

Government Gazette Staatskoerant

REPUBLIC OF SOUTH AFRICA REPUBLIEK VAN SUID-AFRIKA

Vol. 578

Pretoria, 14 August 2013

No. 36746

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BOARD NOTICES

BOARD NOTICE 174 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

LIFTING OF SUSPENSION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have lifted the suspensions of the following licensees with effect from the dates referred hereunder:

| LICENSEE | FSP NO | EFFECTIVE DATE | REASONS FOR LIFTING SUSPENSION |
|---|--------|----------------|--|
| Akani Retirement Fund Administrators (Pty) Ltd | 15270 | 20 June 2013 | The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Amalgamated Broker Consultants CC | 3131 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Black Pride Financial Services CC | 11548 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Christopher William Borchers | 19873 | 3 July 2013 | The licensee has now complied with section 15A of the Financial Services Board Act, 1990 ("FSB Act") |
| Craig Whitby | 11913 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Debora Krause t/a D Krause Makelaars | 7856 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |

| Discover Brokers And Training CC t/a DBT Consultants | 39350 | 1 July 2013 | The licensee has now complied with section 15A of the FSB Act |
|---|-------|--------------|---|
| Financial Services Network CC | 5218 | 9 July 2013 | The licensee has now complied with sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Gert Hendrik Van Der Linde t/a Innovative Financial Consultants | 13285 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| GJM Ultra Brokers 1 CC | 26085 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Heinrich Johannes Lombard t/a Hein Lombard Brokers | 10000 | 5 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Hermanus Albertus Pieterse t/a G5 International Brokerage | 5483 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| lan Frank Mlindi t/a M & M Investments Consultants | 2212 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Janice Scholtz t/a Maxi Insurance Brokers | 5817 | 10 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |

| Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers | 271 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
|--|-------|--------------|--|
| Johan Dudolph Kunneke t/a Johan Kunneke Makelaars | 5211 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Johannes Hendrik Esterhuizen t/a Weskaap Rek.Com | 15775 | 14 June 2013 | The licensee has now complied with section 15A of the FSB Act |
| John James Ward | 28880 | 18 June 2013 | The licensee has now complied with section 15A of the FSB Act |
| Kompas Finansiele Dienste BK | 29202 | 25 June 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Leonie Engelbrecht Versekeringsmakelaars BK | 14118 | 26 June 2013 | The licensee has now complied with section 15A of the FSB Act |
| Lindamanje Trading CC t/a Lindamanje Brokers | 34478 | 19 June 2013 | The licensee has now complied with section 15A of the FSB Act |
| Lonwabo Armstrong Nginza | 22748 | 18 June 2013 | The licensee has now complied with section 15A of the FSB Act |

| Malilimalo Family Marketing CC | 36716 | 18 June 2013 | The licensee has now complied with section 15A of the FSB Act |
|--|-------|--------------|--|
| Mamokgadi Financial Services CC | 7347 | 3 July 2013 | The licensee has now complied with section 15A of the FSB Act |
| Mercia Claudine Gouws t/a Omega Brokers | 8281 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Mokwari Jacob Dominic Thobei | 11887 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| MST Financial Services (Pty) Ltd | 4667 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Ntokozo Khoza | 26021 | 18 June 2013 | The licensee has now complied with section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers and Representatives, 2003 |
| Protax Insurance Brokers (Pty) Ltd | 288 | 10 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Rod Fouche Associates CC | 8614 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |

| RWP Finansiele Dienste CC t/a Consult Us Brokers | 4587 | 21 June 2013 | The licensee has now complied with section 17(4) of the FAIS Act |
|---|-------|--------------|--|
| Sindiswa Manyamalala | 24100 | 19 June 2013 | The licensee has now complied with section 15A of the FSB Act |
| Thabile And Mtho Trading Enterprise CC | 34393 | 20 June 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| The South African Bank Of Athens Ltd | 5865 | 2 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Thembalethu Financial Solutions (Pty) Ltd | 1682 | 11 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Theo Fourie t/a Theo Fourie | 12764 | 5 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Thri Veeni Moodley t/a Renthri Brokers | 1458 | 2 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Timintsu Consulting Agency CC t/a Medcon | 7845 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |

| Treacle Private Equity (Pty) Ltd | 8434 | 5 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
|--|------|-------------|--|
| Validtrade 2038 CC | 4908 | 3 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| Van Der Merwe & Reyneke Finansiele Dienste (EDMS) Bpk t/a Multilink | 2972 | 9 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |
| VNS Investments CC t/a KMS Insurance Brokers | 1680 | 8 July 2013 | The licensee has now complied with section 19(2)(b)(iii) of the FAIS Act |

This Notice is called the Notice on Lifting of Suspension of Authorisation, No 6 of 2013.

G E ANDERSON

BOARD NOTICE 175 OF 2013 FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

REINSTATEMENT OF WITHDRAWN LICENCES

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known that I have reinstated the withdrawal of authorisation of the following licensees with effect from the respective dates referred hereunder:

| LICENSEE | FSP NO | EFFECTIVE DATE |
|---|--------|----------------|
| Christiaan Johann Swanepoel t/a CJS Offshore Financial Solutions | 11829 | 21 June 2013 |
| Equitax CC | 4685 | 21 June 2013 |
| Mgigi Investment Holdings CC | 41737 | 11 July 2013 |

This Notice is called the Notice on Reinstatement of Withdrawn Licences, No 6 of 2013.

G E ANDERSON

BOARD NOTICE 177 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

SUSPENSION OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have suspended under section 9(1) of the Act the licences of the following licensees with effect from the dates referred hereunder:

| LICENSEE | FSP NO | EFFECTIVE DATE | REASONS FOR SUSPENSION |
|--|--------|----------------|--|
| Access Wealth (Pty) Ltd | 7058 | 1 July 2013 | Contravention of section 17(4) of the of the FAIS Act |
| Amalgamated Broker Consultants CC | 3131 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Andre Van Rooyen Brokers | 12690 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Anglo Scottish Management CC t/a Cell Master International | 1226 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| B Kooverjee CC | 1573 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Bafana Stephen Mthethwa t/a Health Care Broker | 11339 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Black Pride Financial Services CC | 11548 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Bronkhorst Insurance Brokers CC t/a WFA Financial Services | 11039 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Buffalo Brokers CC t/a Marius Smith Brokers | 13656 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| CDI Brokers CC | 4084 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Cecil Parsons Versekeringsmakelaars BK | 11852 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Colette Campher t/a Colette Campher Broker | 12612 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

| Colin Malthoo And Associates CC | 12131 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
|--|-------|--------------|--|
| Craig Whitby | 11913 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Curt Warrin t/a Curt Warrin Assurance | 9970 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Danie G Rossouw t/a Danie Rossouw | 6427 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Daniel John Williams t/a Butch Williams Assurance Broker | 9972 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Daniel Modise Kolokoto t/a DK Brokers | 4513 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Dealcor Thirteen CC t/a Car Deal | 10171 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Dealers Indemnity (Pty) Ltd | 7317 | 18 June 2013 | Contravention of section 10 of the Determination of Fit and Proper Requirements for Financial Services Providers and Representatives, 2003 |
| Debora Krause t/a D Krause Makelaars | 7856 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Derek Anthony Hodson t/a Derek Hodson Financial Consultant | 8559 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Dewald Bosman Bemarking BK | 4986 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Dewdat Singh t/a Anu Singh And Associates | 6035 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| DHL Global Forwarding SA (Pty) Ltd | 8479 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Diversified Asset Management CC | 875 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Eca Investments CC t/a Motor Den | 10141 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Edwards Mey And Associates: Finance Brokers CC | 8357 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

| Eric Van Zyl Makelaars BK | 12439 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|---|-------|-------------|---|
| Eunallsingh Jadoo t/a Status Financial Brokers | 4000 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Executive Brokers Andre Kruger CC | 12101 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| FT And G Insurance Brokers CC | 7494 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Financial And Corporate Service CC t/a Financial And Corporate Service CC | 5481 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Financial Services Network CC | 5218 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Finlaw Consulting Sa (Pty) Ltd | 7259 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Firstglobal Estates And Strategies CC | 754 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| FPVDM Investments CC | 3670 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| FSG Consulting CC | 5719 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Geoff Lake Finansiele Dienste CC | 12360 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Gert Hendrik Van Der Linde t/a Innovative Financial Consultants | 13285 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Gideon F Van Der Merwe Broker Services CC | 10979 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Gideon Johannes Struwig | 12169 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Gordon Guy Harmer | 7944 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Grayston Financial Services (Pty) Ltd | 9208 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Haasbroek And Associates CC | 3892 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

| Halifax Insurance Brokers CC | 9998 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|---|-------|-------------|---|
| Hanna Maria Marais t/a Marais Brokers Financial Services | 11864 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Heinre De Bruin t/a Heinre De Bruin Insurance Brokers | 8840 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Heinrich Johannes Lombard t/a Hein Lombard Brokers | 10000 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Hendrik Jacobus Eksteen t/a Hein Eksteen Finansiele Makelaars | 12362 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Henry John De La Rey | 11294 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Hercules Johannes Du Preez t/a Herklaas Du Preez Brokers | 11884 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Hermanus Albertus Pieterse t/a G5 International Brokerage | 5483 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| I & I Motors CC t/a Daves Car Sales | 10521 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ian Frank Mlindi t/a M & M Investments Consultants | 2212 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Intembeko Investments CC t/a Benzwide Financial Services | 5459 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Introvest 2000 CC | 9564 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| JC Buchan | 1954 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| JJ Kotze Makelaars BK | 13469 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| JAB Financial Services CC | 11982 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Jaconia Mduduzi Sithole t/a JM Brokers | 12815 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Jan Van Dyk Makelaars CC t/a Optimum Financial Services Group | 4481 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

| Janal Business Consultants CC | 6073 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
|--|-------|-------------|---|
| Janice Scholtz t/a Maxi Insurance Brokers | 5817 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Jaya Budhai Sookraj t/a JB Sookraj Insurance Brokers | 271 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Jodli CC t/a Medfin | 4287 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Johan Dudolph Kunneke t/a Johan Kunneke Makelaars | 5211 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Johan Goosen t/a Johan Goosen Associates | 9660 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Johan Pheiffer | 11877 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Johan Pheiffer Finansiele Adviseurs BK | 12021 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Johannes Jochemus Cilliers t/a Cilliers Brokers | 11350 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| John David Pretorius t/a Speedy | 13180 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Jothea Makelaars BK t/a JTM | 3757 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Kaaplandse Makelaars BK | 8247 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Kholeka Mnyaka t/a Isinamya Brokers | 7525 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Koraal Motors BK t/a Nelspruit Motors | 10231 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Kotze Smit Makelaars BK | 4876 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| LAL Insurance Brokers CC | 13114 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Lakeside Brokers CC | 12937 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

| Leon Wait Makelaars BK / Brokers CC | 7686 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|--|-------|--------------|---|
| Lithuba Insurance Consultants CC | 13098 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Lonwabo Armstrong Nginza | 22748 | 18 June 2013 | Contravention of section 15A of the Financial Services Board Act, 1990 ("FSB Act") |
| LTE Provider (Pty) Ltd | 13697 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Lwandle Wiseman Jikazi t/a LW Investment | 3799 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Magapjane Educational & Professional Financial Services CC | 5448 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Margaret Leask t/a Margaret Leask & Associates | 5382 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Marietha Rheeder t/a Pinnacle Financial Services | 12478 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Martin Biddulph | 7737 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Mary-Lynne Geyle | 1022 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Mercia Claudine Gouws t/a Omega Brokers | 8281 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Michael Mndau t/a Mndau Brokers | 5159 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Micsin Financial And Advisory Services CC | 6667 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Middelburg Car Sales CC | 11458 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Mokwari Jacob Dominic Thobei | 11887 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Moore Stephens RB Inc t/a Moore Stephens RB Inc | 9460 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| MST Financial Services (Pty) Ltd | 4667 | 11 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

| Mutual Interests Financial Services (Pty) Ltd | 12746 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|--|-------|--------------|---|
| Natalie Wolfaardt | 8494 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| New Life Brokers | 7209 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Norman Pringle Financial Planning CC | 10051 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Ntandane Financial Advisors | 11586 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Peter Budynkiewicz Insurance Agents CC t/a PB Insurance Agents | 1853 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Petro Insurance Brokers CC | 2694 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Phiko Thomas Sithole t/a Simunye Brokerage | 7029 | 10 July 2013 | Contravention of section 15A of the FSB Act |
| Phoenix International Logistics (Pty) Ltd | 11072 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Pro 2000 CC | 5905 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Prostart Investment (Pty) Ltd t/a Corporate Solution | 1900 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Protax Estate Planners (Pty) Ltd | 236 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Protax Insurance Brokers (Pty) Ltd | 288 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Rajesh Nundhlall | 9248 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ramlall Ramdial t/a Lifesure Insurance Brokers | 10159 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Richard Makhaphela | 6456 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Rishiraj Rathilal | 9249 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |

| Robsmail Motors CC | 6401 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|--|-------|-------------|---|
| Rod Fouche Associates CC | 8614 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Rodney Stein Financial Services CC | 12614 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Roelof Van Rooyen t/a RW Van Rooyen Brokers | 7175 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Rolleston Hall Financial Services CC | 13265 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Rospa Trading 31 CC t/a Rospa Financial Broker Services | 13747 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Ruby Rosenberg | 7803 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| SJ Koen t/a S Koen | 8210 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Sakhumnotho Asset Managers (Pty) Ltd | 870 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Santharasagarie Albert t/a SK Financial And Investment Consultants | 10069 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Sarie Goosen Insurance Brokers CC t/a SGIB | 6700 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Smutslo CC | 2790 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Stephen John Hansen | 7623 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| Suleman Seedat t/a Alliance Brokers & General | 2283 | 1 July 2013 | Contravention of sections 17(4) and 19(2)(b)(iii) of the FAIS Act |
| Surecare Brokers CC | 9612 | 1 July 2013 | Contravention of section 17(4) of the FAIS Act |
| The CJB Investments Trust t/a Tharsei Brokers | 921 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| The South African Bank Of Athens Ltd | 5865 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

| Thembalethu Financial Solutions (Pty) Ltd | 1682 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
|--|-------|--------------|--|
| Theo Fourie t/a Theo Fourie | 12764 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Thri Veeni Moodley t/a Renthri Brokers | 1458 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Timintsu Consulting Agency CC t/a Medcon | 7845 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Treacle Private Equity (Pty) Ltd | 8434 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Two Mountains Financial Services (Pty) Ltd | 8706 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Ubuntu Bam Trading Enterprise CC | 39549 | 21 June 2013 | Contravention of section 15A of the FSB Act |
| Validtrade 2038 CC | 4908 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Van Der Merwe & Reyneke Finansiele Dienste (Edms) Bpk t/a Multilink | 2972 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| VNS Investments CC t/a KMS Insurance Brokers | 1680 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |
| Wisesure Financial Consulting CC | 7342 | 1 July 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

The terms attached to the suspension:

- (a) The licensees are prohibited from concluding any new business.
- (b) The licensees must inform all affected clients and product suppliers concerned that their licences have been suspended, and the Registrar must be copied with such correspondence.
- (c) The licensees must, in consultation with clients and product suppliers concerned, take reasonable steps to ensure that any outstanding business is transferred to another licensed financial services provider in the interest of clients, and must advise the Registrar accordingly.
- (d) The suspension of the licences will endure for a period of three months after the effective date, whereafter the Registrar will lift the suspension if satisfied that the licensees comply with the requirements of the Act or withdraw the licences if not so satisfied.

This Notice is called the Notice on Suspension of Authorisation, No 7 of 2013.

G E ANDERSON

BOARD NOTICE 176 OF 2013

FINANCIAL SERVICES BOARD

FINANCIAL ADVISORY AND INTERMEDIARY SERVICES ACT, 2002

WITHDRAWAL OF AUTHORISATION

I, German Emmanuel Anderson, the Deputy Registrar of Financial Services Providers, hereby make known under section 9(2)(d) of the Financial Advisory and Intermediary Services Act, 2002 ("FAIS Act"), that I have withdrawn under section 9(1) of the FAIS Act the licences of the following licensees with effect from the respective dates referred hereunder:

| LICENSEE | FSP NO. | EFFECTIVE DATE | REASONS FOR WITHDRAWAL |
|--|---------|----------------|--|
| Black Trace Investments (Pty) Ltd | 43798 | 21 June 2013 | Contravention of section 8(1) of the of the FAIS Act |
| Shalom Touching Lives Funeral Home CC | 43566 | 21 June 2013 | Contravention of section 19(2)(b)(iii) of the FAIS Act |

This Notice is called the Notice on Withdrawal of Authorisation, No 6 of 2013.

G E ANDERSON

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Gedruk deur en verkrygbaar by die Staatsdrukker, Bosmanstraat, Privaatsak X85, Pretoria, 0001

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