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GOVERNMENT NOTICES • GOEWERMENTSKENNISGEWINGS

DEPARTMENT OF SCIENCE AND INNOVATION

NO. 2722 4 November 2022

PROTECTION, PROMOTION, DEVELOPMENT AND MANAGEMENT OF INDIGENOUS KNOWLEDGE ACT, 2019 (ACT NO. 6 OF 2019)

REGULATIONS RELATING TO THE PROTECTION, PROMOTION, DEVELOPMENT AND MANAGEMENT OF INDIGENOUS KNOWLEDGE

The Minister of Science and Innovation intends, in terms of section 31(1) of the Protection, Promotion, Development and Management of Indigenous Knowledge Act, 2019 (Act No. 6 of 2019), to make the Regulations in the Schedule.

Interested persons are invited to submit, within three months from the date of the publication of this Notice, any written comments or representations on the proposed Regulations to the Director-General, Department of Science and Innovation, Private Bag X894, Pretoria, 0001 (for attention of ..., or by email to ...

....

MINISTER OF HIGHER EDUCATION SCIENCE AND TECHNOLOGY DATE:

SCHEDULE

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DEFINITIONS

Definitions

 In these Regulations, any word or expression to which a meaning has been assigned in the Act, bears the meaning so assigned and, unless the context otherwise indicates –

"DSI" means the Department of Science and Innovation;

"the Act" means the Protection, Promotion, Development and Management of Indigenous Knowledge Act, 2019 (Act No. 6 of 2019).

ADVISORY PANEL

Appointment of advisory panel

- 2. (1) The Advisory Panel contemplated in section 7(1) of the Act must consist of, at least, the following officials -
 - (a) official/s from government departments dealing directly with indigenous knowledge;
 - (b) indigenous knowledge practitioner/s considered to be either currently practicing or is/are retired in a specific discipline of practice;
 - (c) official/s from industries that use or have used indigenous knowledge and who have entered into benefit sharing agreements with the relevant communities; and
 - (d) specialist/s in a discipline of practice, working with indigenous knowledge issues and programmes.

PRACTITIONERS AND ASSESSORS

Accreditation of Assessors

3. (1) An application for accreditation in terms of section 14(1) of the Act, must be in the form of Form A in Annexure 1 to these Regulations.

- (2) The cancellation contemplated in section 14(4) of the Act must be subject to the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000).
- (3) The registered assessors must act in accordance with accreditation procedures in schedule 2, and failure to comply with the accreditation procedures constitutes unprofessional conduct.

RECOGNITION OF PRIOR LEARNING

- 4. (1) Any person who wishes to register their competencies under a designation as an indigenous Knowledge practitioner must apply to NIKSO, using Form B in Annexure 1 to these Regulations.
- (2) In assessing the eligibility of an applicant for recognition of competencies under a designation/in/discipline, the assessors must assess the application in terms of the following criteria:
 - (a) Scope of knowledge (understanding of the discipline);
 - (b) ethics and professionalism (expertise);
 - (c) accountability (responsibility);
 - (d) problem solving; and
 - (e) context.
- (4) The revocation contemplated in section 15(7) must be subject to the Promotion of Administrative Justice Act, 2000 (Act No. 3 of 2000.
- (5) The certified indigenous knowledge practitioner in a designation must act in accordance with certification/recognition procedures in schedule 3, and failure to comply with the certification/recognition procedures constitutes unprofessional conduct.

Register of Designations

5. (1) The Register of Designations contemplated in Section 16(1)(a) of the Act must be kept within a system to be developed by NIKSO.

INDIGENOUS KNOWLEDGE

Registration of Indigenous Knowledge

6. (1) An application by a trustee of an indigenous community to the Curator for registration of indigenous knowledge, in terms of section 20(1) of the Act, must be in the form of Form C in Annexure 1 to these Regulations.

(2) A certificate of registration of indigenous knowledge, in terms of section 20(3)(a) of the Act, must be in the form of Form D in Annexure 1 to these Regulations.

Register of indigenous knowledge

7. (1) A Register of Indigenous Knowledge, in terms of section 19(1)(a) of the Act, must be in the form of Form E in Annexure 1 to these Regulations.

Amendment of the Register

- 8. (1) An application to the Curator for the amendment of the Register of Indigenous Knowledge, in terms of section 24(1)(a) of the Act, must be in the form of Form F in Annexure 1 to these Regulations.
- (2) The Curator must, within 7 (seven) days of receipt of an application for amendment, request representation from the affected indigenous community to make representations in response to the application.
- (3) The indigenous community must, within 30 days of receipt of the request as contemplated in regulation 8(2), make representations, failing which the Curator must, subject to Regulation 8(4) make a determination without their representations.
- (4) The indigenous community may request an extension, from a curator, of the 30 days period granted in regulation 8(3), which the Curator may grant or refuse.
- (5) Any person who is aggrieved by the decision of the Curator may, within 60 days after notice of the decision, declare a dispute, and refer the matter for resolution in terms of section 27 of the Act.

Access to and use of indigenous knowledge

9. (1) An application for a license authorising the use of indigenous knowledge for commercial purposes in terms of section 26((1)(a), by any person who intends to use indigenous knowledge for commercial purposes, must be in the form of Form G in Annexure 1 to these Regulations.

DISPUTE RESOLUTION

Dispute Resolution Committee

- 10. (1) The terms and conditions for the appointment of the dispute resolution committee, contemplated in section 27(1) of the Act, are that the dispute resolution committee must consist of at least -
 - (a) a person specialising in the field relating to the subject matter of the dispute;

- (b) a legal expert who has at least 10 years' experience, who shall be the chairperson; and
- (c) An indigenous knowledge practitioner/s considered to be either currently practicing or is/are retired in a specific disciple of practice.
- (3) The dispute resolution committee must resolve the dispute within 60 days within which the matter was referred for resolution.

Offences and penalties

11. The fine contemplated in section 28 of the Act, shall be an amount not exceeding R400 000.00 in the case of an individual or 40% of the annual turnover in the case a juristic entity.

GENERAL PROVISIONS

Short title and commencement

12. These Regulations are called the "Regulations relating to the Protection, Promotion, Development and Management of Indigenous Knowledge and will come into operation on the date of promulgation in the *Government Gazette*.



Please direct all inputs to the following contact Person:

Shumi Pango

Deputy Director: Advocacy & Policy Development

Department of Science and Innovation

Cell: +2771 114 4653

Email address: Shumi.pango@dst.gov.za

FORM E

APPLICATION FOR REGISTRATION OF INDIGENOUS KNOWLEDGE

Date of Application:	Υ	Υ	Υ	Υ	M	M	D	D
----------------------	---	---	---	---	---	---	---	---

APPLICATION REQUIREMENTS:

Submit a completed signed application form with the following documents:

- ✓ A Community Resolution on appointment as a Trustee (s) and acceptance of Trusteeship, and Letters of Authority by the Master
- ✓ Minutes of community meeting accompanied by a signed attendance register with Identity Document (ID) numbers of attendees
- ✓ Certified Identity Document of the Trustee (s) (not older than 3 months)

Please complete Part A and Part B of the Application Form

PART A

TRUSTEE'S DETAILS											
Surname:											
Full name(s) as per South African											
Date of Birth:											
Identity Number:											
CONTACT DETAILS											
Physical address:											
Province:		Postal code									
Tel:	Fax No:		Cellular:								
e-mail address (if applicable):											
Postal address:											
Province:			Post	al code	9						-
Tel.	Fax No:			Cellu	lar:						

Please indicate at which address you prefer to receive your	Postal	Dhysical
correspondence:	PUSIAI	Physical

PART B

PARTICULARS OF COMMUNITY REGISTERING INDIGENOUS KNOWLEDGE
Community known as:
[Name of Community]
Represented by:
[Name of the trustee]
INDIGENOUS KNOWLEDGE TYPE
Please tick applicable checkbox in respect of Indigenous Knowledge Type to be registered.
☐ Indigenous Knowledge of a functional nature
☐ Indigenous Knowledge of natural resources
☐ Indigenous Cultural Expressions
Please provide a list of Indigenous Knowledge to be registered:
ACCESS TO REGISTERED INDIGENOUS KNOWLEDGE
Can registered IK information be shared with persons outside your community with its prior informed consent? $\ \square$ YES $\ \square$ NO

	NOUS KNOWLEDGE (IK) REGISTRATION ETICK Applicable check box in respe		tion.
1.	The knowledge has been transferre Community or Family or Clan	d from one gen YES:□	eration to the next generation within your NO: □
2.	The knowledge is maintained, used a	nd developed wi YES:□	thin your Community or Family or Clan NO: □
3.	The knowledge is associated with th	e cultural and so YES:□	cial identity of your Community. NO: □
SIGNA	ΓURE:		DATE:
Please p	orint your initials and surname here:		
			RECEIVED OFFICIAL DATE STAMP
Dated t	his day of	20	CURATOR OF INDIGENOUS KNOWLEDGE

FORM F CERTIFICATE OF REGISTRATION OF INDIGENOUS KNOWLEDGE

Date of Registration:	Y	Υ	Υ	Υ	M	M	D	D
Registration number:								
Community Particulars:								
Indigenous Community known	n as:							
Represented by:		[Name oj	f Commu	nity]				
		[Name o	f the trus	tee]				
Has registered the following i	ndigenou	s knowle	dge in th	e Regist	er of Indi	genous I	Knowled	ge:
							REG	ISTERED GISTERED
The Indigenous Knowledge complies with the registration criteria set out in section 11 of the Protection, Promotion, Development and Management of Indigenous Knowledge Act No 6 of 2019 (IK Act) and is registered and protected under the IK Act.								
SIGNATURE OF CURATOR								
DATE:	Υ	Υ	Υ	Υ	M	M	D	D
		1	1	1	1	1	1	

FORM 10

APPLICATION FOR ACCREDITATION OF INDIGENOUS KNOWLEDGE ASSESSORS

Date of Application: _	
Application Number:	

APPLICATION REQUIREMENTS:

The criteria to apply for accreditation as an Assessor are as follow:

- Submit a completed signed application form with the following documents:
 - ✓ A reference letter and recommendation from community leadership/ authority
 - ✓ A certified copy of your Identity document
 - ✓ A reference letter where the applicant received his/her training or peer testimonial of applicable designation
 - ✓ Portfolio of evidence of relevant teaching and/or practical experience as an assessor
 - \checkmark Comprehensive CV of the applicant detailing his/her competency and other related information
 - ✓ Proof of registration with NIKSO against a Professional Designation.

Applications will be evaluated as per the criteria listed on page 3, to ensure that the applicant has obtained the relevant qualification and experience that makes the applicant an expert of the applicable competency of an IK discipline.

PART A

PERSONAL INFORMATION

Surname and Full Names									
Date of Birth		Υ	Υ	Y	Υ	М	М	D	D
ID No									

CONTACT DETAILS:

Physical address:				
Postal Address				
Province:		Postal code		
Tel.	Fax No:		Cellular No:	
Your e-mail address (if applicable):				
Please indicate at which address you pref correspondence: (Please tick one box)	fer to receive your	□Posta	I□Physical	

PART B

Name(s), of Listed competency qualifications/designations categories for which Assessors' Accreditation is applied for:

	NAME OF INDIGENOUS KNOWLEDGE CATEGORIES/COMPETENCY DESIGNATION
1.	
2.	
3.	
4.	

CRITERIA FOR ACCREDITING ASSESSORS

A person with expert knowledge in a particular field with a track record of a minimum of 15 years of practice in the field, AND have obtained a NIKSO Certificate of Competence Designation in a particular IK listed competency designations category.

IK Listed Competency Designation Category

Diviner	Herbalist		(Traditional Birth Attendant		Traditional Surgeon	
Ukukhulisw zinton	Mmamo (Bojal	Mophato	Musevhetho		Muru	ındu
Principal	Principal	Principal	Principal		Principal	
Teacher	Teacher	Teacher	Teacher		Teacher	
Nurse	Nurse	Nurse	Nurse		Nurse	

INDIGENOUS KNOWLEDGE PRACTITIONER CERTIFICATE OF COMPETENCE DESIGNATION OBTAINED

DESIGNATION (S) TITLE	NIKSO CERTIFICATE OF COMPETENCY REGISTRATION NUMBER	YEAR OBTAINED								
		У	У	У	У	m	m	d	d	
		У	У	У	У	m	m	d	d	
		У	У	У	У	m	m	d	d	
		У	У	У	У	m	m	d	d	

RELEVANT EXPERIENCE IN THE FIELD

RELEVANT EXPERIENCE IN THE FIELD	
How many years of experience do you have in the applicable field in teaching/training and practice? (Please provide Portfolio of Evidence)	
Please describe your training and exp the rows below:	erience as an Assessor in terms of the core and functional competencies in

Page 3 of 6

CORE COMPETENCIES:
Scope of knowledge
Ethics and professionalism
Accountability
Problem solving
Troblem solving
Context and systems
FUNCTIONAL COMPETENCIES:
Interpersonal Skills
Leadership & Management
Communication

Page 4 of 6

Checklist: Please ensure that the following documents are submitted with y	
Checklist: Please ensure that the following documents are submitted with y	
Checklist: Please ensure that the following documents are submitted with y	
Checklist: Please ensure that the following documents are submitted with y	
the appropriate box	our application. Please
	· · · · · · · · · · · · · · · · · · ·
*Copies should be certified within 6 months of the application date. Uncaccepted.	ertified copies will not
	T.,
Required Documents	Check Box
A certified copy of your Identity document A reference letter and recommendation from community leadership/	☐YES ☐ NO
authority	LITES LINU
A reference letter where the candidate received his/her training or peer	□YES □ NO
testimonial of applicable designation	
A Portfolio of Evidence of Competency obtained	☐YES ☐ NO
Comprehensive CV of the applicant detailing his/her competency and other related information	☐YES ☐ NO
DECLARATION	
DECLARATION	
,Full first names and surname of the applicant)	
Full first names and surname of the applicant)	
Full first names and surname of the applicant)	edge.
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	edge.
Full first names and surname of the applicant)	edge. Date
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	
Declare that the information supplied is true to the best to my knowl	
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	
Full first names and surname of the applicant) Declare that the information supplied is true to the best to my knowl	

Page **5** of **6**

Dated this	day of	20	NIKSO
Sigi	nature of Applicant		

FORM G

APPLICATION FOR AMENDMENT OF REGISTER OF INDIGENOUS KNOWLEDGE

PART A

APPLICANT DETAILS								
Surname and Full Names:								
Date of Birth	Υ	Υ	Υ	Υ	M	M	D	D
Identity Number								
Residential Address or Business Address:								
Postal Address:								
Contact Number(s):								
Fax Number:								
Email Address:								

PART B....

NDIGENOUS KNOWLEDGE IN RESPECT OF WHICH AMENDMENTS HAVE TO BEEN MADE	

PART C

AMENDMENT IN RESPECT TO ANY ERROR OR OMISSION WHICH MAY HAVE ARISEN IN THE REGISTER OF INDIGENOUS KNOWLEDGE (Please tick appropriate box with an "x")
 □ Making of an entry incorrectly omitted in the Register of Indigenous Knowledge. □ Deletion of an entry incorrectly made in the Register of Indigenous Knowldege. □ Correcting any error or defect in the Register of Indigenous Knowledge.
PART D
Complete applicable parts:
REASONS FOR AMENDMENT IN RESPECT OF MAKING AN ENTRY INCORRECTLY OMITTED IN THE REGISTER OF INDIGENOUS KNOWLEDGE (Please provide detailed reasons for the request)
REASONS FOR AMENDMENT IN RESPECT OF DELETION OF AN ENTRY INCORRECTLY MADE IN THE REGISTER OF INDIGENOUS KNOWLEDGE (Please provide detailed reasons for the request)
,,

REASONS FOR AMENDMENT IN RESPECT OF CORRECTING ANY ERROR OF DEFECT IN THE REGISTER OF INDIGENOUS KNOWLEDGE

(Please provide detailed reasons for the request)

igned at day of20
 ignature of Applicant/ designated person

FORM 10 (b)

OBJECTION TO THE AMENDMENT OF ANY ERROR, WHICH MAY HAVE ARISEN IN THE REGISTER

PART A

APPLICANT DETAILS											
Surname and Full Names:											
Date of Birth	Υ	Υ	Υ		Υ	V		M	D	D	
Identity Number											
Residential Address or								•	•		
Business Address:											
Postal Address:											
Contact Number(s):											
Fax Number:				Ema	il add	ress					
DETAILS OF RESONSIBLE PA	RTY										
Surname and Full Names:											
Identity Number											
Business Address											
Contact Number											
Fax Number				Er	nail A	ddres	S				

PART B

REASONS FOR OBJECTION OF AMENDMENT IN RESPECT TO ANY ERROR, WHICH MAY HAVE ARISES IN THE REGISTER BY ACCIDENTAL SLIP OR OMISSION; AND or REASONS FOR OBJECTION DELETION IN RESPECT TO NAME, ADDRESS OR OTHER PARTICULARS. (Please provide detailed reasons for the request)
Signed at day of
Signature of Applicant

. ++

APPLICATION FOR CERTIFICATION AND REGISTRATION OF INDIGENOUS KNOWLEDGE PRACTITIONERS

Date of Application:

APPLICATION REQUIREMENTS:											
The criteria to apply for accreditation as an Assessor are as follow:											
 Submit a completed signed application form with the following documents: ✓ A reference letter and recommendation from community leadership/ authority ✓ A certified copy of your Identity document ✓ A reference letter where the applicant received his/her training or peer testimonial of applicable designation ✓ A Portfolio of Evidence of Competency obtained ✓ Comprehensive CV of the applicant detailing his/her competency and other related information 											
PART A											
PERSONAL INFORMATION											
Surname and Full Name(s)											
Date of Birth	Υ		Υ	Υ	Υ	ſ	M	М	D		D
ID No										•	
CONTACT DETAILS:											
Physical address:											
Postal Address:											
Province:			Pos	tal code							
Tel. F	ax No:					Cell	ular N	0:			
E-mail address (if applicable):											
Please indicate at which address you prefer to rece correspondence and account. Please tick one box.	ive you	r		Postal		□Pł	nysical				

PART B

PROFESSIONAL DESIGNATION FOR COMPETENCY CERTIFICATION (Mark your choice (s) with an (X)

Diviner		Herbalist					ditional Birt Indant	th		Traditional Surgeon			
Ukukhuliswa kwe Mmamopatho zintombi			(Bojale) M		Лорhato		Musevhe			Mur	undu		
Principal		Principal		Princ	ipal	Prin	cipal			Principal			
Teacher		Teacher		Teac	her	Tead	cher			Teacher			
Nurse		Nurse		Nurs	е	Nur	se			Nurse			
Title, Initials		ACT DETAILS O	F GHOBE	LA/M	ENTOR/TE	RAINER	:						
Name and p	ostal add	dress:											
Tel. No:							Cellular n	10:					
E-mail addre	ess:								I.				
Field(s) of p	ractice:												
	ADDI	ICANT'S EXPER	DIENICE										
Number of practice:		practice and pla					Place	e of Pra	actice:				
Are you affiliated to any Health Practitioner Organisation?					☐ YES	□NO	□ NO If <u>YES</u> , please provorganization:			vide the details of the			
COMPETENC	IES												
		ou have demoi ig experiences.		l the f	ollowing	compe	tencies, u	ising s	pecific	examples d	rawn from		
Competer	ncy proce	ess (Explain the	journey 1	to the	work prac	tice or t	training (h	ow it s	tarted,	initiation up	to completion).		

Scope of Knowledge (Explain your understanding of the Practice)
Assemble Hit (independent cound judgement confidentiality manage difficult cituations)
Accountability (independent, sound judgement, confidentiality, manage difficult situations)
Problem Solving (recognizing limitations, recommend solutions)
Ethics & Professionalism (adhering to ethics and professionalism in your work area of practice)
Context and Systems (understanding of the legislative, cultural context and the systems you use in your practice)

Interpersonal Skills (Integrity & Trust Perceptive, Tenacious, Compassionate and Counselling)		
Leadership and management skill (ability to lead people and manage processes)		
Communication skills (Ability to active, reasoning, listening, helpful challenge, influence, engaging)		

Checklist: Please ensure that the following documents are submitted with your application. Please tick the appropriate box

*Copies should be certified within 6 months of the application date. Uncertified copies will not be accepted.

Required Documents	Check Bo	ОХ
A certified copy of your Identity document	□YES	□ NO
A reference letter and recommendation from community leadership/ authority	□YES	□ NO
A reference letter where the candidate received his/her training or peer testimonial of	□YES	□ NO
applicable designation		
A Portfolio of Evidence of Competency obtained	□YES	□ NO
Comprehensive CV of the applicant detailing his/her competency and other related	□YES	□ NO
information		

Signature of applicant			Date
Dated this	_ day of	20	RECEIVED OFFICIAL DATE STAMP
			NIKSO

SCHEDULE 2

Accreditation Application Process:

- 1. Applicant complete application and submit to NIKSO with required documentation.
- 2. If applicant is not new: submit re-application with required documents
- 3. NIKSO receives application and conduct basic applicant verification and referee check
- 4. NIKSO prepares application for interview assessment
- 5. NIKSO appoints Assessment Committee for Accreditation of Assessors
- 6. Committee verifies the credentials of the applicant
- 7. Committee verifies the competencies of the applicant
- 8. Committee calls applicant for interview assessment
- Committee makes accreditation recommendation of approval/non-approval to NIKSO
- 10. NIKSO accepts the recommendation and communicate outcome to applicant
- 11. NIKSO issue applicant with Assessor Accreditation Certificate

In the event where an applicant has not passed the Accreditation of Assessors assessment, the Committee shall make the recommendation to NIKSO and provide reasons for non-accreditation

NIKSO communicates outcome of assessment to applicant.

Applicant follows procedures for appeal or re-assessment.

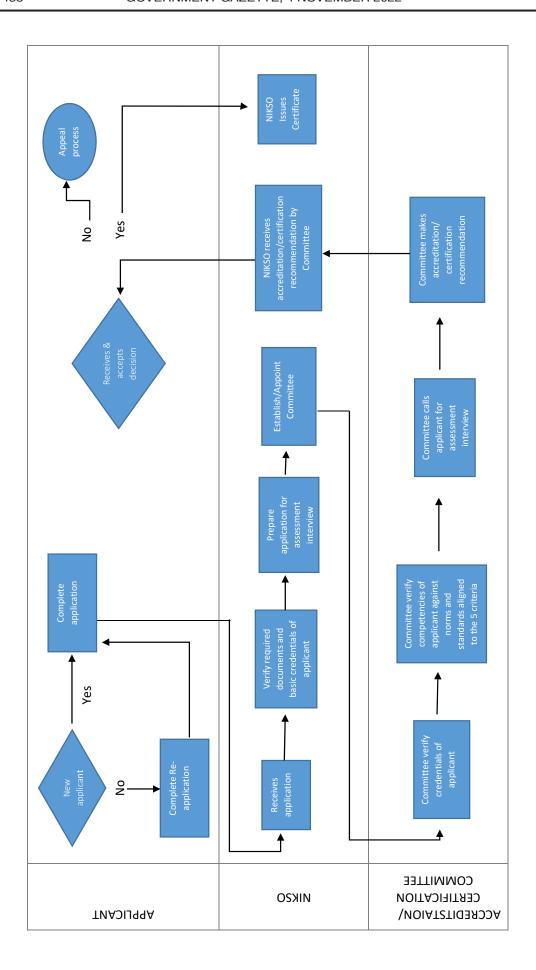
Certification Application Process:

- 1. Applicant complete application and submit to NIKSO with required documentation.
- 2. If applicant is not new: submit re-application with required documents
- NIKSO receives application and conduct basic applicant verification and referee check
- 4. NIKSO prepares application for interview assessment
- 5. NIKSO appoints Assessment Committee for Certification of applicant
- 6. Committee verifies the credentials of the applicant
- 7. Committee verifies the competencies of the applicant
- 8. Committee calls applicant for interview assessment
- 9. Committee makes certification/recognition recommendation of approval/non-approval to NIKSO
- 10. NIKSO accepts the recommendation and communicate outcome to applicant
- 11. NIKSO issue applicant with Certificate of Competency

In the event where an applicant have not passed the Certification/recognition assessment, the Committee shall make such recommendation to NIKSO and provide reasons for non-certification.

NIKSO communicates outcome of assessment to applicant.

Applicant follows procedures for appeal or re-assessment.



Certificate No:



Department: Science and Innovation

REPUBLIC OF SOUTH AFRICA

NIKSO Accreditation Certificate

Issued in accordance with section 14(2)(b) of the Protection, Promotion, Development and Management of Indigenous Knowledge Act, 2019 (Act No. 6 of 2019) ("Act")

This is to certify that ID NO: Having successfully completed the prescribed assessment procedures and requirements, has been recognised and accredited as an assessor in terms of the Act. NIKSO Pretoria Date:

Certificate No:



Department: Science and Innovation

REPUBLIC OF SOUTH AFRICA

NIKSO Certificate of Competency

Issued in accordance with section 15 (5) (a) of the Protection, Promotion, Development and Management of Indigenous Knowledge Act No. 6 of 2019 ("the Act")

	This is to certify that
	ID NO:
is duly qualified and author	ised to practise as an Indigenous Knowledge Practitioner and to be recorded
in the Register of Designation	ns in terms of the Act.
NIKSO	
Pretoria	
Date:	

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