitee stel 'n lid by kennisgewing in kennis van enige besluit of bepaling van die uitvoerende komitee waaraan so 'n lid moet voldoen.
- 2.5.2.4 Ondanks enigiets vervat in die Reëls, word enige transaksie gesluit deur 'n lid met enige ander lid of met of namens 'n kliënt, gesluit op die spesifieke voorwaarde dat die transaksie aangegaan word behoudens die bepalings van die Wet en die Reëls: Met dien verstande dat enige transaksienota uitgereik deur 'n lid aan 'n kliënt moet vermeld dat die transaksie waarmee so 'n nota verband hou, aangegaan is behoudens die bepalings van die Wet en die Reëls.

2.5.3 **BYVOEGINGS TOT OF WYSIGINGS VAN REËLS**

- 2.5.3.1 Enige uitvoerende komiteelid, of vyf of meer lede, kan skriftelik enige byvoeging tot of wysiging van die Reëls voorstel.
- 2.5.3.2 Die voorstel word ingedien by die hoof- uitvoerende beampte wat dit vir oorweging voorlê aan die volgende vergadering van die uitvoerende komitee.
- 2.5.3.3 Die uitvoerende komitee oorweeg die voorgestelde wysiging of byvoeging van die Reëls, en moet, binne sewe dae daarna, sy besluit in verband daarmee in 'n kennisgewing aan lede bekend maak.
- 2.5.3.4 Ongeag of die uitvoerende komitee die voorstel aanvaar of verwerp het, moet, indien binne sewe dae van die bekendmaking van die uitvoerende komitee se besluit ingevolge reël 2.5.3.3 'n stemming geëis word deur 'n skriftelike aanvraag, van nie minder nie as een-derde van alle lede, so 'n stemming gehou word ingevolge reël 3.6 by 'n spesiale vergadering binne 14 dae van die datum waarop die aanvraag gerig word.
- 2.5.3.5 Indien die uitvoerende komitee die voorstel verwerp en geen stemming ingevolge reël 2.5.3.4 geëis word nie of indien by so 'n stemming 'n meerderheid van stemme uitgebring word teen die aanvaarding van die voorstel, is die voorstel verwerp.
- 2.5.3.6 Indien die uitvoerende komitee die voorstel aanvaar, en geen stemming ingevolge reël 2.5.3.4 geëis word nie of indien by so 'n stemming 'n meerderheidsbesluit ten gunste van die voorstel uitgebring word, is die voorstel aanvaar, en moet die uitvoerende komitee die aangenome voorstel voorlê aan die Registrateur vir sy goedkeuring welke voorstel van krag sal word vanaf die datum van skriftelike verlening van sodanige goedkeuring.

2.6 **KENNISGEWINGS**

- 2.6.1 Elke lid verwittig die uitvoerende komitee van 'n besigheids- en posadres waar die lid lewering sal aanvaar van alle kennisgewings uitgereik deur die uitvoerende komitee aan daardie lid.
- 2.6.2 Elke lid verwittig die uitvoerende komitee van 'n teleks- of telefaksnommer met die oog op kommunikasie met die lid.
- 2.6.3 Behoudens die gee van kennis ingevolge reëls 2.6.4 en 2.6.5, moet enige kennisgewing deur die uitvoerende komitee gegee aan so 'n lid skriftelik wees, en-
 - 2.6.3.1 word, indien per hand afgelewer gedurende normale besigheidsure by die lid se besigheidsadres bedoel in reël 2.6.1, geag, totdat die teendeel deur die lid bewys word, op die dag van aflewering ontvang te gewees het; of
 - 2.6.3.2 word, indien by vooraf-betaalde geregistreerde pos vanaf 'n adres in die Republiek van Suid-Afrika ge-pos aan die lid by die lid se posadres bedoel in reël 2.6.1, geag, totdat die teendeel deur die lid bewys word, teen nie later nie as die sewende dag na die posdatum ontvang te gewees het; of
 - 2.6.3.3 word, indien oorgesend deur teleks of telefaks, geag ontvang te gewees het op die dag van oorsending.
- 2.6.4 'n Kennisgewing geplaas op die kennisgewingbord van die Effektebeurs, waarvan die plek deur die uitvoerende komitee van tyd tot tyd aan lede bekend gemaak word, bring dieselfde gevolg mee as 'n kennisgewing gelewer aan die lede ingevolge reël 2.6.3.1: welke kennisgewing aan die kennisgewingbord geheg bly vir 'n tydperk van minstens vyf besigheidsdae, en van krag word vanaf die tyd dat dit aldus geheg is aan die kennisgewingbord tensy anders in die kennisgewing vermeld: Met dien verstande dat die geldigheid van enige sodanige kennisgewing nie geraak word deurdat dit tydelik van die kennisgewingbord gedurende sodanige tydperk verwyder is nie.
- 2.6.5 'n Kennisgewing wat op die skerm van die Effektebeurs vertoon word, het dieselfde krag as 'n kennisgewing gelewer aan 'n lid ingevolge reël 2.6.3.1 en-
 - 2.6.5.1 word van krag vanaf die tyd van verskyning, tensy anders in die kennisgewing vermeld; en
 - 2.6.5.2 bly op die skerm vir 'n tydperk van minstens twee besigheidsdae of totdat die gebeure wat die oorsaak van die kennisgewing was, ophou om dit nodig te maak dat die kennisgewing op die skerm bly, welke ook al die vroegste voorkom: Met dien verstande dat die geldigheid van enige sodanige kennisgewing nie geraak word deurdat dit tydelik van die skerm verdwyn het gedurende sodanige tydperk nie.

- 2.6.6 By die toepassing van reël 2.6.4 en 2.6.5 beteken die woord "tydelik" 'n tydperk van hoogstens drie ure of die ander tydperk, wat deur die uitvoerende komitee onder die dan heersende omstandighede redelik geag word.
- 2.6.7 'n Kennisgewing geheg aan die kennisgewingbord van die Effektebeurs, moet ook op die skerm van die Effektebeurs vertoon word, en vice versa, en daarbenewens word so 'n kennisgewing sonder versuim per teleks of telefaks oorgeseed aan lede.

AFDELING 3 - BESTUUR EN BEHEER

3.1 WERKSAAMHEDE VAN UITVOERENDE KOMITEE

- 3.1.1 Die sake van die Effektebeurs word bestuur en beheer deur die uitvoerende komitee in ooreenstemming met die Wet en die Reëls.
- 3.1.2 Sonder afbreuk aan die algemeenheid van reël 3.1.1, het die uitvoerende komitee die bevoegdheid -
- 3.1.2.1 om namens die Effektebeurs enige verrigtinge, aksies, en eise in te stel, aanhangig te maak, voort te sit, te staak, of na arbitrasie of skikking te verwys, en om enige verrigtinge, aksies, en eise teen die Effektebeurs te verdedig;
 - 3.1.2.2 om enige lid te magtig en, indien nodig geag, by te staan om enige regsverrigtinge in te stel of te verdedig; en
 - 3.1.2.3 om enige regsverrigtinge ingestel teen enige uitvoerende komiteelid of enige beamppte of werknemer van die Effektebeurs ten opsigte van die verrigting van enige werksaamheid kragtens of ingevolge die Reëls deur so 'n persoon te verdedig, en om daardie persoon teen kostes in sodanige regsverrigtinge te indempifiseer.
- 3.1.3 Die uitvoerende komitee lê, nie minder nie as 21 dae voor die jaarlikse algemene vergadering, 'n verslag oor sy aktiwiteite sedert die laaste finansiële jaareinde aan die Registrateur en die lede voor.

3.2 AANSTELLING EN SAMESTELLING VAN UITVOERENDE KOMITEE

3.2.1 AANSTELLING

Die lede stel by elke jaarlikse algemene vergadering 'n uitvoerende komitee aan bestaande uit genomineerde en verkose verteenwoordigende beamptes, na gelang van die geval, om die sake van die Effektebeurs te bestuur en te beheer; en daarbenewens stel die genomineerde en verkose verteenwoordigers die hoof- uitvoerende beamppte op die uitvoerende komitee aan.

3.2.2 SAMESTELLING

- 3.2.2.1 Die uitvoerende komitee bestaan uit hoogstens 12 persone wat oor gelyke status *inter se* beskik-
- 3.2.2.1.1 die Registrateur, wat nie oor stemreg by enige vergadering van die uitvoerende komitee beskik nie;
 - 3.2.2.1.2 die hoof- uitvoerende beamppte; en
 - 3.2.2.1.3 een of meer van die volgende-
 - 3.2.2.1.3.1 die verteenwoordigende beamppte van die Suid-Afrikaanse Reserwebank;
 - 3.2.2.1.3.2 'n verteenwoordigende beamppte genomineer deur lede, wie ook lede is van die Vereniging van Verrekeningsbanke, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.3 'n verteenwoordigende beamppte genomineer deur banke wat lede is, en wie nie verteenwoordig word deur die Vereniging van Verrekeningsbanke nie, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.4 'n verteenwoordigende beamppte genomineer deur lede, wat ook lede is van die Johannesburg Aandelebeurs, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.5 'n verteenwoordigende beamppte genomineer deur lede, wie ook lede is van die Vereniging van Lewensversekeraars, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.6 'n verteenwoordigende beamppte genomineer deur lede wat ook uitreikers is van finansiële instrumente, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.7 'n verteenwoordigende beamppte genomineer deur alle ander lede nie verteenwoordig ingevolge reëls 3.2.2.1.3.1 tot 3.2.2.1.3.6 nie, by 'n vergadering vir daardie doel belê;
 - 3.2.2.1.3.8 hoogstens drie verteenwoordigende beamptes verkies deur alle lede: Met dien verstande dat hoogstens een van hierdie drie verteenwoordigende beamptes afkomstig kan wees uit enige van die kategorieë uiteengesit in reëls 3.2.2.1.3.1 tot 3.2.2.1.3.7.

- 3.2.2.2 Enige uitvoerende komiteelid wie se ampstermyn verstryk het, is bevoeg vir heraanstelling.
- 3.2.2.3 Elke uitvoerende komiteelid wat genomineer of verkies is, na gelang van die geval, in die uitvoerende komitee, moet 'n plaasvervanger aanstel in sy plek tydens sy afwesigheid of onvermoë om op te tree:
 - 3.2.2.3.1 die aanstelling van 'n plaasvervanger word deur die uitvoerende komitee aangeteken;
 - 3.2.2.3.2 die plaasvervanger, terwyl hy optree in die plek van die persoon wat hom aangestel het, moet al die bevoegdhede, pligte en werksaamhede van so 'n persoon uitoefen en vervul; en
 - 3.2.2.3.3 die aanstelling van 'n plaasvervanger word ingetrek wanneer die persoon wat hom aangestel het, ophou om 'n uitvoerende komiteelid te wees, of aan die uitvoerende komitee kennis gee dat die plaasvervanger wat hom verteenwoordig, opgehou het om aldus op te tree en, in die geval van die intrekking van die aanstelling van enige plaasvervanger of enige tydelike onvermoë van die plaasvervanger om vir die persoon wat hy verteenwoordig, op te tree, word die vakature gevul deur 'n persoon genomineer deur die voorsitter van die uitvoerende komitee, onderworpe aan die goedkeuring van die uitvoerende komitee.
- 3.2.2.4 'n Uitvoerende komiteelid wat vir drie agtereenvolgende vergaderings sonder goeie rede en sonder die vooraf toestemming van die uitvoerende komitee of sy voorsitter afwesig is, hou op om 'n lid van die uitvoerende komitee te wees.
- 3.2.2.5 Geen verteenwoordigende beampte van 'n lid kwalifiseer vir nominasie of verkiesing tot die uitvoerende komitee nie, indien ten tyde van die nominasie of verkiesing, na gelang van die geval, enige gelde of heffings verskuldig deur die lid aan of die Effektebeurs of die erkende verrekeningshuis agterstallig is.

3.3 VAKATURE IN DIE UITVOERENDE KOMITEE

- 3.3.1 'n Uitvoerende komiteelid, genomineer of verkies, na gelang van die geval, ontruim daardie amp indien:
 - 3.3.1.1 die lid van wie hy 'n verteenwoordigende beampte is, ophou om lid te wees van die Effektebeurs;
 - 3.3.1.2 hy sy bedanking indien as uitvoerende komiteelid;
 - 3.3.1.3 hy die diens verlaat van die lid of ophou om sy verteenwoordigende beampte te wees;
 - 3.3.1.4 hy, terwyl hy 'n lid verteenwoordig wat 'n maatskappy is, ingevolge die Maatskappywet, 1973 (Wet 61 van 1973), gediskwalifiseer word om as direkteur van 'n maatskappy op te tree; of
 - 3.3.1.5 hy verklaar word geestesongesteld te wees.
- 3.3.2 Reëls 3.2.1 en 3.2.2.1 is *mutatis mutandis* van toepassing met betrekking tot die vul van enige vakature wat op die uitvoerende komitee ontstaan, behalwe dat 'n spesiale vergadering gehou moet word in die geval van 'n verkiesing ingevolge reël 3.2.2.1.3.8.
- 3.3.3 'n Persoon genomineer of verkies, na gelang van die geval, om 'n vakature te vul, beklee sy amp vir die onverstreke tydperk van die dienstermyn van sy voorganger.
- 3.3.4 Indien 'n uitvoerende komiteelid bedank, kan hy op versoek van die uitvoerende komitee voortgaan in sy amp en al die bevoegdhede uitoefen wat in hom vestig as uitvoerende komiteelid totdat die vakature veroorsaak deur sy bedanking gevul is:
 - 3.3.4.1 ondanks die vakature, kan die oorblywende uitvoerende komiteelede as uitvoerende komitee optree en al die handelinge verrig wat die Reëls die uitvoerende komitee magtig om te verrig; of
 - 3.3.4.2 indien alle uitvoerende komiteelede bedank, vestig al die bevoegdhede waarmee hulle beklee is in die hoof-uitvoerende beampte totdat 'n nuwe uitvoerende komitee aangestel is.

3.4 SKAKELING MET ANDER PERSONE

Enige persoon uitgenooi deur die uitvoerende komitee kan verrigtinge van die uitvoerende komitee bywoon en het al die spreekregte toegeken aan 'n gewone uitvoerende komiteelid behalwe dat hy nie mag stem nie.

3.5 VERGADERINGS

3.5.1 UITVOERENDE KOMITEEVERGADERINGS

- 3.5.1.1 Die uitvoerende komitee vergader minstens tweemaandeliks in enige kalenderjaar. Met dien verstande dat 'n spesiale uitvoerende komitee vergadering te eniger tyd belê kan word op versoek van minstens vier uitvoerende komiteelede of deur die voorsitter.
- 3.5.1.2 Kennis van uitvoerende komiteevergaderings, wat die sakelys vir die vergadering insluit, word skriftelik aan elke uitvoerende komiteelid gegee minstens sewe dae voor die datum van die vergadering, of die korter tydperk deur die voorsitter bepaal.
- 3.5.1.3 Die uitvoerende komiteelede verkies uit hul geledere die voorsitter en die ondervoorsitter van die uitvoerende komitee by die eerste vergadering van die komitee na die aanstelling van lede ingevolge reël 3.2.1

- 3.5.1.4 Indien by enige uitvoerende komiteevergadering nóg die voorsitter nóg die ondervoorsitter beskikbaar is, kan die aanwesige lede iemand uit hul geledere verkies om voorsitter van daardie vergadering te wees.
- 3.5.1.5 By enige uitvoerende komiteevergadering maak die meerderheid van uitvoerende komiteelede 'n kworum uit.
- 3.5.1.6 Elke uitvoerende komiteelid het een stem by enige uitvoerende komiteevergadering en enige aangeleentheid wat ter sprake kom by 'n uitvoerende komiteevergadering word beslis deur die meerderheid van stemme van uitvoerende komiteelede aanwesig in persoon of verteenwoordig deur 'n volmag by die vergadering en, in die geval van 'n staking van stemme, het die voorsitter 'n beslissende stem benewens sy beraadslagende stem.
- 3.5.1.7 Ondanks die bepalings van reëls 3.5.1.1 tot 3.5.1.6, kan besluite of bepalings van die uitvoerende komitee skriftelik geneem word indien sodanige besluite of bepalings onderteken is deur die uitvoerende komiteelede wat nie minder is as die kworum vir 'n uitvoerende komiteevergadering nie. Met dien verstande dat alle beskikbare uitvoerende komiteelede ingelig was oor die inhoud van die besluite of bepalings.
- 3.5.1.8 Waar 'n uitvoerende komiteelid 'n belang het by 'n aangeleentheid wat voor die uitvoerende komitee dien, neem hy nie deel aan die besprekings van die uitvoerende komitee oor daardie aangeleentheid nie, en mag hy ook nie 'n stem daaroor uitbring nie.
- 3.5.1.9 Notules van elke vergadering van die uitvoerende komitee word gehou in 'n notuleboek vir daardie doel verskaf, en word by die volgende uitvoerende komiteevergadering bekragtig.
- 3.5.1.10 Die uitvoerende komitee teken in die notuleboek alle besluite geneem of bepalings gedoen by uitvoerende komiteevergaderings aan.
- 3.5.1.11 Enige rekord van of uittreksel uit die notuleboek is *prima facie* getuienis van die aangeleentheid daarin vermeld indien dit onderteken is deur die voorsitter van daardie vergadering, of enige persoon op daardie vergadering wat deur die uitvoerende komitee gemagtig is om in die plek van die voorsitter te teken.

3.5.2 ALGEMENE VERGADERINGS VAN LEDE

- 3.5.2.1 Die jaarlikse algemene vergadering van lede word gehou binne drie maande na die einde van elke finansiële jaar van die Effektebeurs op die datum, tyd en plek soos deur die uitvoerende komitee bepaal.
- 3.5.2.2 By 'n jaarlikse algemene vergadering van lede, moet die lede-
 - 3.5.2.2.1 die verslag van die uitvoerende komitee bedoel in reël 3.1.3 ontvang en oorweeg, en die geouditeerde jaarlikse finansiële state ten opsigte van die onmiddellik voorafgaande jaar van die Effektebeurs;
 - 3.5.2.2.2 lede van die uitvoerende komitee verkies; en
 - 3.5.2.2.3 enige besluit oorweeg waarvan kennis ooreenkomstig die Reëls gegee is.
- 3.5.2.3 Die uitvoerende komitee-
 - 3.5.2.3.1 kan te eniger tyd; of
 - 3.5.2.3.2 moet op aanvraag van minstens een-derde van die lede op die datum waarop die aanvraag ingedien word, 'n spesiale algemene vergadering van lede belê.
- 3.5.2.4 Enige kennisgewing waarby 'n vergadering van lede belê word, moet-
 - 3.5.2.4.1 in die geval van 'n jaarlikse algemene vergadering gegee word aan alle lede minstens 21 dae voor die datum van so 'n vergadering;
 - 3.5.2.4.2 in die geval van enige ander algemene vergadering gegee word aan alle lede minstens 14 dae voor die datum van so 'n vergadering; en
 - 3.5.2.4.3 die sakelys van die vergadering insluit.
- 3.5.2.5 Die nie-ontvangs van 'n kennisgewing deur enige lid maak nie enige besluit geneem by 'n algemene vergadering ongeldig nie.
- 3.5.2.6 Kennis van enige voorstel wat ingedien staan te word by enige jaarlikse algemene vergadering en nie in die sakelys ingesluit is nie, word ingedien by die hoof- uitvoerende beampte minstens 14 dae voor die datum bepaal vir daardie vergadering, en 'n afskrif word by kennisgewing deur die hoof- uitvoerende beampte aan alle lede minstens sewe dae voor die datum bepaal vir die vergadering gestuur. Met dien verstande dat van die onderskeie tydperke van 14 dae en sewe dae afstand gedoen kan word deur die uitvoerende komitee, maar behoudens daaropvolgende goedkeuring deur die lede by die vergadering.
- 3.5.2.7 Die voorsitter by elke algemene vergadering van lede is-
 - 3.5.2.7.1 die voorsitter van die uitvoerende komitee;
 - 3.5.2.7.2 in sy afwesigheid, die ondervoorsitter; of
 - 3.5.2.7.3 indien nóg die voorsitter nóg die ondervoorsitter beskikbaar is, 'n uitvoerende komiteelid verkies deur die lede aanwesig by die vergadering.
- 3.5.2.8 Die voorsitter van enige algemene vergadering van lede kan, met die toestemming van die vergadering, die vergadering van tyd tot tyd verdaag, maar geen ander besigheid word behandel by die verdaagde vergadering nie as die besigheid onafgehandel gelaat by die vergadering wat aldus verdaag is.
- 3.5.2.9 By 'n algemene vergadering van lede maak een-derde van al die lede 'n kworum uit.
- 3.5.2.10 Indien 'n kworum nie aanwesig is nie, word die vergadering verdaag na dieselfde dag in die volgende week op dieselfde tyd en plek. Met dien verstande dat indien daardie dag nie 'n besigheidsdag is nie word dit verdaag na dieselfde tyd op die eersvolgende besigheidsdag, en indien by die verdaagde vergadering 'n kworum nie aanwesig is nie, maak die aanwesige lede 'n kworum vir daardie vergadering uit.

- 3.5.2.11 Elke lid aanwesig in persoon of verteenwoordig by volmag by 'n algemene vergadering het een stem vir elke lidmaatskapsetel gehou deur daardie lid ooreenkomstig die bepalings van reël 4.3, en enige aangeleentheid oorweeg by die vergadering kan beslis word by handopsteking of deur 'n meerderheid van stemme uitgebring ooreenkomstig reël 3.6 en, in die geval van 'n staking van stemme, het die voorsitter 'n beslissende stem benewens sy beraadslagende stem as lid.
- 3.5.2.12 'n Verklaring deur die voorsitter betreffende die uitslag van 'n stemming, en 'n inskrywing tot daardie effek in die notule van die verrigtinge van die vergadering, is afdoende getuienis daarvan.
- 3.5.2.13 'n Stemming kan ingevolge reël 3.6 geëis word deur enige lid wat aanwesig is en geregtig is om by enige algemene vergadering te stem.
- 3.5.2.14 Notule van elke algemene vergadering word aangeteken in 'n notuleboek verskaf vir daardie doel, en word by die volgende algemene vergadering bekragtig.
- 3.5.2.15 Enige rekord van of uittreksel uit die notuleboek van 'n algemene vergadering is *prima facie* getuienis van die aangeleentheid daarin vermeld indien dit onderteken is deur die voorsitter van daardie vergadering, of deur enige persoon op daardie vergadering wat deur die uitvoerende komitee gemagtig is om in die plek van die voorsitter te teken

3.5.3 STEMVOORWAARDES

- 3.5.3.1 Geen lid mag by 'n algemene vergadering stem terwyl enige gelde of heffings verskuldig deur die lid aan die Effektebeurs of die erkende verrekeningshuis onbetaal bly nie.
- 3.5.3.2 'n Lid wat om welke rede ook al opgehou het om 'n lid van die Effektebeurs te wees, is nie geregtig om te stem by enige vergadering bedoel in reëls 3.5.2.1 en 3.5.2.2 vanaf die datum van beëindiging van lidmaatskap nie.

3.6 STEMPROSEDURES EN -VEREISTES

- 3.6.1 Die uitvoerende komitee bepaal enige aangeleentheid in verband met 'n eis om stemming waarvoor nie spesifieke voorsiening in die Reëls gemaak word nie.
- 3.6.2 Tensy anders bepaal in die Reëls, word enige voorstel waaroor gestem moet word, beslis deur 'n meerderheid van stemme op die grondslag van een stem vir elke setel gehou, ongeag of die lede wat die setels hou in persoon of verteenwoordig by volmag aanwesig is.
- 3.6.3 Die stemming word gehou op die plek en tyd soos bepaal deur die uitvoerende komitee.
- 3.6.4 Die voorsitter van die vergadering stel stemopnemers aan ten opsigte van die stemming en maak die uitslag van die stemming so gou as redelik moontlik bekend.
- 3.6.5 Elke stem word uitgebring deur 'n kruisie (x) op 'n stembrief deur die lede vir daardie doel verskaf. Met dien verstande dat die getal stembriewe uitgereik aan 'n lid nie meer en nie minder is as die getal lidmaatskapsetels deur daardie lid gehou nie.
- 3.6.6 'n Stembrief wat nie aan hierdie reël voldoen nie, of wat 'n handtekening, voorletters, veranderings of enige ander merk as 'n kruisie teenoor die toepaslike voorstel ingevolge reël 3.6.5 bevat, word geag 'n bedorwe stembrief te wees.
- 3.6.7 Betreffende die verkiesing van lede van die uitvoerende komitee, moet die getal stemme wat op elke stembrief uitgebring moet word nie meer wees nie as die getal vakatures wat gevul moet word.

3.7 AMPSDRAERS

3.7.1 VOORSITTER

Die pligte van die voorsitter van die uitvoerende komitee is-

- 3.7.1.1 om voor te sit by alle algemene vergaderings van lede en uitvoerende komiteevergaderings;
- 3.7.1.2 om die notules van alle vergaderings bedoel in reël 3.7.1.1 te onderteken;
- 3.7.1.3 om die Effektebeurs by openbare funksies te verteenwoordig; en
- 3.7.1.4 om enige openbare aankondiging of voordrag namens die Effektebeurs te lewer, of die lewering daarvan te deleger.

3.7.2 ONDERVOORSITTER

Die pligte van die ondervoorsitter is-

- 3.7.2.1 om die voorsitter te vervang of vir hom op te tree by die verrigting van enige pligte wanneer die voorsitter afwesig is of nie kan optree nie; and
- 3.7.2.2 in die algemeen, om die pligte uit te voer wat die voorsitter aan hom opdra.

3.7.3 TESOURIER

3.7.3.1 Die uitvoerende komitee stel 'n tesourier aan.

3.7.3.2 Die pligte van die tesourier is-

- 3.7.3.2.1 om van tyd tot tyd soos vereis deur die uitvoerende komitee verslag te doen oor die finansiële sake van die Effektebeurs;
- 3.7.3.2.2 om as die openbare beampte van die Effektebeurs op te tree in sy verhoudinge met die Ontvanger van Inkomste en enige ander fiskale owerheid; en
- 3.7.3.2.3 in die algemeen, om toesig te hou oor die finansiële sake van die Effektebeurs en die ander werksaamhede te verrig wat van tyd tot tyd deur die uitvoerende komitee of die hoof- uitvoerende beampte, na gelang van die geval, aan hom gedelegeer of opgedra word.

3.7.4 SEKRETARIS

3.7.4.1 Die uitvoerende komitee stel 'n sekretaris aan.

3.7.4.2 Die pligte van die sekretaris is-

- 3.7.4.2.1 om die Registrateur van alle inligting te voorsien soos deur hom aangevra;
- 3.7.4.2.2 om as sekretaris van die uitvoerende komitee op te tree in besonder met betrekking tot die hou van vergaderings van lede en uitvoerende komiteevergaderings, en notules van sodanige vergaderings aan te teken;
- 3.7.4.2.3 om die hoof- uitvoerende beampte van alle inligting rakende die aktiwiteite van die Effektebeurs te voorsien wat hy van tyd tot tyd mag verlang; en
- 3.7.4.2.4 in die algemeen, om die ander werksaamhede te verrig wat van tyd tot tyd deur die hoof- uitvoerende beampte of die uitvoerende komitee aan hom gedelegeer of opgedra mag word.

3.8 KOMITEES

- 3.8.1 Die uitvoerende komitee kan een of meer komitees aanstel om meer doeltreffend met die sake van die Effektebeurs te handel.
- 3.8.2 Komiteelede hoef nie uitvoerende komiteelede of lede te wees nie.
- 3.8.3 Geen persoon wat 'n lid verteenwoordig, kwalifiseer vir aanstelling as 'n komiteelid nie terwyl gelde of heffings verskuldig deur die lid aan die Effektebeurs of die erkende verrekeningshuis agterstallig is.
- 3.8.4 Waar geen komitee-voorsitter deur die uitvoerende komitee aangestel is nie, kies die komiteelede uit hul eie geledere die voorsitter van die komitee by die eerste vergadering van die komitee na die aanstelling van die lede van daardie komitee.
- 3.8.5 Indien by enige komiteevergadering die komitee-voorsitter nie beskikbaar is nie, kan die aanwesige komiteelede iemand uit hul geledere kies om die voorsitter van daardie komiteevergadering te wees.
- 3.8.6 Die hoof- uitvoerende beampte en die tesourier is *ex officio* lede van enige komitee en die sekretaris mag die sekretaris vir so 'n komitee wees.
- 3.8.7 By enige komiteevergadering maak 'n meerderheid van komiteelede 'n kworum uit.
- 3.8.8 Elke komiteelid het een stem by enige komiteevergadering en enige aangeleentheid wat ter sprake kom by 'n komiteevergadering word beslis deur 'n meerderheid van stemme en, in die geval van 'n staking van stemme, het die komitee-voorsitter 'n beslissende stem benewens sy beraadslagende stem.
- 3.8.9 'n Komiteelid wat sonder goeie rede afwesig is van drie agtereenvolgende vergaderings of sonder die vooraf toestemming van die komitee of sy voorsitter, hou op om 'n komiteelid te wees.
- 3.8.10 In die geval waar 'n vakature in enige komitee ontstaan, beveel die komitee by die uitvoerende komitee iemand anders aan om aangestel te word deur die uitvoerende komitee as 'n komiteelid.

3.9 VERGOEDING VAN UITGAWES

Uitvoerende komiteelede is geregtig om vergoed te word vir hul reis-, vervoer- en onderhoudsuitgawes-

- 3.9.1 by bywoning van vergaderings van die uitvoerende komitee; en
- 3.9.2 by die verrigting van werksaamhede deur die uitvoerende komitee aan hulle gedelegeer of opgedra, teen tariewe van tyd tot tyd deur die uitvoerende komitee bepaal.

3.10 PERSONEEL VAN DIE EFFEKTEBEURS

- 3.10.1 Die uitvoerende komitee stel 'n hoof- uitvoerende beampte aan op die terme en voorwaardes waarop die uitvoerende komitee en die hoof- uitvoerende beampte mag ooreenkom: Met dien verstande dat die hoof- uitvoerende beampte nie geregtig sal wees om transaksies aan te gaan namens enige persoon of homself nie, en nie ge-assosieer sal wees met enige lid, of die direkteur, beampte, handelaar of werknemer van 'n lid nie.
- 3.10.2 Die uitvoerende komitee kan 'n adjunkhoof- uitvoerende beampte aanstel om in alle aangeleenthede te handel in die afwesigheid van die hoof- uitvoerende beampte, en die bepalings van reël 3.10.1 is ook van toepassing op die adjunk- hoof- uitvoerende beampte.
- 3.10.3 In die geval van die afwesigheid of verwagte afwesigheid van beide die hoof- uitvoerende beampte en die adjunkhoof- uitvoerende beampte, stel die uitvoerende komitee 'n waarnemende hoof- uitvoerende beampte in hul afwesigheid aan, en die bepalings van reël 3.10.1 is ook van toepassing op die waarnemende hoof- uitvoerende beampte maar die bepalings bly van krag slegs vir die tydperk wat hy in amp bly as waarnemende hoof- uitvoerende beampte.
- 3.10.4 By die verrigting van sy pligte is die hoof- uitvoerende beampte geregtig om alle verdere pligte en werksaamhede te verrig wat aanvullend daartoe is.
- 3.10.5 Ander voltydse of deeltydse beamptes of werknemers as die persone vermeld in reël 3.7 kan aangestel word by, of ontslaan word van, die Effektebeurs deur die uitvoerende komitee: Met dien verstande dat 'n persoon aangestel deur die uitvoerende komitee ingevolge hierdie reël nie transaksies mag aangaan namens iemand anders of homself nie.
- 3.10.6 Sodanige beamptes of werknemers bly in amp vir die tydperk, verrig die werksaamhede en ontvang die vergoeding, wat die uitvoerende komitee van tyd tot tyd bepaal.
- 3.10.7 Sodanige beamptes of werknemers mag nie 'n regstreekse of onregstreekse geldelike belang in die besigheid van enige ander lid as 'n lid wat 'n genoteerde openbare maatskappy is, hê nie.

3.11 FINANSIES

- 3.11.1 Die uitvoerende komitee moet sorgdra dat behoorlike rekeninge, boeke en rekords gehou word wat nodig is om die sake van die Effektebeurs billik te weerspieël, om die besonderhede weer te gee van alle gelde ontvang en uitgegee, en om die bates en laste van die Effektebeurs te weerspieël.
- 3.11.2 Alle ontvangse gelde moet gedeponeer word in bankrekening op naam van die Effektebeurs.
- 3.11.3 Geld in trust gehou deur die Effektebeurs moet as sodanig aangewys word en in afsonderlike rekeninge gehou word.
- 3.11.4 Enige gelde van die Effektebeurs wat nie onmiddelik nodig is vir die daaglikse behartiging van die sake van die Effektebeurs nie, mag deur die uitvoerende komitee op die wyse wat hy goeddink van tyd tot tyd in die naam van die Effektebeurs belê word.
- 3.11.5 Die geldelike state van die Effektebeurs word jaarliks voorgelê vir goedkeuring deur 'n ouditeur aangestel deur die uitvoerende komitee en goedgekeur deur die Registrateur, met dien verstande dat geen lid van die uitvoerende komitee as ouditeur mag optree nie, of 'n direkteur, vennoot, of werknemer mag wees van enige firma wat as ouditeur optree nie.
- 3.11.6 Geouditeerde jaarlikse finansiële state ten opsigte van die jongste voltooide finansiële jaar van die Effektebeurs word nie minder nie as 21 dae voor die jaarlikse algemene vergadering wat op daardie finansiële jaar betrekking het, uitgereik aan alle lede.

3.12 ONDERTEKENAARS

Alle regs- en ander dokumente wat namens die Effektebeurs onderteken moet word, word onderteken op die wyse en deur die persone soos van tyd tot tyd deur die uitvoerende komitee bepaal.

3.13 ONTBINDING

- 3.13.1 Sou die Effektebeurs ophou om besigheid te dryf as 'n beurs vir transaksies in genoteerde finansiële instrumente, kan die lede die Effektebeurs vrywillig ontbind ooreenkomstig die bepalings van die Wet en behoudens dat-
- 3.13.1.1 'n algemene vergadering belê word vir die spesifieke doel om die Effektebeurs te ontbind;
- 3.13.1.2 ooreenkoms bereik word by so 'n vergadering deur driekwart van al die lede om die Effektebeurs vrywillig te ontbind; en
- 3.13.1.3 die vooraf skriftelike goedkeuring van die Registrateur verleen is.

- 3.13.2 By die ontbinding van die Effektebeurs, hetsy vrywillig of by bevel van die Hof, word die bates van die Effektebeurs wat oorbly nadat alle verpligtinge nagekom is, gegee of oorgedra aan 'n ander vereniging of instelling wat doeleindes het soortgelyk aan die doeleindes van die Effektebeurs, soos bepaal deur die Effektebeurs in oorleg met die Registrateur of, by ontstentenis daaraan, deur die Hof.

3.14 AFSTANDDOENING

Geen versuim van die Effektebeurs om enige van sy regte in die geheel of gedeeltelik kragtens die Reëls uit te oefen, en ook geen vertraging aan sy kant by die uitoefening, stel 'n afstanddoening daar van die regte of remedies van die Effektebeurs by so 'n geleentheid of daarna nie.

3.15 VERTROULIKHEID

'n Uitvoerende komiteelid, of komiteelid aangestel ingevolge die Reëls hou enige inligting wat onder sy aandag mag kom in sy hoedanigheid as uitvoerende komiteelid of komiteelid vertroulik, en publiseer of openbaar dit of maak dit nie bekend op enige wyse nie, tensy anders ooreengekom is by die uitvoerende komiteevergadering of komiteevergadering, of tensy hy vooraf skriftelike toestemming van die voorsitter van die uitvoerende komitee verkry het.

AFDELING 4 - LIDMAATSKAP

4.1 KWALIFIKASIE VIR LIDMAATSKAP

- 4.1.1 'n Regspersoon (uitgesonderd 'n beslote korporasie) kan toegelaat word tot lidmaatskap van die Effektebeurs.
- 4.1.2 Om te kwalifiseer vir lidmaatskap moet 'n aansoeker die uitvoerende komitee tevrede stel dat, as 'n regspersoon-
- 4.1.2.1 'n staande kenmerk van sy besigheid die verhandeling van genoteerde finansiële instrumente is;
 - 4.1.2.2 sy direkteure almal minstens 21 jaar oud en ten volle handelingsbevoeg is;
 - 4.1.2.3 sy direkteure almal van goeie karakter en hoë besigheidsintegriteit is;
 - 4.1.2.4 hy voldoen aan die vereistes vir finansiële hulpbronne voorgeskryf in die Reëls en te alle tye in die Republiek van Suid-Afrika die kapitaal hou soos voorgeskryf deur sodanige vereistes;
 - 4.1.2.5 hy oor die nodige administratiewe stelsels en bronne beskik soos deur die uitvoerende komitee van tyd tot tyd bepaal; en
 - 4.1.2.6 al sy beamptes en handelaars beoog in onderskeidelik reëls 4.5 en 4.6 voldoen aan die vereistes vir registrasie bedoel in die Reëls.
- 4.1.3 Ondanks enige bepaling in die Reëls, moet 'n aansoeker om lidmaatskap wat nie gewoonweg woonagtig in die Republiek van Suid-Afrika is nie, na aanleiding van 'n aansoek om lidmaatskap, as 'n buitelandse maatskappy registreer of ingelyf word as 'n publieke maatskappy in Suid-Afrika, soos vereis deur die Maatskappywet, 1973 (Wet No. 61 van 1973).
- 4.1.4 'n Lid moet voor 31 Desember 1996 'n afsonderlike liggaam inkorporeer en vir so 'n liggaam aansoek doen waar die besigheid van koop en verkoop van genoteerde finansiële instrumente slegs bestaan uit 'n deel van die lid se algehele besigheid. Met dien verstande dat hierdie reël nie van toepassing sal wees nie op lede wat-
- 4.1.4.1 uitreikers van finansiële instrumente is en wat aan die hoof- uitvoerende beampte bewys het dat hulle betrokke is in marksheppende aktiwiteite in hul eie finansiële instrumente of die finansiële instrumente van hul moederondernemings of filiale van hul moederondernemings;
 - 4.1.4.2 lede is van die Vereniging van Lewensversekeraars;
 - 4.1.4.3 sentrale banke is en ander liggame belas met of wat tussenbeide tree in die bestuur van die openbare skuld.

4.2 AANSOEK OM LIDMAATSKAP

- 4.2.1 'n Aansoek om lidmaatskap word aan die uitvoerende komitee gedoen op 'n vorm en vergesel van 'n geld soos van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.2.2 By die doen van 'n aansoek moet elke aansoeker skriftelik instem om gebonde te wees aan die bepalings van hierdie Wet en die Reëls.
- 4.2.3 'n Aansoek om lidmaatskap moet vergesel gaan van 'n skriftelike nominasie deur 'n lid gesekondeer deur enige ander lid, behalwe in die geval van stigterslede, wat lede is wat toegelaat is tot lidmaatskap op 16 Junie 1989.

- 4.2.4 Die uitvoerende komitee maak die naam en adres van 'n aansoeker om lidmaatskap bekend aan die lede, wat ingevolge reël 4.2.5 daarteen kan beswaar maak.
- 4.2.5 'n Lid wat skriftelik beswaar aanteken teen enige aansoek om lidmaatskap moet 'n beswaar binne 14 dae van die kennisgewing kragtens reël 4.2.4 by die uitvoerende komitee indien met vermelding van die redes vir die lid se besware.
- 4.2.6 Die uitvoerende komitee mag vereis-
- 4.2.6.1 dat 'n aansoeker verdere inligting moet verskaf, en kan met die toestemming van die aansoeker enige ondersoek instel wat dit nodig ag om die inligting voorgelê deur die aansoeker ter ondersteuning van die aansoek te verifieer;
- 4.2.6.2 dat die uitvoerende komitee, die hoof- uitvoerende beampte of enige ander persoon aangestel deur die uitvoerende komitee vir daardie doel, 'n onderhoud voer met 'n aansoeker of een of meer verteenwoordigers van die aansoeker.
- 4.2.7 Die ooreengekome of redelike kostes van verifikasie van inligting bedoel in reël 4.2.5 word deur die aansoeker gedra.
- 4.2.8 Na verstryking van die kennisgewingtydperk vermeld in reël 4.2.5, oorweeg die uitvoerende komitee die aansoek om lidmaatskap en stel hy die aansoeker skriftelik in kennis van sy besluit om lidmaatskap toe te staan of te weier, en maak hy sy besluit aan die lede by kennisgewing bekend.
- 4.2.9 Die uitvoerende komitee is verplig om 'n aansoeker van die redes vir sy besluit om 'n aansoek om lidmaatskap te weier, te voorsien.
- 4.2.10 In die geval van 'n mislukking om lidmaatskap te verkry behoorlik goedgekeur deur die uitvoerende komitee, kan die aansoeker enige reg van appèl beoog in die Wet uitoefen.
- 4.2.11 Indien 'n aansoeker om lidmaatskap geweier word en die aansoeker nie sy reg van appèl teen die weiering uitoefen nie of sy appèl onsuksesvol is, is dieselfde aansoeker nie geregtig om her-aansoek om lidmaatskap te doen vir 'n tydperk van drie jaar vanaf die datum van die weiering nie, tensy die uitvoerende komitee anders bepaal.
- 4.2.12 'n Aansoeker toegelaat tot lidmaatskap ingevolge 'n besluit van die uitvoerende komitee kragtens reël 4.2.8 moet binne sewe dae van ontvangs van die kennisgewing van sodanige toelating 'n lidmaatskapsetel verkry ooreenkomstig die bepalings van reël 4.3
- 4.2.13 Die hoof- uitvoerende beampte moet 'n register van alle lede aan wie lidmaatskap vergun is en van alle lidmaatskapsetels uitgereik, in stand hou en oop hou vir inspeksie deur die publiek by sy geregistreerde kantoor, teen betaling van die geld wat die uitvoerende komitee van tyd tot tyd bepaal.

4.3 LIDMAATSKAPSETELS

4.3.1 LIDMAATSKAPSETELS

- 4.3.1.1 Die uitvoerende komitee moet 'n stelsel van lidmaatskapsetels skep, uitreik en administreer op 'n wyse van tyd tot tyd deur die komitee bepaal.
- 4.3.1.2 Vir die doeleindes van hierdie reël is die gemagtigde getal van lidmaatskapsetels beskikbaar vir uitreiking tweehonderd en enige setels nie uitgereik nie word onder beheer van die uitvoerende komitee geplaas.

4.3.2 REGISTRASIE VAN SETELS

- 4.3.2.1 Die registrasie van 'n lidmaatskapsetel op die naam van 'n aansoeker om lidmaatskap verleen formele lidmaatskap van die Effektebeurs aan die houer daarvan.
- 4.3.2.2 Geen lidmaatskapsetel word deur 'n genomineerde gehou of anders geregistreer as in die naam van die aansoeker toegelaat tot lidmaatskap van die Effektebeurs nie.
- 4.3.2.3 Alvorens 'n setel geregistreer word op die naam van 'n aansoeker aan wie lidmaatskap verleen is, moet alle registrasiegelde, soos van tyd tot tyd deur die uitvoerende komitee bepaal, aan die Effektebeurs betaal wees, en in die geval waar 'n aansoek om die registrasie of oordrag van 'n setel geweier of ingetrek word, is sodanige gelde nie aan die aansoeker terugbetaalbaar nie.
- 4.3.2.4 Geen lid word toegelaat om meer as tien persent van alle lidmaatskapsetels uitgereik op 'n enkele tydstip te verkry of te hou nie.

4.3.3 OORDRAG VAN LIDMAATSKAPSETELS

- 4.3.3.1 'n Lid of 'n persoon aan wie die reg tot toelating van lidmaatskap ingevolge reël 4.2.8 verleen is, kan 'n setel koop en 'n lid of persoon wat vrywillig lidmaatskap beëindig het soos beoog in reël 4.9.1, kan 'n setel verkoop-
- 4.3.3.1.1 by private ooreenkoms, in welke geval die hoof- uitvoerende beampte versoek mag word om 'n koper of verkoper van 'n setel te probeer verkry; of

- 4.3.3.1.2 deur advertering op 'n skerm van die prys waarteen die setel geadverteer word vir koop of verkoop, in welke geval die persoon wat die setel adverteer, mits die aangebode prys van die setel in die geval van 'n koper en die prys van die setel aanvaar in die geval van 'n verkoper, 'n verbetering behels op die geadverteerde prys, verplig sal wees om minstens een setel te koop of te verkoop, na gelang van die geval.
- 4.3.3.2 Binne een besigheidsdag van die koop of verkoop van 'n setel bedoel in reël 4.3.3.1, moet beide partye die transaksie skriftelik rapporteer aan die Effektebeurs, met vermelding van die prys waarteen die setel gekoop en verkoop is en die Effektebeurs moet in sy diskresie die transaksie aanvaar of verwerp en beide partye in kennis stel voor sluiting van besigheid op die volgende besigheidsdag van sy besluit.
- 4.3.3.3 Binne 'n verdere sewe besigheidsdae moet die verkoper 'n voltooide oordragvorm onderteken deur die voldoeningsbeampies van beide die koper en die verkoper, lewer aan die Effektebeurs, waarop die Effektebeurs, behoudens reël 4.3.2.3, die setel registreer in die naam van die koper.
- 4.3.3.4 By die verkryging van 'n voltooide oordragvorm van die voorgestelde oordrag van lidmaatskapsetels van die verkoper, moet die Effektebeurs vereis dat die ooreengekome koopprys deur die koper betaal word en moet hy binne twee besigheidsdae na ontvangs van die vergoeding -
- 4.3.3.4.1 aan die verkoper die volle bedrag minus administratiewe onkoste oorbetal;
- 4.3.3.4.2 die registrasie van die betrokke lidmaatskapsetel gehou in die naam van die verkoper kanselleer; en
- 4.3.3.4.3 aan die koper, nadat 'n geskikte aantekening in die register aangebring is, 'n nuwe sertifikaat uitreik wat bewys lewer van eienaarskap van 'n lidmaatskapsetel.

4.4 REGTE EN VERPLIGTINGE VAN LEDE

- 4.4.1 'n Lid moet-
- 4.4.1.1 te alle tye voldoen aan die lidmaatskapsvereistes soos van tyd tot tyd deur die uitvoerende komitee bepaal;
- 4.4.1.2 'n leidende beurs kies waar die lid lidmaatskap hou van meer as een gelisensieerde beurs en te alle tye voldoen aan die voorgeskrewe finansiële hulpbronnevereistes;
- 4.4.1.3 ooreenkomste aangaan wat werksaamhede betrek van tyd tot tyd aangewys deur die uitvoerende komitee ten einde werksaamhede en verpligtinge van 'n lid ten uitvoer te bring;
- 4.4.1.4 vooraf skriftelik aan die uitvoerende komitee die lid se bedoeling verklaar om as 'n finansiële instrument-handelaar of finansiële instrument-prinsipaal sake te doen;
- 4.4.1.5 vooraf skriftelik aan die uitvoerende komitee die lid se bedoeling verklaar om sy lidmaatskapregistrasie van 'n finansiële instrument-handelaar na 'n finansiële instrument-prinsipaal te omskep, en *vice versa*;
- 4.4.1.6 ten opsigte van alle transaksies aangegaan deur die lid, voldoen aan die verslagdoeningsvereistes van tyd tot tyd deur die uitvoerende komitee bepaal;
- 4.4.1.7 ten opsigte van alle transaksies aangegaan deur die lid, voldoen aan die vereffeningsvereistes van tyd tot tyd deur die uitvoerende komitee bepaal;
- 4.4.1.8 die getrouheids-versekeringsdekking hou ten opsigte van bedrog en wanaanwending deur enige direkteur, beampte, handelaar of persoon betrokke by die bestuur of administrasie van verhandelinge, soos die raad van direkteure van die lid nodig ag vir die vlak van besigheid onderneem deur die lid; en
- 4.4.1.9 te alle tye voldoening verseker aan die bepalinge van die Wet, die Reëls en enige besluit of bepaling van die uitvoerende komitee.
- 4.4.2 'n Finansiële instrument-handelaar kan 'n maatskappy stig of in stand hou met die hoofdoel om die geregistreerde houder van genoteerde finansiële instrumente te wees namens die finansiële instrument-handelaar en kliënte.
- 4.4.2.1 Slegs direkteure en werknemers van die finansiële instrument-handelaar mag aandele in sodanige maatskappy hou, en moet dit aldus doen as genomineerde van die finansiële instrumente-handelaar.
- 4.4.2.2 Die finansiële instrument-handelaar moet verseker dat sodanige maatskappy geen ander aanspreeklikhede oploop as daardie normaalweg opgeloop as 'n gevolg van sy optrede as 'n genomineerde ten opsigte van die hou van genoteerde finansiële instrumente nie.

4.5 REGISTRASIE EN WERKSAAMHEDE VAN BEAMPTES

- 4.5.1 By toelating van lidmaatskap tot die Effektebeurs moet 'n lid by die Effektebeurs teen betaling van die voorgeskrewe geld en op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal, 'n voldoeningsbeampte en 'n verteenwoordigende beampte registreer wat elk -
- 4.5.1.1 'n direkteur of werknemer van die lid is;
- 4.5.1.2 minstens 21 jaar oud en ten volle handelingsbevoegd is;

- 4.5.1.3 van goeie krakter en hoë besigheidsintegriteit is;
- 4.5.1.4 voldoen aan die minimumvereistes met betrekking tot opleiding en ondervinding soos van tyd tot tyd deur die uitvoerende komitee bepaal: Met dien verstande dat geen lid die besigheid van koop en verkoop van genoteerde finansiële instrumente sal dryf nie tensy 'n voldoeningsbeampte en verteenwoordigende beampte aangestel deur die lid geregistreer bly by die Effektebeurs soos vereis deur hierdie reël.
- 4.5.2 Die voldoeningsbeampte-
 - 4.5.2.1 kan terselfdertyd die amp beklee van verteenwoordigende beampte van die betrokke lid;
 - 4.5.2.2 moet 'n voldoeningsbeampte-eksamen soos van tyd tot tyd voorgeskryf deur die uitvoerende komitee geslaag het;
 - 4.5.2.3 moet te alle tye voldoening verseker deur die betrokke lid aan die bepalinge van die Wet, die Reëls en enige besluit of bepaling van die uitvoerende komitee;
 - 4.5.2.4 moet te alle tye voldoening verseker deur die betrokke lid aan alle ooreenkomste aangegaan deur die lid ingevolge reël 4.4.1.3;
 - 4.5.2.5 moet te alle tye voldoening verseker deur die betrokke lid aan die finansiële hulpbronnevereistes voorgeskryf in die Reëls;
 - 4.5.2.6 moet verseker dat, vir die betrokke lid, die voorgeskrewe opgawe ten opsigte van die finansiële hulpbronnevereistes voorgelê word aan die hoof- uitvoerende beampte op die tussenposes van tyd tot tyd deur die uitvoerende komitee bepaal;
 - 4.5.2.7 ontvang alle kennisgewings van die Effektebeurs en die erkende verrekeningshuis, en verseker voldoening deur die betrokke lid aan die vereistes van alle sodanige kennisgewings;
 - 4.5.2.8 rapporteer onverwyld skriftelik aan die hoof- uitvoerende beampte enige oënskynlike oortredinge deur die lid van die bepalinge van die Wet, die Reëls of enige besluit of bepaling van die uitvoerende komitee; en
 - 4.5.2.9 doen alle stappe of laat alle stappe doen, in die geval van 'n oortreding of fout ten opsigte van enige transaksies aangegaan deur of rakende die betrokke lid, wanneer aldus versoek deur die hoof- uitvoerende beampte of die erkende verrekeningshuis, wat nodig is om die oortreding reg te stel of die fout te verwyder.
- 4.5.3 Die verteenwoordigende beampte-
 - 4.5.3.1 kan terselfdertyd die amp beklee van voeldoeningbeampte van die betrokke lid;
 - 4.5.3.2 is 'n persoon wat namens die betrokke lid beskikbaar is vir nominasie of verkiesing, na gelang van die geval, as 'n lid van die uitvoerende komitee; en
 - 4.5.3.3 verteenwoordig die betrokke lid in alle ander aangeleenthede waarvoor die voldoeningsbeampte nie verantwoordelik is nie.
- 4.5.4 'n Lid registreer ook by die Effektebeurs 'n plaasvervangende voldoeningsbeampte en 'n plaasvervangende verteenwoordigende beampte op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.5.5 Die bepalinge van reëls 4.5.1, 4.5.2 en 4.5.3 is *mutatis mutandis* van toepassing met betrekking tot onderskeidelik die plaasvervangende voldoeningsbeampte en die plaasvervangende verteenwoordigende beampte.
- 4.5.6 Die uitvoerende komitee besluit in sy uitsluitlike diskresie om 'n aansoek om registrasie van enige beampte te aanvaar of te weier en moet op versoek van die aansoeker die redes vir die besluit verskaf.
- 4.5.7 'n Lid wat ontevrede is met 'n beslissing van die uitvoerende komitee ten opsigte van 'n aansoek om registrasie van sy beamptes, kan die reg van appèl uitoefen soos beoog in die Wet.
- 4.5.8 Die hoof- uitvoerende beampte hou 'n register van alle beamptes aan wie registrasie deur die uitvoerende komitee verleen is, in stand en oop vir inspeksie deur die publiek by sy geregistreerde kantoor, teen betaling van die geld van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.5.9 Enige verandering aan die besonderhede betreffende 'n beampte word onverwyld skriftelik aan die Effektebeurs verwittig op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.5.10 Die aanstelling van 'n beampte onthef die betrokke lid op geen wyse van enige verpligtinge en verantwoordelikhede ingevolge die Wet, die Reëls en enige besluit of bepaling van die uitvoerende komitee nie, en hierdie verpligtinge en verantwoordelikhede bly hoofsaak en nie bykomstig of ondergeskik aan die werksaamhede van so 'n beampte nie.
- 4.5.11 'n Lid moet onverwyld skriftelik die Effektebeurs verwittig indien enige van sy beamptes skuldig is of bevind word aan onbehoorlike gedrag deur enige gelisensieerde beurs, deur enige huidige of voormalige werkgewer, deur enige professionele vereniging, of deur enige gereghof.

4.6 REGISTRASIE VAN HANDELAARS

- 4.6.1 By toelating van lidmaatskap tot die Effektebeurs, registreer 'n lid by die Effektebeurs teen betaling van die voorgeskrewe geld en op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal, minstens een van die volgende handelaars-
- 4.6.1.1 'n assistent-handelaar, wat-
- 4.6.1.1.1 vir 'n lid se eie rekening mag handel dryf met ander lede, maar nie met of namens kliënte nie;
 - 4.6.1.1.2 onder die toesig en kontrole van 'n direkteur of senior handelaar van die betrokke lid opereer;
 - 4.6.1.1.3 van goeie karakter en hoë besigheidsintegriteit is; en
 - 4.6.1.1.4 moet voldoen aan die minimum vereistes met betrekking tot handeldryf en ondervinding soos van tyd tot tyd deur die uitvoerende komitee bepaal;
- 4.6.1.2 'n handelaar, wat-
- 4.6.1.2.1 vir 'n lid sy eie rekening mag handeldryf met ander lede en met kliënte, maar nie namens kliënte nie;
 - 4.6.1.2.2 werkzaam sal wees onder die toesig en kontrole van 'n direkteur of senior handelaar van die betrokke lid;
 - 4.6.1.2.3 van goeie karakter en hoë besigheidsintegriteit is; en
 - 4.6.1.2.4 moet voldoen aan die minimumvereistes met betrekking tot opleiding en ervaring soos van tyd tot tyd deur die uitvoerende komitee bepaal; of
- 4.6.1.3 'n senior handelaar, wat-
- 4.6.1.3.1 handel mag dryf op 'n lid se eie rekening met ander lede en met of namens kliënte;
 - 4.6.1.3.2 van goeie karakter en hoë besigheidsintegriteit is; en
 - 4.6.1.3.3 voldoen aan die minimumvereistes met betrekking tot opleiding en ervaring soos van tyd tot tyd deur die uitvoerende komitee bepaal: Met dien verstande dat geen lid die besigheid van koop en verkoop van genoteerde finansiële instrumente sal dryf nie tensy minstens een handelaar aangestel deur die lid geregistreer bly by die Effektebeurs soos vereis deur hierdie reël.
- 4.6.2 Die uitvoerende komitee moet in sy uitsluitlike diskresie besluit om 'n aansoek van registrasie van enige handelaar te aanvaar of te verwerp en moet op versoek van die aansoeker redes vir die beslissing verstrek.
- 4.6.3 'n Lid wat ontevrede is met die besluit van die uitvoerende komitee met betrekking tot 'n aansoek om registrasie van sy handelaars, kan die reg van appèl beoog in die Wet uitoefen.
- 4.6.4 Die hoof-uitvoerende beampte hou 'n register van alle handelaars geregistreer by die uitvoerende komitee in stand en oop vir inspeksie deur die publiek by sy geregistreerde kantoor, teen betaling van die geld soos van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.6.5 Enige verandering in die besonderhede met betrekking tot 'n handelaar word onverwyld skriftelik aan die Effektebeurs verwittig op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal.
- 4.6.6 'n Lid stel onverwyld die Effektebeurs skriftelik in kennis indien enige van sy handelaars skuldig is of skuldig bevind word aan onbehoorlike gedrag deur enige gelisensieerde beurs, deur enige huidige of voormalige werkgewer, deur enige professionele vereniging, of deur enige geregshof.

4.7 GELDE EN HEFFINGS

- 4.7.1 Die uitvoerende komitee stel by kennisgewing lede in kennis van enige voorgestelde gelde en heffings wat betaal staan te word deur lede aan die Effektebeurs of die erkende verrekeningshuis, minstens 14 dae voor die oplegging daarvan.
- 4.7.2 'n Lid wat versuim om gelde of heffings te betaal binne 30 dae nadat die gelde of heffings betaalbaar geword het, word by kennisgewing deur die uitvoerende komitee in kennis gestel van sodanige agterstallige bedrae.
- 4.7.3 Indien die agterstallige bedrae nie deur die lid betaal word binne sewe dae vanaf die datum van ontvangs van sodanige kennisgewing nie, of enige verlengde tydperk wat die uitvoerende komitee skriftelik verleen, kan die uitvoerende komitee, ondanks die bepalinge van Afdeling 12 van die Reëls, die lidmaatskap van die lid opskort totdat alle gelde deur die lid verskuldig aan die Effektebeurs of die erkende verrekeningshuis betaal is.
- 4.7.4 Gelde verskuldig, sluit rente in bereken vanaf die verskuldigde datum tot die datum van werklike betaling teen 'n koers deur die uitvoerende komitee van tyd tot tyd bepaal.

4.8 NAKOMING VAN WET EN REËLS

- 4.8.1 Die uitvoerende komitee kan te eniger tyd 'n lid versoek om sy ouditeur opdrag te gee om enige of alle prosedures en transaksies van 'n lid se besigheid met betrekking tot die Effektebeurs en die erkende verrekeningshuis te ondersoek, en om die lid se nakoming van enige bepalinge van die Wet, die Reëls of 'n besluit of bepaling van die uitvoerende komitee te verifieer.
- 4.8.2 Die verslag van so 'n ouditeur word aan die uitvoerende komitee voorgelê en die koste van so 'n audit word deur die uitvoerende komitee gedra. Met dien verstande dat, indien as 'n gevolg van so 'n audit gevind word dat die lid 'n bepaling van die Wet, die Reëls of 'n besluit of bepaling van die uitvoerende komitee oortree het of versuim het om daaraan te voldoen, word die koste van die audit deur die lid gedra.
- 4.8.3 Die uitvoerende komitee kan, na behoorlike oorweging, 'n verslag bedoel in reël 4.8.2 aan die Dissiplinêre Tribunaal voorlê.

4.9 BEËINDIGING VAN LIDMAATSKAP

- 4.9.1 'n Lid mag lidmaatskap van die Effektebeurs beëindig deur 30 dae geskrewe kennis van beëindiging aan die hoof- uitvoerende beampte te gee.
- 4.9.2 Die hoof- uitvoerende beampte moet by skriftelike kennisgewing aan die uitvoerende komitee en die lede minstens 14 dae voor die beoogde datum van beëindiging, kennis gee van die lid se voorneme om te bedank.
- 4.9.3 Na die verstryking van die 14 dae-tydperk vermeld in reël 4.9.2 en voor die beoogde datum van bedanking, oorweeg die uitvoerende komitee sodanige kennis van beëindiging en mag hy die bedanking aanvaar hetsy onvoorwaardelik of onderworpe aan die voorwaardes wat hy goedvind, of mag hy weier om sodanige bedanking te aanvaar totdat hy tevrede is dat aan alle uitstaande verpligtinge van sodanige lid ingevolge die Reëls voldoen is.
- 4.9.4 Die kennisgewing van beëindiging van lidmaatskap deur 'n lid word nie teruggetrek sonder die skriftelike toestemming van die uitvoerende komitee nie.
- 4.9.5 Die lidmaatskap van 'n lid beëindig wanneer-
- 4.9.5.1 die lid onder kuratorskap geplaas word;
 - 4.9.5.2 die lid gelikwadeer word of onder geregtelike bestuur geplaas word, hetsy voorlopig of finaal;
 - 4.9.5.3 die lid om enige rede wat ook al van die Effektebeurs uitgesit word;
 - 4.9.5.4 die lid versuim om binne 30 dae van 'n vonnis gegee teen hom deur 'n hof versuim om stappe te doen om aan die vonnis te voldoen of om dit ter syde te laat stel; of
 - 4.9.5.5 die uitvoerende komitee by skriftelike kennisgewing die lid se lidmaatskap beëindig as gevolg daarvan dat die lid lidmaatskap verkry het deur aan die uitvoerende komitee inligting te verskaf in verband met sy aansoek om lidmaatskap wat bevind word in enige wesentlike opsig vals of misleidend te wees.
- 4.9.6 Die naam van 'n lid wie se lidmaatskap beëindig is en die datum waarop die beëindiging van krag word, word deur die uitvoerende komitee deur middel van 'n kennisgewing aan lede bekend gemaak.

AFDELING 5 - GENOTEERDE FINANSIËLE INSTRUMENTE**5.1 BEVOEGDHEID OM FINANSIËLE INSTRUMENTE TE NOTEER**

- 5.1.1 Die uitvoerende komitee het die bevoegdheid-
- 5.1.1.1 om 'n notering op die Effektebeurs te verleen ten opsigte van finansiële instrumente; en
 - 5.1.1.2 om van tyd tot tyd die minimumvereistes voor te skryf waaraan 'n uitreiker moet voldoen-
 - 5.1.1.2.1 voordat aan 'n finansiële instrument wat deur so 'n uitreiker uitgereik staan te word, 'n notering verleen word; en
 - 5.1.1.2.2 terwyl die finansiële instrument uitgereik deur so 'n uitreiker genoteer bly.
- 5.1.2 Die minimum inligting wat 'n uitreiker ingevolge reël 5.1.1.2 moet voorlê, sluit in, maar is nie beperk nie tot-
- 5.1.2.1 die naam van die uitreiker en finansiële instrument;
 - 5.1.2.2 die uitgiftedatum, koepkoers, betalingsperiodes, betalingsdatums en vervaldatum;
 - 5.1.2.3 'n afskrif van die besluit van die beherende liggaam van die uitreiker wat die uitreiking van finansiële instrumente magtig;
 - 5.1.2.4 'n afskrif van die bepalinge van die Wet waarkragtens sodanige finansiële instrumente uitgereik en genoteer staan te word;
 - 5.1.2.5 'n afskrif van enige toepaslike regeringswaarborg ten opsigte van die finansiële instrumente;
 - 5.1.2.6 'n afskrif van die uitgiftedokument of prospektus; en
 - 5.1.2.7 afskrifte van alle bemarkingsmateriaal gebruik in verband met die oorspronklike uitgifte van die finansiële instrumente.

5.2 KONSOLIDASIE, OPSKORTING, VERWYDERING OF VERANDERING VAN NOTERINGSVEREISTES

- 5.2.1 Die uitvoerende komitee het die bevoegdheid om van tyd tot tyd-
- 5.2.1.1 'n vereiste vir die notering van finansiële instrumente voorgeskryf voor of na die verlening van 'n notering; en
 - 5.2.1.2 'n notering van 'n finansiële instrument, te konsolideer, op te skort, te verwyder of te verander, en die omstandighede voor te skryf waaronder so 'n notering gekonsolideer, opgeskort, verwyder of verander moet of kan word.
- 5.2.2 Die uitvoerende komitee kan na goeëddunke aan die lede en die publiek bekend maak of laat bekend maak dat hy-
- 5.2.2.1 genoteerde finansiële instrumente gekonsolideer het;
 - 5.2.2.2 die notering van enige finansiële instrument opgeskort het;
 - 5.2.2.3 enige genoteerde finansiële instrument verwyder het van die lys van finansiële instrumente bedoel in reël 5.2.1; en
 - 5.2.2.4 die notering van enige finansiële instrument verander het, en publiseer die redes vir sodanige konsolidasie, opskorting, verwydering of verandering, na gelang van die geval, in die geheel of gedeeltelik soos dit nodig geag word.
- 5.2.3 Die uitvoerende komitee stel na goeëddunke die lede en die publiek in kennis of laat hulle in kennis stel dat hy 'n transaksie in 'n genoteerde finansiële instrument ondersoek het.
- 5.2.4 Die hoof- uitvoerende beampte kan te eniger tyd in enige nuusblad of ander periodieke publikasie enige verklaring gemaak ingevolge reëls 5.2.2 en 5.2.3 publiseer, of laat publiseer, of die eienaar of uitgewer van so 'n nuusblad of ander periodieke publikasie toelaat of magtig om dit aldus te publiseer.

5.3 GELDE EN HEFFINGS

- 5.3.1 Die uitvoerende komitee kan gelde of heffings opleë aan uitreikers ten opsigte van genoteerde finansiële instrumente teen die tariëwe wat deur die uitvoerende komitee van tyd tot tyd bepaal word.
- 5.3.2 Gelde en heffings is betaalbaar deur sodanige uitreikers, op die tye, en op die wyse wat die uitvoerende komitee van tyd tot tyd bepaal.

AFDELING 6 - HANDELDRYF**6.1 KLIËNT-TRANSAKSIES**

- 6.1.1 'n Finansiële instrument-handelaar sluit nie transaksies met of namens 'n kliënt nie tensy die kliënt geregistreer is vir rapporteringsdoeleindes by die Effektebeurs, op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal.
- 6.1.2 Onderworpe aan reël 10.2.2.3 moet 'n finansiële instrument-handelaar wat genoteerde finansiële instrumente van 'n kliënt koop of aan hom verkoop op sy eie rekening die betrokke kliënt vooraf in kennis stel dat daardie genoteerde finansiële instrumente deur die finansiële instrument-handelaar gekoop of verkoop staan te word op eie rekening.
- 6.1.3 'n Finansiële instrument-handelaar wat genoteerde finansiële instrumente koop of verkoop namens 'n kliënt moet 'n gelyke en teenoorgestelde transaksie met 'n teenparty sluit, welke transaksie teen dieselfde prys en op dieselfde voorwaardes moet wees as die instruksies gegee deur die kliënt: Met dien verstande dat-
- 6.1.3.1 die finansiële instrument-handelaar aanspreeklik bly teenoor die kliënt vir die behoorlike nakoming deur die teenparty, met inbegrip van 'n teenparty by 'n deursit-transaksie, van al die verpligtinge van die teenparty aan die kliënt ingevolge die transaksie; en
 - 6.1.3.2 enige eis deur 'n kliënt ten opsigte van 'n transaksie teen die finansiële instrument-handelaar wat die transaksie aangegaan het namens die kliënt, sal wees, en nie teen enige ander lid of kliënt van so 'n lid wat 'n teenparty tot die transaksie is nie.
- 6.1.4 'n Finansiële instrument-handelaar moet, binne vier-en-twintig uur na die aangaan van 'n transaksie met of namens 'n kliënt, aan daardie kliënt 'n transaksienota uitreik wat die bepalinge van die transaksie uiteensit en die kommisie of ander gelde gehef, aandui.

6.2 LID-TRANSAKSIES

Alle transaksies tussen lede word aangegaan op 'n prinsipaal tot prinsipaal grondslag en geen lid gaan 'n transaksie aan as 'n kliënt nie.

6.3 STATUS

'n Lid gee vooraf skriftelik kennis aan die uitvoerende komitee of die lid verkies om handel te dryf op die vloer of deur middel van 'n skerm en telefoon of beide, en stel die uitvoerende komitee skriftelik vooraf in kennis van enige verandering van die lid se handeldrywende status.

6.4 KWALIFIKASIE VIR HANDELDRYF

6.4.1 'n Lid verseker dat alle handelaars in diens by die lid paslik gekwalifiseer is.

6.4.2 'n Lid verseker dat slegs handelaars geregistreer deur die lid by die Effektebeurs ooreenkomstig die Reëls toegelaat word om transaksies in genoteerde finansiële instrumente aan te gaan.

6.5 HANDELSTYF

6.5.1 Handel op die Effektebeurs geskied slegs op besigheidsdae tensy anders deur die uitvoerende komitee bepaal.

6.5.2 Die besigheidsdae waarop die Effektebeurs vir die doeleindes van besigheid gesluit is, word by wyse van 'n kennisgewing aan lede gepubliseer.

6.5.3 Handel op die Effektebeurs word op enige besigheidsdag toegelaat vir 'n tydperk van 24 uur of die ander tydperk van tyd tot tyd deur die uitvoerende komitee bepaal. Met dien verstande dat die tyd waartydens handel op die vloer mag geskied, ook van tyd tot tyd deur die uitvoerende komitee bepaal word en by wyse van 'n kennisgewing aan die lede gepubliseer word.

6.6 HANDELSFASILITEITE

6.6.1 Die minimum handels- en rapporteringsfasiliteite wat deur die Effektebeurs voorsien staan te word, word van tyd tot tyd deur die uitvoerende komitee bepaal.

6.6.2 'n Lid kan 'n opname maak van enige gesprek met betrekking tot die uitvoering van 'n kontrak, welke opname as getuienis kan dien in enige geskil bedoel in reël 13.1.1.

6.7 MARKPRYSE

'n Lid kan 'n genoteerde finansiële instrument op die vloer adverteer deur middel van 'n skerm en telefoon aan ander lede of aan kliënte. Met dien verstande dat behalwe met die vooraf toestemming van die uitvoerende komitee geen lid in enige formaat genoteerde finansiële instrumente-pryse of statistiek deur middel van nie-lede mag adverteer of publiseer of laat adverteer of publiseer nie.

6.8 HANDELSPROSEDURES

6.8.1 Die standaard handelsgrootte ten opsigte van genoteerde finansiële instrumente is eenmiljoen rand nominaal.

6.8.2 'n Finansiële instrument-handelaar voer 'n transaksie namens 'n kliënt uit voor die uitvoering van 'n transaksie in dieselfde genoteerde finansiële instrument vir eie rekening. Met dien verstande dat in die geval dat 'n finansiële instrument-handelaar nie in staat is om die transaksie uit te voer namens die kliënt voor 'n transaksie op eie rekening nie, moet die finansiële instrument-handelaar verseker dat die transaksie namens die kliënt aangegaan word teen 'n opbrengs wat nie ongunstiger is dan daardie van die transaksie aangegaan op eie rekening nie.

6.9 RAPPORTERING EN PARING VAN TRANSAKSIES

6.9.1 Alle transaksies in genoteerde finansiële instrumente aangegaan deur 'n lid word deur die lid onafhanklik gerapporteer aan die erkende verrekeningshuis binne die tyd en op die wyse van tyd tot tyd deur die uitvoerende komitee bepaal.

6.9.2 'n Transaksie gerapporteer aan die erkende verrekeningshuis op die wyse bepaal kragtens reël 6.9.1, word geag 'n bindende gepaarde transaksie te wees slegs wanneer 'n gepaste transaksie-verwysingsnommer deur die erkende verrekeningshuis gegenereer is.

6.9.3 'n Gepaste transaksie-verwysingsnommer-

6.9.3.1 is afdoende getuienis van die bindende gepaarde transaksie;

- 6.9.3.2 dien as aanvaarbare bekragtiging van die besonderhede van die transaksie gerapporteer deur die lid; en
- 6.9.3.3 bind die partye ten opsigte van hul verpligtinge ten opsigte van die transaksie.

6.10 BEPERKINGS OP HANDELDRYF

- 6.10.1 'n Direkteur, beampte, handelaar of werknemer van 'n lid kan 'n kliënt wees van daardie lid vir sy eie rekening of vir 'n rekening waarin die direkteur, beampte, handelaar of werknemer 'n voordelige belang het: Met dien verstande dat transaksies aangegaan apart aangeteken en geïdentifiseer word in die rekeningkundige rekords van die lid, maar word andersins behandel op dieselfde wyse en onderworpe aan dieselfde terme en voorwaardes wat van toepassing is op transaksies van ander kliënte van die lid.
- 6.10.2 'n Transaksie gesluit ingevolge reël 6.10.1 word gerapporteer aan en gemoniteer deur die voldoeningsbeampte of plaasvervangende voldoeningsbeampte van die lid, welke voldoeningsbeampte of plaasvervangende voldoeningsbeampte onafhanklik van die betrokke direkteur, beampte, handelaar of werknemer sal wees en kontroles moet in stand hou om te verseker dat die handelsdryf deur en gedrag van die direkteur, beampte, handelaar of werknemer nie nadelig is vir die belange van die lid of die lid se ander kliënte nie.
- 6.10.3 Geen beampte of werknemer van die Effektebeurs, of enige persoon ge-assosieer met so 'n beampte of werknemer gaan enige transaksies aan nie, hetsy vir eie rekening of namens ander persone.
- 6.10.4 'n Direkteur, beampte, handelaar of werknemer van 'n lid kan 'n kliënt wees van 'n ander lid vir sy eie rekening of vir 'n rekening waarin die direkteur, beampte, handelaar of werknemer 'n voordelige belang het: Met dien verstande dat-
 - 6.10.4.1 hy die vooraf skriftelike toestemming het van die voldoeningsbeampte van die lid van wie hy 'n direkteur, beampte, handelaar of werknemer is;
 - 6.10.4.2 hy vooraf skriftelik aan die ander lede openbaar dat die rekening vir die doeleindes van handelsdryf op eie rekening is; en
 - 6.10.4.3 'n gesertifiseerde afskrif van die skriftelike toestemming ingevolge reël 6.10.4.1 en kennisgewing ingevolge reël 6.10.4.2 na die hoof- uitvoerende beampte deurgestuur word by die verlening van sodanige goedkeuring.

6.11 ONREDELIKE TRANSAKSIES

- 6.11.1 Waar, vanweë 'n gebrek aan duidelikheid in die gepubliseerde inligting beskikbaar ten tyde van 'n transaksie, 'n lid handel dryf in 'n hoeveelheid of teen 'n prys wat na die oordeel van die uitvoerende komitee onredelik is, kan die uitvoerende komitee na oorleg met die lid en betrokke kliënt so 'n transaksie nietig verklaar; en kan ooreenstemmend die transaksie van die ander lid of lid en kliënt, na gelang van die geval, wie 'n party tot daardie transaksie is, nietig verklaar.
- 6.11.2 'n Verklaring ingevolge reël 6.11.1 is bindend op die lid wat sodanige transaksie aangegaan het en op die kliënt met wie of namens wie die transaksie uitgevoer is; en is ooreenstemmend ook bindend op die ander lid of lid en kliënt, na gelang van die geval, tot daardie transaksie.

6.12 NOODAKSIES

- 6.12.1 Indien na die oordeel van die uitvoerende komitee enige omstandighede wat noodaksies verg vir die ordelike verloop van handelsdryf op die Effektebeurs of die behoorlike uitvoering van transaksies, ontwikkel het of besig is om te ontwikkel, kan die uitvoerende komitee, behoudens die vooraf skriftelike toestemming van die Registrateur, die stappe doen wat dit nodig ag om die ordelike verloop van handelsdryf of die behoorlike uitvoering van transaksies moontlik te maak.
- 6.12.2 Omstandighede wat ressorteer binne die bestek van reël 6.12.1 kan insluit transaksies nog nie vereffen ingevolge Afdeling 7 van die Reëls nie, 'n oorlogstoestand of dreigende vyandelikhede, die instelling van amptelike kontroles wat die mark raak of behoorlike uitvoering ten opsigte van transaksies in genoteerde finansiële instrumente, of enige verandering in sodanige kontroles, enige ander verandering in regsbepalings of administratiewe of finansiële praktyk betreffende die Effektebeurs of behoorlike uitvoering ten opsigte van transaksies, of enige ander onwenslike situasie of praktyk.
- 6.12.3 In die geval waar enige van die omstandighede beoog in hierdie reël voorkom, moet die hoof- uitvoerende beampte in oorleg met die hoof- uitvoerende beamptes van ander gelisensieerde beurse 'n komitee saamstel onder die voorsitterskap van die Registrateur om 'n ooreengekome plan van aksie wat deur die Effektebeurs en die gelisensieerde beurse uitgevoer staan te word, te bespreek en te implementeer.

- 6.12.4 Waar enige beperking of opskorting van handeldryf verklaar word, moet die uitvoerende komitee by kennisgewing aan die lede bekend maak-
- 6.12.4.1 die rede vir die beperking of opskorting;
 - 6.12.4.2 die tydperk van die beperking of opskorting; en
 - 6.12.4.3 die tyd wanneer die volgende uitvoerende komiteevergadering gehou sal word om die beperking of opskorting te hersien.
- 6.12.5 Waar 'n beperking of opskorting van handeldryf verklaar word, moet die uitvoerende komitee die plan van aksie met betrekking tot transaksies nog nie voor die beperking of opskorting vereffen nie, bepaal.

AFDELING 7 - VEREFFENING

- 7.1 Die wyse en voorwaardes van vereffening ten opsigte van transaksies in genoteerde finansiële instrumente word van tyd tot tyd deur die uitvoerende komitee bepaal: Met dien verstande dat-
- 7.1.1 elke lid voldoen aan die vereffeningsprosedure voorgeskryf deur die vereffeningsagent aangestel deur die lid;
 - 7.1.2 vereffening gegrond word op skrip- en fondsrekening-balanse deur die lid by die vereffeningsagent gehou; en
 - 7.1.3 vereffeningsagente verplig is om vereffening te bewerkstellig sodra tevrede dat alle rekeninge befonds is.
- 7.2 Vereffening geskied ooreenkomstig die bepalings van die vereffeningskedules voorsien deur die erkende verrekeningshuis en daarbenewens-
- 7.2.1 moet die lid 'n ooreenkoms sluit met die verrekeningsagent;
 - 7.2.2 moet die lid verseker dat die verpligtinge van die lid nagekom word ooreenkomstig die verrekeningsagent-ooreenkoms;
 - 7.2.3 moet die lid skrip- en fondsrekenings met die verrekeningsagent in stand hou ten einde verrekening te bewerkstellig en niks in die Reëls vervat, verhinder die lid om meer as een verrekeningsrekening of verrekeningsagent aan te hou nie;
 - 7.2.4 moet die verrekening 'n netto-verrekening wees; en
 - 7.2.5 geskied verrekening op die verrekeningsdatum.
- 7.3 In die geval dat 'n vereffeningsagent weier om die netto-vereffeningsposisie van 'n lid of 'n kliënt van 'n lid te vereffen, moet die lid daardeur geraak onverwyld die hoof- uitvoerende beampte van daardie feit verwittig: Met dien verstande dat so 'n vereffeningsversuim bestuur sal word deur die hoof- uitvoerende beampte behoorlik gemagtig deur die uitvoerende komitee.
- 7.4 Waar 'n kliënt nie 'n vereffeningsagent aangestel het nie, moet die lid met wie of deur wie die kliënt handel gedryf het vereffening bewerkstellig op die wyse ooreengekom tussen die lid en kliënt: Met dien verstande dat so 'n ooreenkoms nie die betrokke lid onthef van enige verpligtinge wat teenoor die lid se vereffeningsagent mag bestaan nie.

AFDELING 8 - SKEIDING TUSSEN FINANSIËLE INSTRUMENTE EN ANDER BATES

- 8.1 'n Lid moet-
- 8.1.1 te alle tye die finansiële instrumente en ander bates van 'n ander lid of van 'n kliënt identifiseer en afsonderlik hou van die lid se eie bates;
 - 8.1.2 nie die finansiële instrumente of ander bates van 'n ander lid of kliënt vermeng met sy eie nie;
 - 8.1.3 nie die finansiële instrumente of ander bates wat behoort aan 'n ander lid of kliënt gebruik om die lid se eie transaksies of die transaksies van 'n ander persoon te finansier nie; en
 - 8.1.4 nie die finansiële instrumente of ander bates van 'n ander lid of kliënt gebruik om sy eie besigheid te dryf nie.

AFDELING 9 - REKORDDOUDING DEUR LEDE

9.1 HANDELSREKORDS GEHOU DEUR 'N LID

- 9.1.1 'n Lid hou rekord of laat sodanige rekords hou, van -
- 9.1.1.1 die datum, tyd en plek van transaksies aangegaan met of namens kliënte, of met ander lede, en hou afsonderlike rekords wat die datum, tyd en aard van die lid se eie verhandelinge en die besonderhede van fondse gebruik vir daardie verhandelinge aantoon;
 - 9.1.1.2 alle transaksies aangegaan maar nog nie vereffen nie met ander lede en met of namens elk van die lid se kliënte; en
 - 9.1.1.3 die skeiding tussen fondse, finansiële instrumente en ander bates soos beoog in Afdeling 8 van die Reëls.
- 9.1.2 Die rekords bedoel in hierdie reël word gehou vir 'n tydperk nie minder nie as vyf jaar vanaf die datum van aangaan van 'n transaksie deur die lid, en word gehou in 'n vorm wat dit moontlik sal maak dat die rekords gepas en behoorlik geouditeer kan word.

9.2 FINANSIËLE REKORDS GEHOU DEUR 'N LID

'n Lid hou die finansiële rekords soos van tyd tot tyd deur die uitvoerende komitee bepaal.

9.3 FINANSIËLE HULPBRONNEVEREISTES

- 9.3.1 By toelating as 'n lid en te alle tye daarna, moet 'n lid eie fondse hou, soos van tyd tot tyd deur die uitvoerende komitee bepaal, wat voldoende is om die lid se aanvanklike kapitaalvereiste en risikovereiste te dek.
- 9.3.2 Die aanvanklike kapitaalvereiste vermeld in reël 9.3.1 moet die grootste wees van-
- 9.3.2.1 'n bedrag van tyd tot tyd deur die uitvoerende komitee bepaal wat voldoende is om die bedryfskoste van die lid vir 'n tydperk van 13 weke te dek; of
 - 9.3.2.2 òf-
 - 9.3.2.2.1 R200 000 in die geval van 'n lid wat nie toegang het tot die bates of finansiële instrument van 'n kliënt sonder verwysing na die kliënt of die kliënt se agent nie; òf
 - 9.3.2.2.2 R400 000 in alle ander gevalle.
- 9.3.3 Die risikovereiste vermeld in reël 9.3.1 is die som van die lid se posisie-risikovereiste, teenparty-risikovereiste, groot blootstellings- risikovereiste en buitelandse risikovereiste, soos van tyd tot tyd deur die uitvoerende komitee bepaal.
- 9.3.4 Die hoof- uitvoerende beampte stel in en hou in stand geskikte prosedures om te verseker dat voldoende inligting voorgelê word deur lede om die Effektebeurs in staat te stel om doeltreffend voldoening aan die bepalings van reël 9.3 te monitor.
- 9.3.5 Waar 'n lid versuim om op enige wyse te voldoen aan die bepalings van reël 9.3 en versuim om onverwyld sodanige nie-voldoening reg te stel na aldus skriftelik versoek deur die hoof- uitvoerende beampte, handel die hoof- uitvoerende beampte ooreenkomstig die bepalings van reël 12.1.1
- 9.3.6 Waar die beweerde nie-voldoening deur die lid, bedoel in reël 9.3.5, gerapporteer word aan die Dissiplinêre Tribunaal ingevolge reël 12.1.3, moet die Dissiplinêre Tribunaal, waar die lid skuldig is aan sodanige nie-voldoening, 'n boete opleë van 'n bedrag wat die minste is van-
- 9.3.6.1 vyf persent van die tekort in eie fondse wat vereis word deur die lid gehou te moet word ooreenkomstig die Reëls; of
 - 9.3.6.2 R25 000, vir elke dag vanaf die eerste datum van sodanige nie-voldoening waarop die tekort voorgekom het. Met dien verstande dat die Dissiplinêre Tribunaal enige bykomstige straf kan opleë wat die Tribunaal onder die omstandighede gepas ag.
- 9.3.7 Die finansiële hulpbronnevereistes gespesifiseer in hierdie reël moet op geen tydstip minder wees nie as die minimum vereistes van tyd tot tyd deur die Registrateur voorgeskryf.

9.4 VOORLEGGING VAN GEODITEERDE FINANSIËLE INLIGTING

- 9.4.1 'n Lid moet binne vier maande na die einde van die lid se finansiële jaar aan die hoof- uitvoerende beampte voorlê-
- 9.4.1.1 twee afskrifte van die lid se geouditeerde jaarlikse finansiële state;
 - 9.4.1.2 twee afskrifte van 'n geouditeerde sertifikaat wat die volgende sertifiseer-
 - 9.4.1.2.1 voldoening aan die finansiële vereistes van die Wet en die Reëls; en

9.4.1.2.2 dat die raad van direkteure van die lid voldoende prosedures toegepas het by die beoordeling van die vlak van getrouheids-versekeringsdekking gehou deur die lid ooreenkomstig reël 16.4.

- 9.4.2 Ondanks die bepalings van reël 9.4.1, kan die uitvoerende komitee te eniger tyd van enige lid finansiële verslae versoek.
- 9.4.3 Waar 'n lid ophou om in daardie hoedanigheid te opereer, moet die lid sy rekeningkundige rekords laat ouditeer soos vereis deur die Wet.
- 9.4.4 Enige oudit vereis ingevolge reël 9.4.1 word verrig deur 'n ouditeur geregistreer kragtens die Wet op Openbare Rekenmeesters en Ouditeurs, 1991 (Wet No. 80 van 1991), en die aanstelling van so 'n ouditeur word skriftelik aan die uitvoerende komitee bekend gemaak. Met dien verstande dat 'n lid skriftelik aan die hoof- uitvoerende beampte aan die einde van elke finansiële jaar sal bekragtig dat die lid die dienste van 'n ouditeur verkry het.
- 9.4.5 'n Lid moet onmiddellik skriftelik enige verandering van sy ouditeur aan die Registrateur en die uitvoerende komitee bekend maak.

9.5 RISIKOBESTUUR VAN TRANSAKSIES

Die wyse waarop en die voorwaardes onderworpe waaraan risikobestuur van transaksies sal geskied, word van tyd tot tyd deur die uitvoerende komitee bepaal.

AFDELING 10 - VOORGESKREWE OOREENKOMSTE

10.1 ALGEMEEN

- 10.1.1 Enige skriftelike ooreenkoms aangegaan ingevolge reël 4.4.1.3 moet minstens die volgende terme en voorwaardes bevat-
- 10.1.1.1 dat die bepalings gebruik in die ooreenkoms dieselfde betekenis sal dra as geheg daaraan in die Wet en die Reëls;
- 10.1.1.2 dat die partye tot die ooreenkoms erken dat hulle die Wet en die Reëls gelees het, vertrouwd daarmee is en daardeur gebind sal wees;
- 10.1.1.3 dat in die mate van 'n konflik tussen die Reëls en die bepalings van die ooreenkoms aangegaan tussen die partye, die Reëls sal oorheers;
- 10.1.1.4 dat enige party die ooreenkoms kan beëindig deur aan die ander party 30 dae skriftelike kennis te gee, of sodanige ander kennistydperk waarop die partye skriftelik mag ooreenkom, wat die datum van beëindiging spesifiseer. Met dien verstande dat enige sodanige beëindiging op geen wyse wat ook al enige regte en verpligtinge van enigeen van die partye tot die ooreenkoms wat mag ontstaan het of bestaan op die datum van die kennisgewing of op die datum van beëindiging van die ooreenkoms, sal raak nie;
- 10.1.1.5 dat enige geskil tussen die partye wat voortkom of voortspruit uit die implementering of interpretasie van die ooreenkoms, tensy deur die partye daartoe ooreengekom, verwys sal word na of beslis sal word deur of bemiddeling of arbitrasie, op welke prosedure ook al deur die betrokke partye ooreengekom is; en
- 10.1.1.6 dat die ooreenkoms beheers sal word en uitgelê sal word ooreenkomstig die reg van die Republiek van Suid-Afrika.

10.2 LID-KLIËNT - OOREENKOMS

- 10.2.1 'n Finansiële instrument-handelaar moet, voordat hy die besigheid dryf van die koop en verkoop van genoteerde finansiële instrumente met of namens 'n kliënt, 'n skriftelike ooreenkoms ten opsigte van die handelsaktiwiteite met die kliënt aangaan.
- 10.2.2 'n Ooreenkoms aangegaan ooreenkomstig reël 10.2.1 moet bepalings bevat bykomstig tot die vereistes van reël 10.1 om gevolg te gee aan die volgende-
- 10.2.2.1 dat die kliënt die lid aanstel om transaksies aan te gaan of met die kliënt, op 'n prinsipaal tot prinsipaal grondslag, of namens die kliënt op 'n agentskapsgrondslag, soos van tyd tot tyd deur die kliënt gelas;
- 10.2.2.2 dat die kliënt aan die Effektebeurs of sy erkende verrekeningshuis alle kliënte-inligting verskaf wat vereis word vir die rapportering van transaksies soos van tyd tot tyd deur die uitvoerende komitee voorgeskryf;
- 10.2.2.3 dat voordat transaksies aangegaan word met of namens die kliënt, die lid moet aandui aan die kliënt of die lid optree as prinsipaal of as agent;

- 10.2.2.4 dat die lid moet handeldryf met of namens 'n kliënt slegs ooreenkomstig redelike opdragte ontvang deur die kliënt soos deur die Reëls vereis;
- 10.2.2.5 dat in die geval waar die kliënt nie in staat is om verpligtinge voortspruitende uit 'n transaksie na te kom nie, die lid al die verpligtinge van die kliënt ten opsigte van daardie transaksie sal nakom;
- 10.2.2.6 dat in die geval waar die kliënt nie in staat is om die verpligtinge voortspruitende uit 'n transaksie met 'n lid na te kom nie, waar die lid as prinsipaal optree, die lid die teenparty-risiko met die kliënt sal dra;
- 10.2.2.7 dat in die geval waar die lid nie in staat is om die verpligtinge voortspruitende uit 'n transaksie aangegaan op 'n prinsipaalgrondslag met 'n kliënt na te kom nie, die kliënt die teenparty-risiko met die lid sal dra; en
- 10.2.2.8 dat die kliënt onderneem om die gelde, heffings, kostes en kommissies, soos skriftelik ooreengekom met die lid van tyd tot tyd ten opsigte van dienste verskaf deur die lid, te betaal.

10.3 LID-DIENSVERSKAFFER - OOREENKOMS

'n Lid moet, voor die dryf van besigheid van koop en verkoop van genoteerde finansiële instrumente, 'n skriftelike ooreenkoms aangaan met 'n diensverskaffer vir die voorsiening van elektroniese handelsrapporterings-fasiliteite aan die lid.

10.4 LID-VEREFFENINGSAGENT - OOREENKOMS

'n Lid moet, voor die dryf van besigheid van koop en verkoop van genoteerde finansiële instrumente, 'n skriftelike ooreenkoms aangaan met 'n vereffeningsagent vir die voorsiening van elektroniese vereffenings-fasiliteite aan die lid.

10.5 LID-WERKNEMER - OOREENKOMS

'n Lid neem nie enige direkteur, beampte, handelaar of ander persoon betrokke by die bestuur of die administrasie van verhandelinge in diens nie tensy so 'n persoon 'n skriftelike ooreenkoms met die lid aangegaan het ingevolge waarvan die persoon ooreenkom om te voldoen aan die bepalings van die Wet en die Reëls.

AFDELING 11 - GEDRAG, ETIEK EN ADVERTERING

11.1 GEDRAG

Geen lid, beampte, handelaar of ander persoon betrokke by die bestuur of administrasie van verhandelinge mag enige handeling verrig of enige gedrag onderneem wat waarskynlik die Effektebeurs in diskrediet sal bring nie, en mag in besonder nie-

- 11.1.1 'n valse of misleidende skyn van aktiewe handeldryf of valse of misleidende skyn met betrekking tot die mark vir, of die prys van verhandeling in, genoteerde finansiële instrumente skep, of laat skep, of enigiets doen wat daarop bereken is, nie;
- 11.1.2 regstreeks of onregstreeks deelneem nie aan 'n transaksie in 'n genoteerde finansiële instrument wat bedoel is om die effek te hê-
 - 11.1.2.1 om 'n kunsmatige prys vir verhandeling in genoteerde finansiële instrumente te skep; of
 - 11.1.2.2 'n vlak te handhaaf wat kunsmatig is as prys vir verhandeling in finansiële instrumente;
- 11.1.3 deur middel van enige denkbewoegde of kunsmatige transaksie of kunsgreep die prys van verhandeling in genoteerde finansiële instrumente handhaaf, opdryf of onderdruk of fluktuasies daarin veroorsaak nie;
- 11.1.4 verklarings maak of inligting versprei nie wat die lid weet, of redelikerwys behoort te weet, vals of misleidend is-
 - 11.1.4.1 en waarskynlik ander persone sal aanmoedig om handel te dryf of daarvan sal onthoud;
 - 11.1.4.2 en waarskynlik die gevolg sal hê om die prys vir verhandeling van genoteerde finansiële instrumente op te blaas, te onderdruk of te handhaaf;
 - 11.1.4.3 betreffende die dienste wat 'n lid in staat is om aan 'n kliënt te lewer;
 - 11.1.4.4 betreffende die kwalifikasies en bevoegdheid van die lid; of
 - 11.1.4.5 betreffende die beleggingsprestasie wat die lid bereik het of redelikerwys kan verwag om vir 'n kliënt te bereik;
- 11.1.5 'n verklaring maak of inligting versprei aan die Effektebeurs, die uitvoerende komitee of die erkende verrekeningshuis wat die lid weet, of redelikerwys behoort te weet, vals of misleidend is nie;

- 11.1.6 deur-
- 11.1.6.1 enige verklaring, belofte of voorspelling te maak of te publiseer wat die lid weet misleidend, vals of bedrieglik is; of
- 11.1.6.2 die verberging van wesentlike inligting tot die lid se beskikking, 'n ander persoon oorreëd om handel te dryf of om hom te weerhou van die dryf van handel nie;
- 11.1.7 'n eie rekening-transaksie of 'n transaksie met 'n kliënt teweegbring nie wanneer die lid bewus is dat die teweegbring van die transaksie deur statutêre beperkings op binnekennistransaksies verbied is;
- 11.1.8 transaksies aangaan met of namens 'n kliënt anders as ooreenkomstig die redelike opdragte ontvang van die kliënt nie;
- 11.1.9 transaksies uitvoer namens 'n kliënt anders as in die beste belang van die kliënt nie;
- 11.1.10 enige ooreenkoms aangaan met 'n kliënt of gevolg gee aan enige bepaling van 'n ooreenkoms met 'n kliënt, indien die gevolg, waarskynlike gevolg of voorgegewe gevolg van die ooreenkoms is om die aanspreeklikheid uit te sluit of te beperk van daardie lid, beamppte, handelaar of ander persoon betrokke by die bestuur of administrasie van verhandelinge teenoor die kliënt vir nalatigheid, bedrog, of oneerlikheid, gepleeg deur die lid, beamppte, handelaar of ander persoon betrokke by die bestuur of administrasie van verhandelinge, met betrekking tot handelsaktiwiteite met of namens die kliënt; of
- 11.1.11 enige beamppte, handelaar of werknemer toelaat om die statutêre beperkings op ongevraagde oproepe, soos voorsien in artikel 25 van die Wet, te omseil nie.

11.2 ETIEK

- Enige lid, beamppte, handelaar of persoon betrokke by die bestuur of administrasie van verhandelinge, moet-
- 11.2.1 met integriteit, waardigheid, behoorlike vaardigheid, sorg en ywer optree, en onafhanklike professionele oordeel ten opsigte van 'n verhandeling uitoefen;
- 11.2.2 volle en akkurate inligting, met inbegrip van inligting betreffende gelde soos beoog in reël 11.2.6, te alle tye aan kliënte verskaf;
- 11.2.3 kennis in stand hou oor en voldoen aan alle toepaslike wette, reëls en regulasies van die regering, owerheidsagentskappe en regulatoriese organisasies wat die lid se aktiwiteite ten opsigte van verhandelinge beheers;
- 11.2.4 nie deelneem nie aan, bystand verleen aan of kennis weerhou van enige handeling in stryd met 'n toepaslike wet, reël of etiese kode wat die lid se aktiwiteite ten opsigte van verhandelinge beheers;
- 11.2.5 nie regstreeks of onregstreeks enige geskenk of aanmoediging ten opsigte van 'n verhandeling aanbied, solisiteer of aanvaar nie, uitgesonderd enige gelde beoog in reël 11.2.6 of die ooreengekome vergoeding ten opsigte van 'n verhandeling;
- 11.2.6 verseker dat gelde gevra vir dienste billik en redelik is;
- 11.2.7 nie 'n kliënt adviseer om genoteerde finansiële instrumente te koop of te verkoop met die primêre bedoeling om die lid se inkomste te vermeerder nie;
- 11.2.8 die vertroulikheid van inligting oorgedra deur 'n kliënt betreffende aangeleenthede binne die bestek van die vertroulike verhouding handhaaf, tensy deur die reg vereis om die inligting openbaar te maak;
- 11.2.9 spoedig optree ooreenkomstig 'n kliënt se opdragte, tensy die lid 'n diskresie het met betrekking tot tydsberekening en daardie diskresie op 'n verantwoordelike wyse aanwend;
- 11.2.10 'n kliënt vooraf verwittig van enige beperkings of inperkings betreffende die toegang van die kliënt tot sy fondse of finansiële instrumente onder beheer van die lid en, in die afwesigheid van sodanige beperking of inperking, moet die lid verseker dat 'n kliënt wat toegang versoek tot sy fondse of finansiële instrumente, sodanige toegang binne 'n redelike tydsbestek verkry;
- 11.2.11 verseker dat 'n kliënt in staat is om die lid betyds en met gemak te kontak;
- 11.2.12 indien versoek, aan 'n kliënt inligting verskaf wat die lid weet waarskynlik die prestasie van 'n belegging in genoteerde finansiële instrumente sal raak; en
- 11.2.13 nie wetend, met betrekking tot 'n transaksie vir 'n kliënt, waarin die lid 'n wesentlike belang het en wat waarskynlik tot 'n konflik van belange met betrekking tot sodanige transaksie sal aanleiding gee, adviseer of verhandel nie, tensy die lid redelike stappe doen om billike behandeling van die kliënt te verseker.

11.3 ADVERTERING

- 11.3.1 Promosiemateriaal deur of namens enige lid, in die vorm van mondelinge, visuele of dokumentêre voorstelling, gerig aan die publiek deur enige medium van kommunikasie, is aanvaarbaar indien die doel van sodanige advertensie is om 'n potensiele kliënt se aandag te vestig op 'n belegging in finansiële instrumente en die potensiele kliënt aan te moedig om bykomstige inligting oor die belegging te verkry.
- 11.3.2 Die aard of tipe van die belegging of die beleggingsdiens waarmee die advertensie verband hou, moet duidelik wees.

- 11.3.3 Geen lid maak enige kommunikasie aan die publiek of gebruik enige promosiemateriaal nie, wat-
 - 11.3.3.1 vals of misleidend in enige wesentlike opsig is;
 - 11.3.3.2 'n verklaring maak of 'n suggestie bevat dat verhandelinge op die Effektebeurs geskik is vir alle persone;
 - 11.3.3.3 na die moontlikheid van wins verwys tensy vergesel van 'n ewe prominente verklaring oor die risiko van verlies;
 - 11.3.3.4 enige verwysing insluit na òf hipotetiese resultate òf na werklike handelswinste in die verlede sonder om te vermeld dat hierdie hipotetiese of vorige resultate nie noodsaaklikerwys aanduidend van toekomstige resultate is nie; of
 - 11.3.3.5 'n lid of die prestasie van 'n lid vergelyk met 'n ander lid of die prestasie van 'n ander lid.
- 11.3.4 'n Verwysing na die vorige prestasie van 'n beleggingskema moet inligting insluit betreffende die vorige vyf jare of, indien minder, die tydperk vanaf die datum van uitreiking van die belegging.
- 11.3.5 'n Opinieverklarings ingesluit in enige promosiemateriaal moet duidelik geïdentifiseer wees as sodanig, en moet 'n redelike grondslag op die feite hê.
- 11.3.6 Geen advertering geplaas deur 'n lid mag bewoord word op 'n wyse wat bereken is om die beeld van daardie lid te verbeter tot die nadeel van 'n ander lid nie.
- 11.3.7 In die geval dat die uitvoerende komitee oordeel dat 'n lid versuim het om aan enige adverteringsvereistes kragtens hierdie reël te voldoen, mag hy na goeddunke (sonder afbreuk aan sy ander bevoegdhede kragtens die Reëls), vereis dat geen verdere promosie- of bemakingsmateriaal gepubliseer word deur of namens sodanige lid nie tensy dit vooraf aan die uitvoerende komitee voorgelê is en die uitvoerende komitee die lid verwittig het dat die materiaal nie ongeskik vir publikasie is nie.

11.4 OORTREDINGS AAN TE MELD

Elke lid rapporteer aan die hoof- uitvoerende beampte enige oortreding van die Wet of die Reëls betreffende die gedrag, etiek of advertering van 'n ander lid wat tot die lid se aandaag kom.

AFDELING 12 - DISSIPLINêRE AANGELEENTHEDE

12.1 VOORLOPIGE ONDERSOEK

- 12.1.1 'n Beweerde oortreding of nie-nakoming van enige bepaling van die Wet, die Reëls of 'n beslissing of bepaling van die uitvoerende komitee, welke bewering op skrif gestel moet word, word onderwerp aan 'n voorlopige ondersoek deur die hoof- uitvoerende beampte of deur 'n persoon aldus gedelegeer deur die hoof- uitvoerende beampte, wie skriftelik die gedelegeerde persoon se bevindinge aan die hoof- uitvoerende beampte moet rapporteer.
- 12.1.2 Waar 'n beweerde oortreding of nie-nakoming deur 'n lid die onderwerp is van 'n voorlopige ondersoek kragtens reël 12.1.1, kan die hoof- uitvoerende beampte of 'n persoon aldus gedelegeer deur die hoof- uitvoerende beampte die lid, beampte of handelaar of enige ander lid, beampte of handelaar opdrag gee om onverwyld 'n interne ondersoek na die aangeleentheid te onderneem en skriftelik die bevindinge oor so 'n interne ondersoek aan die hoof- uitvoerende beampte of gedelegeerde persoon te rapporteer.
- 12.1.3 Die hoof- uitvoerende beampte in oorleg met die voorsitter moet, waar hulle tevrede is dat daar 'n *prima facie*-saak van oortreding of nie-nakoming deur 'n lid, beampte of handelaar is-
 - 12.1.3.1 die bevindinge rapporteer aan die hoof- uitvoerende beampte of die bevindinge van die gedelegeerde persoon aan die Dissiplinêre Tribunaal;
 - 12.1.3.2 'n boete oplê aan die lid, beampte of handelaar ooreenkomstig die skedule van strawwe deur die uitvoerende komitee van tyd tot tyd bepaal;
 - 12.1.3.3 die lid skors of die registrasie van die beampte of handelaar opskort: Met dien verstande dat die lid, beampte of handelaar kennis gegee word van die voorneme van die voorsitter en die hoof- uitvoerende beampte en 'n geleentheid toegelaat word om verhoër aan die voorsitter en die hoof- uitvoerende beampte te rig; of
 - 12.1.3.4 die lid skriftelik waarsku indien die voorsitter of die hoof- uitvoerende beampte glo dat die oortreding of nie-nakoming nie voldoende ernstig is om optrede ingevolge reëls 12.1.3.1 tot 12.1.3.3 te regverdig nie: Met dien verstande dat reëls 12.1.3.1 tot 12.1.3.3 nie mekaar onderling uitsluit nie.
- 12.1.4 Die voorsitter en die hoof- uitvoerende beampte moet die uitvoerende komitee skriftelik adviseer oor enige voorlopige ondersoek of aksie onderneem ingevolge 12.1.3.1 tot 12.1.3.4.

12.2 AANSTELLING VAN DISSIPLINÊRE TRIBUNAAL

- 12.2.1 'n Dissiplinêre Tribunaal word deur die uitvoerende komitee ingestel wat bestaan uit 'n regter van een van die afdelings van die Hooggeregshof van die Republiek van Suid-Afrika, of 'n advokaat van senior advokaat-status van so 'n Hof met 'n beroepstyd van nie minder nie as tien jaar, wat die voorsitter van die Dissiplinêre Tribunaal sal wees, 'n rekenmeester in publieke praktyk geregistreer as 'n rekenmeester en ouditeur kragtens die Wet op Openbare Rekenmeesters en Ouditeurs, 1991 (Wet No. 80 van 1991), met 'n beroepstyd van nie minder nie as tien jaar, en 'n persoon aangestel op grond van sy kennis van die finansiële markte in die Republiek.
- 12.2.2 Die Dissiplinêre Tribunaal stel 'n sekretaris aan wat die verpligtinge voorgeskryf in hierdie reël en die ander werksaamhede moet uitvoer en verrig wat aan hom van tyd tot tyd deur die voorsitter of die Dissiplinêre Tribunaal gedelegeer of toevertrou word.

12.3 VERRIGTINGE VAN DISSIPLINÊRE TRIBUNAAL

Die Dissiplinêre Tribunaal belê 'n dissiplinêre verhoor by ontvangs van 'n verslag beoog in reël 12.1.3.1 of by ontvangs van 'n appêl deur 'n lid, beampte of handelaar teen 'n boete opgelê kragtens reël 12.1.3.2 of 'n opskorting opgelê kragtens reël 12.1.3.3.

12.4 INSPEKSIES DEUR DISSIPLINÊRE TRIBUNAAL

- 12.4.1 Die Dissiplinêre Tribunaal kan 'n persoon magtig (hieronder "die inspekteur" genoem) wat nie ge-assosieer is met enige lid nie om die sake van 'n lid te ondersoek-
- 12.4.1.1 om te bepaal of die lid of enige beampte, handelaar of werknemer van die lid voldoen aan die bepalings van die Wet, die Reëls en enige beslissing of bepaling van die uitvoerende komitee;
- 12.4.1.2 om te bepaal of die lid handeldryf op so 'n wyse dat as 'n gevolg van sodanige handeldryf so 'n lid nie in staat mag wees om aan sy verpligtinge te voldoen teenoor 'n ander lid, 'n kliënt, die Effektebeurs of die erkende verrekeningshuis nie; of
- 12.4.1.3 om te bepaal of die lid die besigheid van koop en verkoop van genoteerde finansiële instrumente dryf op 'n wyse wat skadelik kan wees vir die belange, goeie naam of welsyn van die Effektebeurs en sy lede.
- 12.4.2 Die inspekteur word voorsien deur die Dissiplinêre Tribunaal van 'n identiteitsdokument wat die inspekteur moet toon op versoek van enige belanghebbende persoon, en het ten opsigte van 'n aangeleentheid wat die onderwerp is van 'n ondersoek, die reg, beperk tot die opdragterme in reël 12.4.1, om sonder voorafgaande kennisgewing -
- 12.4.2.1 enige perseel van 'n lid te betree;
- 12.4.2.2 toegang te hê tot dokumente, boeke, rekords, voorwerpe, opnames van welke aard ook al, of om afskrifte te maak of uittreksels te neem van, of transkripsies te doen van enige sodanige dokumente, boeke, rekords, voorwerpe of opnames, van enige lid;
- 12.4.2.3 enige direkteur, beampte, handelaar, werknemer of verteenwoordiger van die lid te ondervra; en
- 12.4.2.4 inligting aan te vra van die erkende verrekeningshuis.
- 12.4.3 By voltooiing van 'n inspeksie, moet die inspekteur 'n verslag daaroor opstel wat voorgelê moet word aan die Dissiplinêre Tribunaal en die Registrateur. Met dien verstande dat met die toestemming van die Dissiplinêre Tribunaal 'n afskrif van die verslag na die betrokke lid gestuur moet word.
- 12.4.4 Die Dissiplinêre Tribunaal moet of 'n verhoor belê ingevolge reël 12.5 of die aangeleentheid of appêl van die hand wys, na oorweging van die verslag van 'n inspekteur.

12.5 VERHORE VAN DISSIPLINÊRE TRIBUNAAL

- 12.5.1 So'n verhoor neem 'n aanvang binne 21 na die belê daarvan en word voltooi binne een maand na die datum van aanvang, tensy uitgestel met die toestemming van die betrokke lid.
- 12.5.2 Geen lid van die uitvoerende komitee is geregtig om op die Dissiplinêre Tribunaal te dien of om tydens verhoor aanwesig te wees nie tensy so 'n lid van die uitvoerende komitee 'n party tot die verhoor is.
- 12.5.3 Die sekretaris van die Dissiplinêre Tribunaal laat 'n kennisgewing van so 'n verhoor stuur aan die betrokke lid, met vermelding van die tyd en plek van die verhoor, en met besonderhede van die handeling wat die lid beweer word te verrig of nagelaat het, en met aanwysing, waar nodig geag deur die Dissiplinêre Tribunaal, van enige besondere direkteur, beampte, handelaar of werknemer van die lid van wie die Dissiplinêre Tribunaal inligting of enige verduideliking wil ontvang.
- 12.5.4 Die voldoeningsbeampte van die betrokke lid mag in persoon of deur middel van 'n persoon deur hom gemagtig, by die verhoor verskyn.

- 12.5.5 By die verhoor is die betrokke lid-
- 12.5.5.1 geregtig om in argument aangehoor te word;
 - 12.5.5.2 geregtig om getuienis in eie verdediging te lewer en is hy onderworpe aan kruisverhoor;
 - 12.5.5.3 geregtig tot kruisverhoor;
 - 12.5.5.4 geregtig om getuies te roep, wie onderworpe sal wees aan kruisverhoor; en
 - 12.5.5.5 nadat uitspraak gelewer is en voor vonnis opgelê word, geregtig om getuienis ter versagting te lewer: Met dien verstande dat die Dissiplinêre Tribunaal 'n beslissing kan bereik selfs indien die betrokke lid nie verskyn nie, of nie by die verhoor verteenwoordig is nie.
- 12.5.6 Indien die Dissiplinêre Tribunaal die lid skuldig bevind aan die beweerde oortreding of nie-nakoming, kan hy-
- 12.5.6.1 die lid privaas of deur bekendmaking van die besonderhede van die berisping of waarskuwing, berispe of waarsku;
 - 12.5.6.2 die lid sensureer;
 - 12.5.6.3 'n boete aan die lid ople wat nie R1 000 000 oorskry nie;
 - 12.5.6.4 die lidmaatskap van die lid opskort;
 - 12.5.6.5 die lidmaatskap van die lid beëindig;
 - 12.5.6.6 die lid gelas om die indienshouding van 'n beampte of werknemer te beëindig;
 - 12.5.6.7 die lid beveel om nie meer handel te dryf nie;
 - 12.5.6.8 van die lid vereis om stappe te doen om die herhaling van die wangedrag wat aanleiding gegee het tot die verrigtinge te remedieer of te verhinder;
 - 12.5.6.9 van die lid vereis om 'n skriftelike onderneming te gee op die terme wat die Dissiplinêre Tribunaal geskik ag betreffende die toekomstige gedrag van daardie lid; of
 - 12.5.6.10 die registrasie van enige beampte of handelaar wat bevind is 'n party te gewees het tot die oortreding, kansleer: Met dien verstande dat die voorgaande nie onderling uitsluitend van mekaar is nie en dat die Dissiplinêre Tribunaal enige een of meer van sodanige aksies kan neem ten opsigte van die lid.
- 12.5.7 Die Dissiplinêre Tribunaal kan enige bevel tot opskorting of bevel om nie handel te dryf nie, onderworpe stel aan die voorwaardes wat dit goed ag, en kan aan die lid opdragte gee oor die hantering van die lid se sake: Met dien verstande dat geen opskorting van lidmaatskap of bevel om nie handel te dryf nie vir 'n tydperk langer as ses maande sal wees nie.
- 12.5.8 Enige beslissing beoog in reël 12.5.6.4 kan lui om van krag te word, of ophou om van krag te word, by die plaasvind van 'n gebeure of die voldoening aan 'n voorwaarde: Met dien verstande dat indien 'n opskorting lui dat dit voortduur of ophou om voort te duur tot die plaasvind van 'n gebeurtenis of die voldoening aan 'n voorwaarde, die opskorting voortduur ondanks dat die tydperk van ses maande vermeld in reël 12.5.7 verstryk het.
- 12.5.9 Indien enige werknemer van 'n lid, by optrede namens 'n lid, of enige behoorlik gemagtigde persoon by optrede namens 'n lid, met inbegrip van 'n beampte of handelaar, enige handeling verrig of nalaat om te verrig, welke handeling of nalate indien verrig of nagelaat deur die lid, 'n oortreding of nie-nakoming vermeld in reël 12.1.1 sou behels het, word so 'n lid geag die oortreding of die nie-nakoming te verrig het op dieselfde wyse asof hy die handeling verrig of nagelaat het.

12.6 BOETES

- 12.6.1 'n Boete opgelê aan 'n lid wat skuldig bevind is aan enige oortreding of nie-nakoming vermeld in reël 12.1.1 oorskry nie R1 000 000 (eenmiljoen rand) vir elke oortreding of nie-nakoming nie.
- 12.6.2 Die Dissiplinêre Tribunaal stipuleer die tyd en wyse van betaling van die boete wanneer opgelê.

12.7 OPSKORTING

Wanneer 'n lid geskors is deur die Dissiplinêre Tribunaal-

- 12.7.1 word so 'n lid nie toegelaat-
- 12.7.1.1 om as 'n lid handel te dryf gedurende die tydperk van opskorting nie tensy die lid dit doen met die goedkeuring van, en op die voorwaardes opgelê deur, die Dissiplinêre Tribunaal;
 - 12.7.1.2 om 'n aansoeker om lidmaatskap voor te stel of te sekondeer nie;
 - 12.7.1.3 om as borg vir enige aansoeker om lidmaatskap op te tree nie; of
 - 12.7.1.4 om as lid te stem nie;
- 12.7.2 word so 'n lid, behoudens reël 12.7.1.1, toegelaat om die dienste en fasiliteite wat die lid ontvang het van die Effektebeurs en erkende verrekeningshuis, gedurende die tydperk van skorsing te behou en te gebruik; en
- 12.7.3 is so 'n lid-
- 12.7.3.1 aanspreeklik vir betaling van alle gelde en heffings verskuldig aan die Effektebeurs en die erkende verrekeningshuis gedurende die tydperk van skorsing; en

- 12.7.3.2 verplig om te voldoen aan alle bepalings van die Wet en die Reëls gedurende die tydperk van skorsing.

12.8 UITSETTING

- 12.8.1 'n Lid uitgesit ingevolge reël 12.5.6.5, en wie ingevolge die Wet teen sodanige uitsetting appelleer, word geag geskors te wees, en die bepalings van hierdie reël, vir sover dit verband hou met die skorsing van 'n lid, is *mutatis mutandis* van toepassing op die lid totdat die beslissing van die Appèlraad bekend gemaak word.
- 12.8.2 By uitsetting, verbeur die lid-
- 12.8.2.1 alle regte kragtens of uit hoofde van die Wet en die Reëls; en
- 12.8.2.2 enige gelde of heffings aan die Effektebeurs betaal.
- 12.8.3 'n Besluit vir die uitsetting van 'n lid kan onderworpe wees aan die voorwaardes wat die Dissiplinêre Tribunaal geskik ag en die Dissiplinêre Tribunaal kan die lid aansê met betrekking tot enige optredes wat dit nodig ag.

12.9 KENNISGEWING VAN SKULDIGBEVINDINGS

- 12.9.1 Die hoof- uitvoerende beampte publiseer 'n kennisgewing aan lede wat die besonderhede vermeld van enige skuldigbevinding van 'n lid en die Dissiplinêre Tribunaal se beslissing met betrekking tot die straf opgelê: Met dien verstande dat die oortreding 'n misdryf behels wat sodanige kennisgewing regverdig, soos van tyd tot tyd deur die Dissiplinêre Tribunaal bepaal.
- 12.9.2 Die hoof- uitvoerende beampte kan in enige nuusblad of ander periodieke publikasie die kennisgewing vermeld in reël 12.9.1 publiseer, of laat publiseer, of die eienaar of uitgewer van so 'n nuusblad of ander periodieke publikasie toelaat of magtig om dit te publiseer.

12.10 VOLLE EN FINALE

Enige beslissing van die Dissiplinêre Tribunaal ingevolge hierdie reël oor 'n aangeleentheid wat voor die Tribunaal dien, is finaal tensy en totdat die Appèlraad so 'n beslissing verander het.

12.11 REKORD VAN VERRIGTINGE

'n Lid, beampte, handelaar of werknemer wat vertoë aan die Dissiplinêre Tribunaal gerig het, is geregtig op 'n afskrif van die rekord van verrigtinge waarby die vertoë oorweeg is.

AFDELING 13 - GESKILBESLEGTING

13.1 ALGEMEEN

- 13.1.1 Alle geskille tussen lede of tussen lede en kliënte met betrekking tot-
- 13.1.1.1 die verhandeling of vereffening van genoteerde finansiële instrumente;
- 13.1.1.2 die implementering of interpretasie van enige ooreenkoms aangegaan ingevolge reël 10.2; of
- 13.1.1.3 advies betreffende die verhandeling van genoteerde finansiële instrumente gegee deur 'n lid, en wat nie onderworpe is aan 'n voorlopige ondersoek ingevolge reël 12.1.1. nie, word op skrif gestel deur die partye daartoe en onverwyld deur die betrokke lede ondersoek.
- 13.1.2 Ten opsigte van 'n geskil bedoel in reël 13.1.1 tussen 'n lid en 'n kliënt, moet die lid onverwyld die kliënt adviseer oor die prosedures wat die lid volg om die geskil te ondersoek en, waar remediërende stappe aan die kant van die lid vereis word, adviseer hy die kliënt skriftelik van die stappe aldus gedoen.
- 13.1.3 Alle geskille wat nie kragtens reël 13.1.1 besleg word nie, moet-
- 13.1.3.1 onverwyld skriftelik aan die hoof- uitvoerende beampte bekend gemaak word deur die betrokke partye; en
- 13.1.3.2 opgelos word of deur bemiddeling beoog in reël 13.2 of deur arbitrasie soos beoog in reël 13.3, op welke prosedure ook al deur die betrokke partye besluit word: Met dien verstande dat indien die partye nie hul geskil deur bemiddeling binne 'n tydperk deur die hoof- uitvoerende beampte redelik geag, kan oplos nie, die geskil besleg sal word deur arbitrasie.

- 13.1.4 Waar dit voorkom uit verrigtinge kragtens reël 13.2 of 13.3 dat 'n beweerde oortreding van of nie-nakoming van die bepalings van die Wet of die Reëls deur 'n lid voorgekom het, moet die bemiddelaar of arbiter, na gelang van die geval, die beweerde oortreding of nie-nakoming na die hoof- uitvoerende beampte ooreenkomstig reël 12.1.1 verwys en moet hy, in oorleg met die hoof- uitvoerende beampte, besluit om die bemiddeling of arbitrasie, na gelang van die geval, op te skort, hangende die uitslag van 'n voorlopige ondersoek kragtens reël 12.1.1 of om voort te gaan met die oplossing van die geskil.
- 13.1.5 Die bepalings van hierdie Afdeling verhinder nie 'n party tot 'n geskil om, hangende die uitslag van enige prosedure vermeld in reël 13.1.3.2, dringende regshulp te verkry van 'n bevoegde hof met regsbevoegdheid nie.

13.2 BEMIDDELING

- 13.2.1 Die aanstelling van 'n bemiddelaar, die prosedure wat gevolg staan te word, en die skikkingsterme van die geskil is soos ooreengekom tussen die partye by die geskil: Met dien verstande dat die partye tot die bemiddeling gesamentlik aanspreeklik is vir die koste van die bemiddelingsverrigtinge, onderworpe aan 'n toekenning deur die bemiddelaar.
- 13.2.2 Ondanks die bepalings van reël 13.2.1 kan die bemiddelaar aangestel ingevolge hierdie reël, waar verskeie geskille waarby 'n lid betrokke is, gegrond is op soortgelyke voorvalle of feite, bepaal dat sodanige geskille gekonsolideer word en hanteer word ingevolge die Reëls as 'n enkele geskil.
- 13.2.3 Indien die hoof- uitvoerende beampte nie versoek word om die geskil te bemiddel nie, moet die partye, so gou doenlik na die skikking of andersins van die geskil, die hoof- uitvoerende beampte skriftelik van die uitslag van die bemiddeling verwittig.
- 13.2.4 Die hoof- uitvoerende beampte moet fasiliteite beskikbaar stel aan die partye tot bemiddeling indien die partye aldus versoek, op terme deur die hoof- uitvoerende beampte bepaal.

13.3 ARBITRASIE

- 13.3.1 Die partye kan ooreenkom om 'n geskil te besleg deur middel van 'n arbitrasie-ooreenkoms ooreenkomstig die bepalings van die Wet op Arbitrasie, 1965 (Wet No. 42 van 1965): Met dien verstande dat die partye tot die arbitrasie gesamentlik aanspreeklik is vir die koste van die arbitrasie-verrigtinge, onderworpe aan 'n toekenning deur die arbiter.
- 13.3.2 Ondanks die bepalings van reël 13.3.1, waar verskeie geskille waarby 'n lid betrokke is, gegrond is op soortgelyke voorvalle of feite, kan die arbiter aangestel ingevolge hierdie reël bepaal dat sodanige geskille gekonsolideer word en hanteer word ingevolge die Reëls as 'n enkele geskil.
- 13.3.3 Die partye moet, so gou doenlik na die skikking van die geskil, die hoof- uitvoerende beampte skriftelik van die uitslag van die arbitrasie-ooreenkoms verwittig.

13.4 KENNISGEWING

- 13.4.1 Die hoof- uitvoerende beampte mag 'n kennisgewing aan die lede publiseer met vermelding van die besonderhede van enige geskil en die bemiddelaar of arbiter se beslissing met betrekking daartoe.
- 13.4.2 Die hoof- uitvoerende beampte kan die kennisgewing vermeld in reël 13.4.1 in enige nuusblad of ander periodieke publikasie publiseer, of laat publiseer, of die eienaar of uitgewer van 'n nuusblad of ander periodieke publikasie tot publikasie daarvan toelaat of magtig.

AFDELING 14 - WAARBORGFONDS

14.1 INSTELLING VAN WAARBORGFONDS

Die uitvoerende komitee moet tot bevrediging van die Registrateur 'n Waarborgfonds van nie minder nie as dertigmiljoen rand instel en onderhou vir die aflossing van 'n eis soos voorgeskryf in die Reëls, vir onafgeloste verpligtinge van 'n lid wat voortspruit uit verhandelinge in genoteerde finansiële instrumente.

14.2 TRUSTEES VAN WAARBORGFONDS

- 14.2.1 Die Waarborgfonds word bestuur en beheer deur 'n raad van trustees ooreenkomstig die bepalings van die Wet en die Reëls.

- 14.2.2 Die uitvoerende komitee moet jaarliks trustees van die Waarborgfonds aanstel: Met dien verstande dat 'n meerderheid van die trustees nie lede van die uitvoerende komitee is nie.
- 14.2.3 Die raad van trustees bestaan uit nie minder nie as vyf persone wat almal gelyke status *inter se* geniet-
- 14.2.3.1 die voorsitter van die uitvoerende komitee wie die voorsitter van die raad van trustees is;
- 14.2.3.2 die hoof- uitvoerende beampte; en
- 14.2.3.3 nie minder nie as drie ander trustees.
- 14.2.4 Die raad van trustees vergader minstens kwartaalliks in enige kalenderjaar: Met dien verstande dat 'n spesiale vergadering van die raad van trustees te eniger tyd belê kan word op versoek van minstens drie trustees of deur die voorsitter van die raad van trustees.
- 14.2.5 Kennisgewing van vergaderings van die raad van trustees word skriftelik aan elke trustee gegee minstens sewe dae voor die datum van 'n vergadering, of 'n korter kennisgewingstydperk, na goedgekeurde van die voorsitter.
- 14.2.6 Reëls 3.5.1.4 tot 3.5.1.6 en reëls 3.5.1.9 tot 3.5.1.11 is *mutatis mutandis* van toepassing met betrekking tot die hou van vergaderings van die raad van trustees.
- 14.2.7 Die raad van trustees-
- 14.2.7.1 moet die gelde van die Waarborgfonds in 'n afsonderlike trustrekening hou en die geld aanwend vir die doeleindes van die Waarborgfonds;
- 14.2.7.2 is die uitsluitlike gemagtigde ondertekenaars ten opsigte van die korrespondensie of betalings met betrekking tot die Waarborgfonds;
- 14.2.7.3 stel in en hou behoorlike rekeninge, boeke en rekords ten opsigte van die sake, fondse, bates en laste van die Waarborgfonds; en
- 14.2.7.4 laat-
- 14.2.7.4.1 kwartaallikse finansiële state ten opsigte van die Waarborgfonds voor die uitvoerende komitee lê;
- 14.2.7.4.2 geouditeerde jaarlikse finansiële state ten opsigte van die Waarborgfonds aan die uitvoerende komitee en die lede voorlê binne drie maande na die einde van elke finansiële jaar van die Effektebeurs.
- 14.2.8 Enige beslissing van die raad van trustees ingevolge hierdie reël oor 'n aangeleentheid wat voor hom dien, is finaal tensy en totdat die uitvoerende komitee so'n beslissing verander het.

14.3 ADMINISTRASIE VAN WAARBORGFONDS

- 14.3.1 Die Waarborgfonds word administreer deur die Effektebeurs.
- 14.3.2 Alle instrumente van betaling of oordrag ten opsigte van die gelde van die Waarborgfonds word gesamentlik geteken deur enige twee trustees van die Waarborgfonds.

14.4 KONTRIBUSIES AAN WAARBORGFONDS

- 14.4.1 Die grondslag waarop lede moet bydra tot die Waarborgfonds en die tydperk waarbinne die bydraes betaal moet word, word van tyd tot tyd deur die raad van trustees bepaal: Met dien verstande dat by die maak van so 'n bepaling die trustees moet verseker dat-
- 14.4.1.1 alle lede aanspreeklik is om tot die Waarborgfonds by te dra;
- 14.4.1.2 die verskuldigde bydrae van 'n lid verband moet hou met die maandelikse omset van die lid;
- 14.4.1.3 die geldelike waarde van die bydrae per omsetseenheid vooraf skriftelik aan die lede bekend gemaak word;
- 14.4.1.4 die bydrae verskuldig deur 'n lid maandeliks bepaal word en skriftelik onverwyld aan die betrokke lid bekend gemaak word;
- 14.4.1.5 die bydrae verskuldig deur 'n lid betaalbaar is binne 30 dae vanaf die laaste dag van die voorafgaande maand ten opsigte waarvan die bydrae verskuldig is;
- 14.4.1.6 'n boete, by wyse van rente bereken teen 'n koers van tyd tot tyd deur die raad van trustees bepaal, opgelê word op enige bydrae wat na die verstryking van die tydperk vermeld in reël 14.4.1.5 onbetaald is;
- 14.4.1.7 die naam van 'n lid wat nie 'n bydrae betaal het nie binne die tydperk vermeld in reël 14.4.1.5, onverwyld skriftelik geadviseer word aan die uitvoerende komitee en die Registrateur; en
- 14.4.1.8 die wyse waarop 'n bydrae betaal word aan die Waarborgfonds deur die raad van trustees van tyd tot tyd bepaal word.
- 14.4.2 Die aanspreeklikheid van lede tot die Waarborgfonds is beperk tot die bydraes verskuldig aan die Waarborgfonds soos bepaal in die Reëls.

14.5 EISE TEEN WAARBORGFONDS

- 14.5.1 In die geval waar 'n lid versuim om verpligtinge ingevolge 'n verhandeling na te kom, moet die hoofuitvoerende beampte onverwyld die uitvoerende komitee en die trustees verwittig.
- 14.5.2 Die trustees bepaal die omvang van potensiele eise wat mag ontstaan in die geval van 'n lid wat versuim om lidverpligtinge na te kom: Met dien verstande dat hierdie bepaling die verliese sal wees, gelyk deur enige ander lid of kliënt van die lid wat versuim om verpligtinge ingevolge die verhandeling na te kom en bereken word deur die prys van die verhandeling op die transaksiedatum en die markprys op die datum van versuim om lidverpligtinge na te kom, te vergelyk.
- 14.5.3 Die trustees bepaal die heersende markwaarde van alle genoteerde finansiële instrumente waar die verpligtinge van die lid nie nagekom is nie.
- 14.5.4 Die trustees bepaal ook 'n lys van potensiele eisers.
- 14.5.5 Enig eiser wat her-kontrakteer ten einde die terme van die oorspronklike transaksie na te kom, het 'n potensiele eis.
- 14.5.6 Enige eiser wat in die geval van 'n lid wat versuim om enige verpligting ingevolge 'n verhandeling na te kom, 'n eis het, moet sodanige eis skriftelik teen die trustees instel en sluit in-
- 14.5.6.1 getuienis van die terme van die oorspronklike transaksie;
 - 14.5.6.2 die verlies of potensiele verlies gelyk deur die eiser ingevolge die terme van die oorspronklike transaksie teen heersende markwaarde wat bepaal en bekend gemaak word deur die trustees;
 - 14.5.6.3 die omvang van die werklike verlies indien enige her-kontraktering plaasgevind het; en
 - 14.5.6.4 enige verdere getuienis wat die trustees van die eiser mag vereis.
- 14.5.7 Versuim deur 'n eiser om binne 'n redelike tydperk te voldoen aan enige vereistes van die trustees is gronde waarop die trustees die eis mag verwerp.

14.6 BETALING VAN EISE TEEN WAARBORGFONDS

- 14.6.1 Eise word betaal uit die totale fondse beskikbaar vir die Waarborgfonds en word nie betaal in verhouding tot kontribusie nie.
- 14.6.2 Die trustees kan na hul uitsluitlike goeddunke betalings maak ten opsigte van eise deur die trustees aanvaar.
- 14.6.3 Sodanige betalings word ten eerste gedoen teen die opbrengs van die versekering voorsien deur die versekeraar en daarna uit die ander bates van die Waarborgfonds.
- 14.6.4 Die totale betaling oorskry nie die bedrag van die bates tot krediet van die Waarborgfonds nie.
- 14.6.5 Die trustees oorweeg nie eise wat tienmiljoen rand in totaal oorskry in die geval van 'n enkele versuim deur 'n enkele lid nie: Met dien verstande dat eise vir verliese ontmoet sal word op 'n pro rata grondslag tot 'n maksimum van tienmiljoen rand en na verhouding tot die totale eise deur alle lede en kliënte van die versuimende lid wat eise ingedien het ten opsigte van die versuim.
- 14.6.6 Die lid wat versuim om die verpligtinge van 'n verhandeling na te kom, het geen eis wat ook al teen die Waarborgfonds nie.
- 14.6.7 Betalings van eise aanvaar deur die trustees word slegs betaal aan die eiser en die trustees verkry skriftelike erkenning van ontvangs van betaling.
- 14.6.8 Betaling geskied by tjek of elektroniese oordrag van fondse aan 'n genomineerde rekening gehou by 'n bank.

14.7 LIKWIDASIE VAN WAARBORGFONDS

In die geval van 'n vrywillige ontbinding of likwidasië deur 'n hof van die Effektebeurs, moet die trustees van die Waarborgfonds die bates van die Waarborgfonds aanwend en in daardie volgorde die volgende aflos-

- 14.7.1 enige aanspreeklikhede van die Waarborgfonds aan die versekeraars;
- 14.7.2 enige eise teen die Waarborgfonds;
- 14.7.3 enige eise teen die Waarborgfonds ingevolge die administrasie deur die Effektebeurs;
- 14.7.4 terugbetaling aan lede van bydraes betaal deur lede aan die Waarborgfonds, hetsy ten volle aan elke lid of bereken op 'n pro rata grondslag in die geval van onvoldoende fondse; en
- 14.7.5 enige oorskot wat oorbly, word geag 'n bate te geword het van die Effektebeurs.

AFDELING 15 - VERSUIME**15.1 LIDVERSUIME**

- 15.1.1 Wanneer 'n lid (hieronder "die versuimende lid" genoem) nie in staat is om sy verpligtinge na te kom nie, ten opsigte van enige verhandeling in genoteerde finansiële instrumente, teenoor 'n ander lid, 'n kliënt, die Effektebeurs of die erkende verrekeningshuis, moet daardie lid onverwyld die hoof- uitvoerende beampte skriftelik van daardie feit in kennis stel.
- 15.1.2 Die hoof- uitvoerende beampte moet onverwyld by ontvangs van die bekendmaking vermeld in reël 15.1.1, die uitvoerende komitee adviseer, welke komitee, na oorleg, die lid in versuim verklaar met ingang van die tyd toe die versuim voorgekom het.
- 15.1.3 Die versuimende lid moet onverwyld by ontvangs van kennis van versuim, ophou om handel te dryf tensy aldus skriftelik gemagtig deur die uitvoerende komitee.
- 15.1.4 Geen versuimende lid gaan 'n kompromis aan met of ontvang betaling op rekening van enige ander lid in verband met enige verhandeling vanaf die tyd dat 'n versuim verklaar is nie.

15.2 KLIËNTVERSUIM

- 15.2.1 Waar 'n kliënt van 'n lid versuim om enige verpligting ten opsigte van 'n verhandeling aangegaan met 'n lid na te kom, moet die lid met wie daardie kliënt gekontrakteer het, alle verpligtinge deur die kliënt opgeloopt ten opsigte van daardie verhandeling, uitvoer.
- 15.2.2 'n Lid adviseer die uitvoerende komitee onverwyld van die naam van die kliënt in versuim, en die uitvoerende komitee moet, so spoedig moontlik en na eie uitsluitlike diskresie, die naam van die kliënt by kennisgewing aan lede publiseer. Met dien verstande dat 'n eis teen die Waarborgfonds ooreenkomstig reël 14.5 ingedien moet word.

15.3 UITGESLOTE BATES

Die volgende bepalings is van toepassing met verwysing na bates, hetsy in die vorm van geld, finansiële instrumente, of ander liggaamlike of onliggaamlike goed (hierin na verwys as "uitgeslote bates") van 'n lid met ingang van die datum waarop beheer oor sodanige uitgeslote bates oorgeneem word deur die uitvoerende komitee.

- 15.3.1 Die uitvoerende komitee neem beheer van sodanige uitgeslote bates oor indien die uitvoerende komitee aldus gelas na die verklaring van 'n lid as 'n versuimende lid.
- 15.3.2 Enige persoon wat tydelik beheer oor sodanige uitgeslote bates het, gee gevolg aan alle lasgewings met betrekking daartoe wat aan so 'n persoon uitgereik kan word deur die uitvoerende komitee.
- 15.3.3 Die uitvoerende komitee moet, so gou as redelik moontlik, al sodanige uitgeslote bates waarvan dit beheer oorgeneem het in enige ander vorm as kontant, realiseer (hetsy deur verkoop of andersins), en plaas die netto-opbrengs van sodanige realisasie (na aftrekking daarvan van enige kostes aangegaan deur die uitvoerende komitee by sodanige realisasie) en van enige kontant wat deel vorm van die uitgeslote bates, tot die krediet van 'n afsonderlike trustrekening (hierin na verwys as die "likwidasië-trustrekening"), by 'n bank in die Republiek van Suid-Afrika, tot die krediet waarvan ook enige ander toevallende fondse geplaas word.
- 15.3.4 Die uitvoerende komitee laat 'n skriftelik kennisgewing gee van die instelling van die likwidasië-trustrekening aan 'n persoon wat die uitvoerende komitee beskou as potensiële eiser van die bedrae wat tot krediet staan van die likwidasië-trustrekening, en eise ten opsigte daarvan word ingestel binne die tydperk van tyd tot tyd deur die uitvoerende komitee bepaal.
- 15.3.5 Ten tyde van die uitgifte van die kennisgewing ingevolge reël 15.3.4 moet die uitvoerende komitee ook 'n kennisgewing van die instelling van die likwidasië-trustrekening met vermelding van besonderhede wat potensiële eisers in staat mag stel om te wete te kom van hul reg om eise teen sodanige rekening in te stel, laat publiseer in 'n nuusblad wat in Johannesburg sirkuleer, en (indien eersbedoelde nuusblad nie sirkuleer in die betrokke gebied nie, in 'n nuusblad wat sirkuleer in die gebied waarin elke permanente besigheidsplek van die versuimende lid geleë is).
- 15.3.6 Die uitvoerende komitee is geregtig om lasgewings te gee met betrekking tot die gee van aanvullende kennisgewings en die publikasie van aanvullende bekendmakings om eise van potensiële eisers aan te vra, soos van tyd tot tyd deur die uitvoerende komitee bepaal.
- 15.3.7 By verstryking van die tydperk van kennisgewing gegee ingevolge reël 15.3.4. en 15.3.5 en van enige verlenging daarvan in enige kennisgewing of bekendmaking gegee ingevolge reël 15.3.6, moet die uitvoerende komitee beslis oor alle eise voorgelê in antwoord op sodanige kennisgewings ooreenkomstig hierdie Afdeling.
- 15.3.8 Elke sodanige eis moet in 'n vorm wees voorgeskryf deur die uitvoerende komitee, besonderhede bevat vergesel van die fakture of ander bewys wat die uitvoerende komitee die reg het, voor toelating van enige sodanige bewys van eis, om van die eiser te vereis dat hy sodanige verdere bewys moet lewer om sy eis te ondersteun, wat hy nodig geag.

- 15.3.9 'n Beslissing van die uitvoerende komitee om enige bewys van eis toe te laat, of om toelating van enige bewys van eis te weier, en betreffende die bedrag van enige sodanige eis as bewys toegelaat, is finaal en bindend op alle partye daardeur geraak.
- 15.3.10 Die bedrag tot die krediet van die likwidasië-trustrekening word aangewend deur die uitvoerende komitee soos volg en in die volgende voorkeurorde: Met dien verstande dat indien enige balanse verdeelbaar tussen eisers van gelyke voorkeur minder is as die totaal van hul eise, word die beskikbare bedrae verdeel tussen hulle in verhouding tot die bedrae van hul onderskeie eise-
- 15.3.10.1 ten eerste, vir betaling van alle kostes redelikerwys aangegaan deur die uitvoerende komitee by die gee van kennis van die instelling van die likwidasië-trustrekening en wat voorlegging van eise ten opsigte daarvan, aanvra, met inbegrip van enige kostes deur die uitvoerende komitee opgeloopt by die beoordeling van sodanige eise;
- 15.3.10.2 daarna, vir betaling aan enige lid, of aan die uitvoerende komitee, van enige verpligting opgeloopt deur die versuimende lid voortspruitend uit die volkome afwikkeling van alle of enige van sy eiendomsposisies soos beoog in hierdie reël;
- 15.3.10.3 daarna, vir betaling van die verpligtinge van die versuimende lid voortspruitend uit die afwikkeling of verstryking, voor die aanvaarding van sodanige beheer deur die uitvoerende komitee, van eiendomsposisies van die versuimende lid onderneem namens kliënte en nie vereffen op die datum van versuim nie;
- 15.3.10.4 daarna, vir vereffening van enige gelde verskuldig deur die versuimende lid aan enige lid of aan die uitvoerende komitee as 'n gevolg van die volkome afwikkeling van eiendomsposisies soos beoog in hierdie reël; en
- 15.3.10.5 betreffende enige balans, vir betaling aan die versuimende lid of die trustee, likwidateur of geregtelike bestuurder van die versuimende lid.

Keep South Africa Clean



Throw trash where it belongs

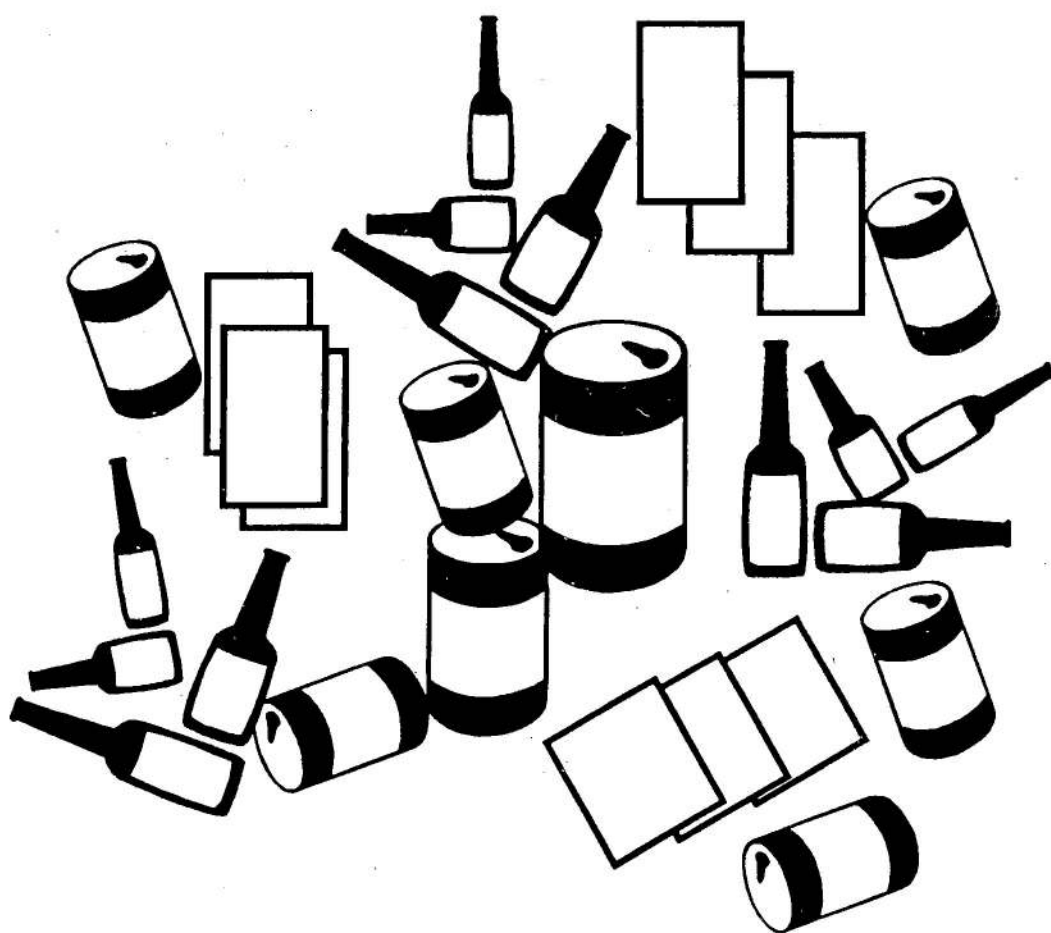
Hou Suid-Afrika Skoon



Gooi rommel waar dit hoort



RECYCLE HERGEBRUIK



Department of Environment Affairs
Departement van Omgewingsake



Save a drop — and save a million

Water conservation is very important to the community and industry to ensure their survival. So save water!



Spaar 'n druppel — en vul die dam

Indien almal van ons besparingsbewus optree, besnoei ons nie slegs uitgawes nie maar wen ook ten opsigte van ons kosbare water- en elektrisiteitsvoorraad

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