



Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA
REPUBLIEK VAN SUID-AFRIKA

Vol. 463

Pretoria, 23 January 2004
Januarie

No. 25942



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BOARD NOTICE

BOARD NOTICE 9 OF 2004

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT NO. 37 OF 2002)

FORM OF LICENCE

I, Jeffrey van Rooyen, Registrar of Financial Services Providers, hereby determine under section 8(5)(a)(i) of the Financial Advisory and Intermediary Services Act, 2002 (Act No. 37 of 2002), that a licence authorising an applicant to act as a financial services provider must be in the form set out in the Schedule.

This Notice is called the Notice on the Form of Licences, 2004.



J VAN ROOYEN,

Registrar of Financial Services Providers

SCHEDULE**FINANCIAL SERVICES BOARD****LICENCE No.****FINANCIAL SERVICES PROVIDER****Financial Advisory and Intermediary Services Act, 2002****IT IS HEREBY CERTIFIED THAT WITH EFFECT FROM**

.....

**IS LICENSED AS A FINANCIAL SERVICES PROVIDER IN TERMS OF SECTION 8 OF THE
FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002 (ACT No. 37 OF 2002)**
subject to the conditions and restrictions set out in the Annexure

.....
REGISTRAR OF FINANCIAL SERVICES PROVIDERS

date and
stamp

This document to be displayed in terms of section 8(8)(a) of the Act. The Annexure to be available for the information of clients.

ANNEXURE

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

CONDITIONS AND RESTRICTIONS

LICENCE NO.ISSUED TO.....

1. The licence authorises the licensee to carry on business in respect of financial advisory and/or intermediary services as ordinary financial services provider/discretionary FSP/ administrative FSP in respect of the following financial product/products:

2. Further conditions/ restrictions:

3. Applicable exemptions (if any):

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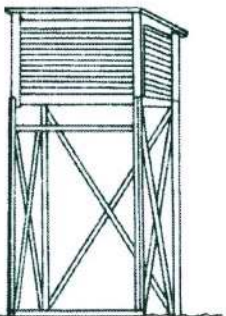
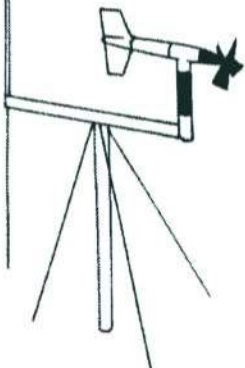
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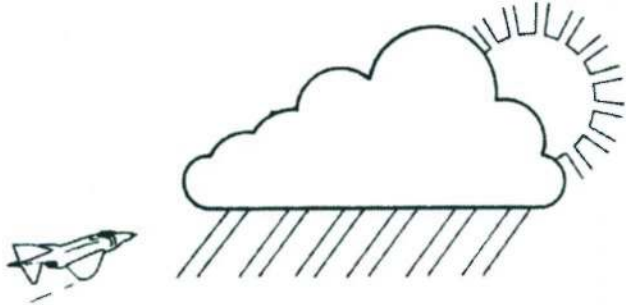
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Printed by and obtainable from the Government Printer, Bosman Street, Private Bag X85, Pretoria, 0001
Publications: Tel: (012) 334-4508, 334-4509, 334-4510
Advertisements: Tel: (012) 334-4673, 334-4674, 334-4504
Subscriptions: Tel: (012) 334-4735, 334-4736, 334-4737
Cape Town Branch: Tel: (021) 465-7531

Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001
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